



# **International Neuroblastoma Pathology Classification: Pathologist's Role in Translational Research**

**Hiroyuki Shimada, MD, PhD**

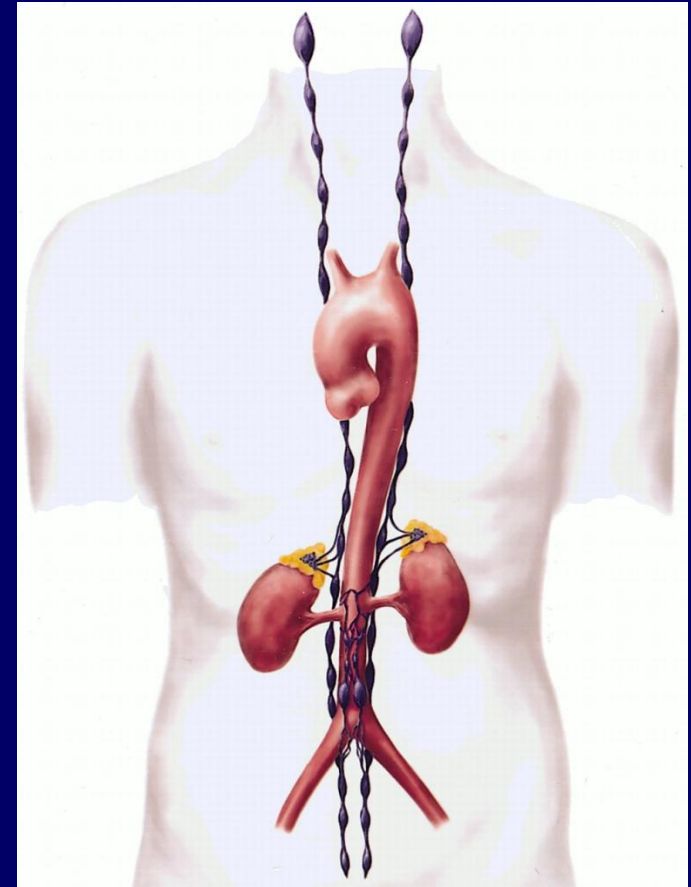
**Department of Pathology and Laboratory Medicine**

**Children's Hospital Los Angeles**

**University of Southern California Keck School of Medicine**

# Peripheral Neuroblastic Tumors, Overview

- Neuroblastoma, Ganglioneuroblastoma, Ganglioneuroma
- Embryonal tumor
- Derived from post-ganglionic sympathetic neural precursors (neural crest cells)
- Half arise in adrenal, remainder occur in paraspinal ganglia
- Most common non-CNS solid tumor of children
- Prevalence: 1:7000 births, 700 USA cases/yr.
- 7-10% of all childhood cancers
- Median age: 17 months, 75% dxed by age 4 yr., 98% by age 10 yr.



Geneva Foundation for  
Medical Research

# Peripheral Neuroblastic Tumors

- **Phenotypic Diversity**
  - High percentage of cases show spontaneous differentiation or complete regression
  - One-third of patients cured with surgery alone
  - 50% of cases metastatic and highly malignant at diagnosis
  - Tumor genomics highly correlated with clinical phenotype
- **Important pediatric problem**
  - 10-15% of childhood cancer mortality
  - Chemoradiotherapy intensity has been maximized
  - Survivors with significant morbidity

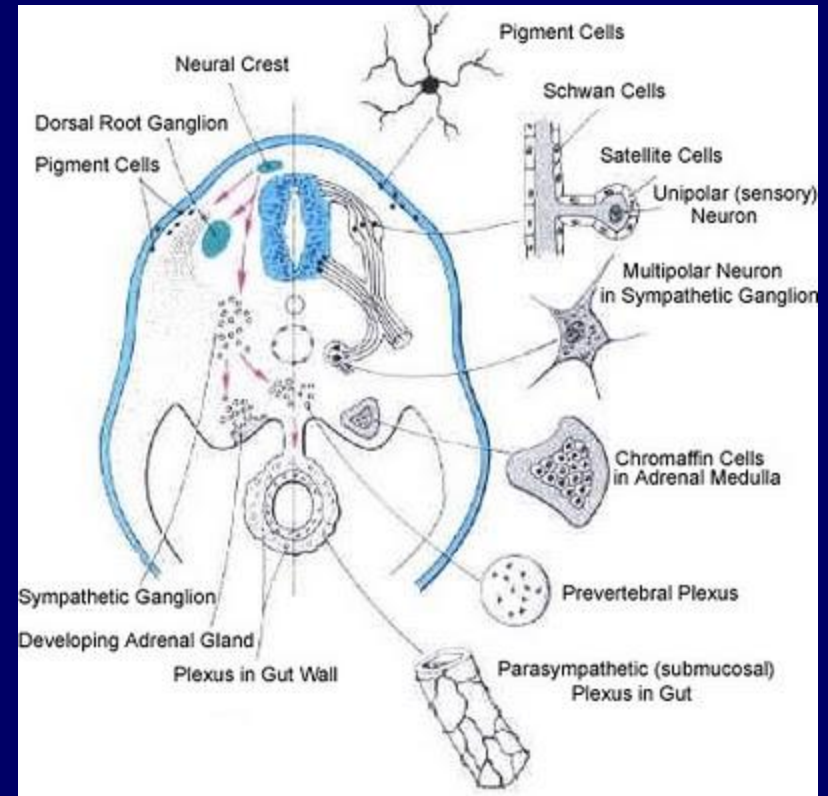
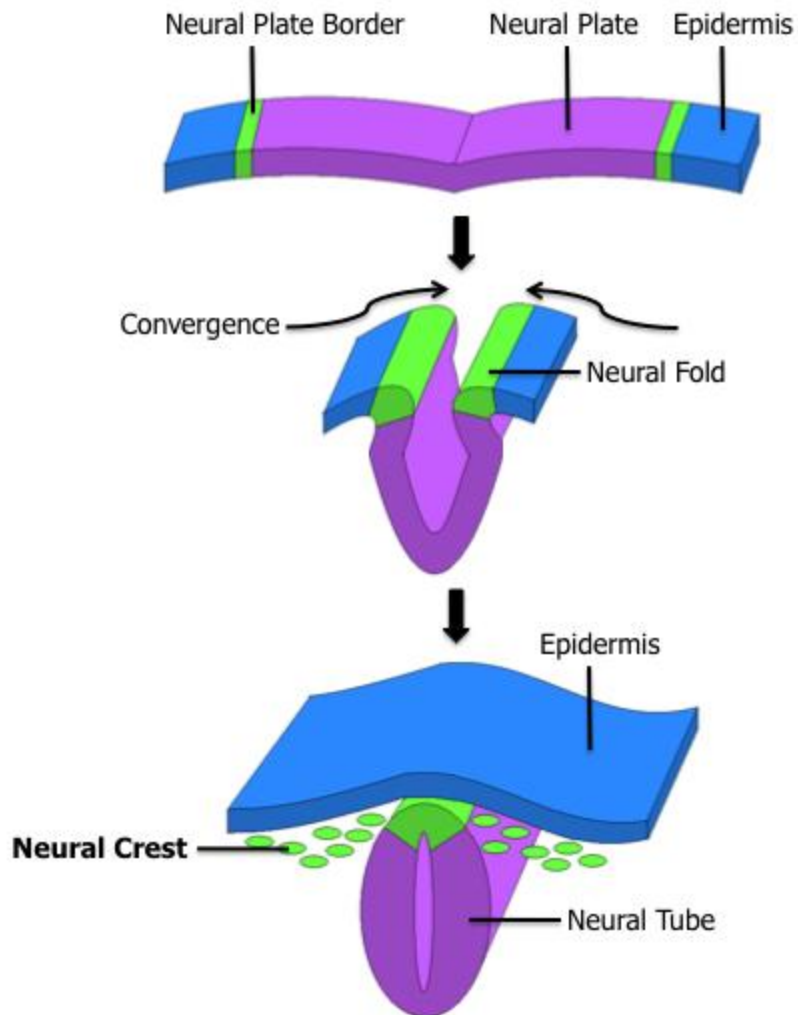


Stage 4S



Stage 4

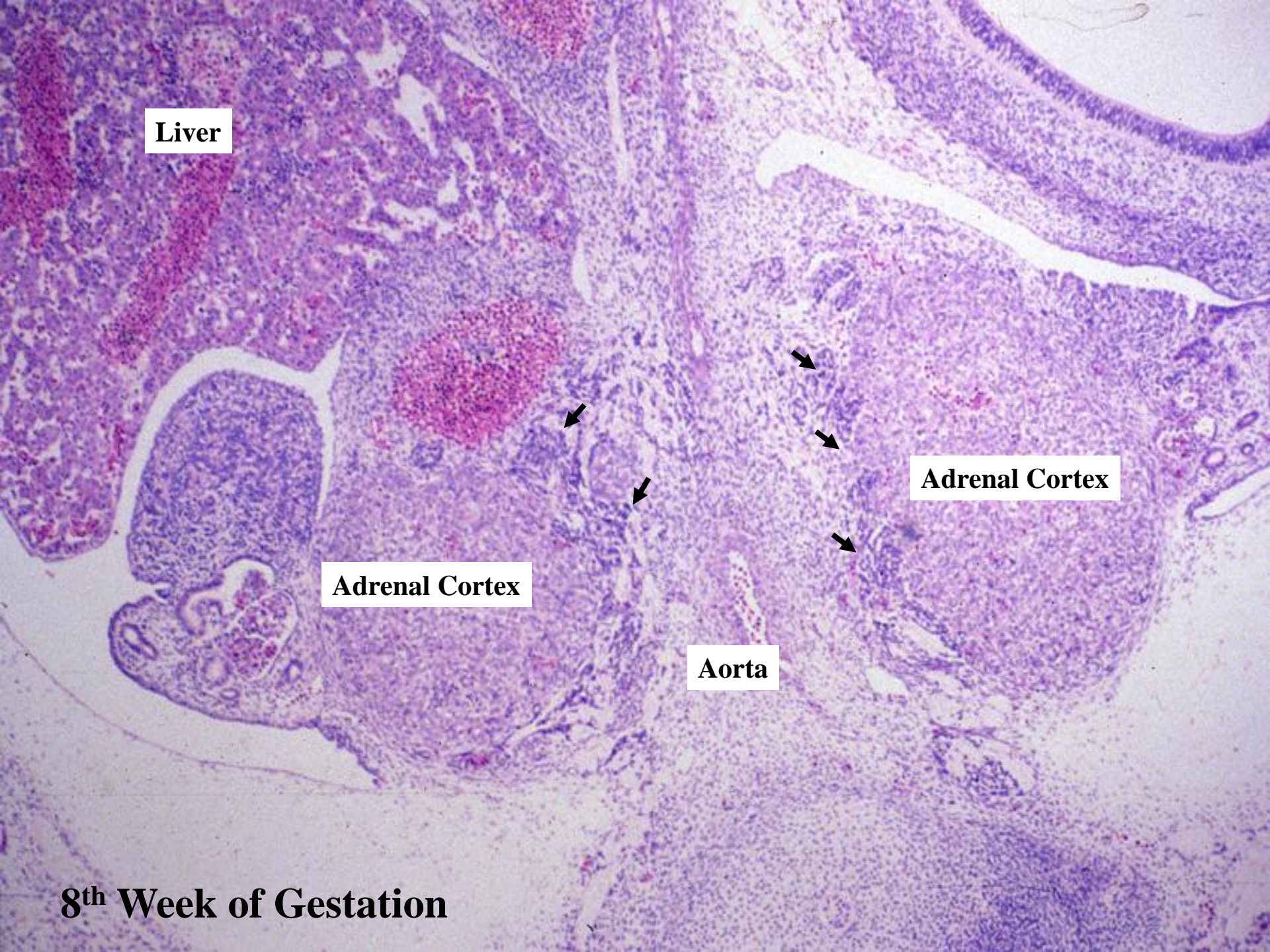
# Neural Crest



**Sympathetic Nervous system**

**Neuroblastoma**

**Adrenal Medulla**



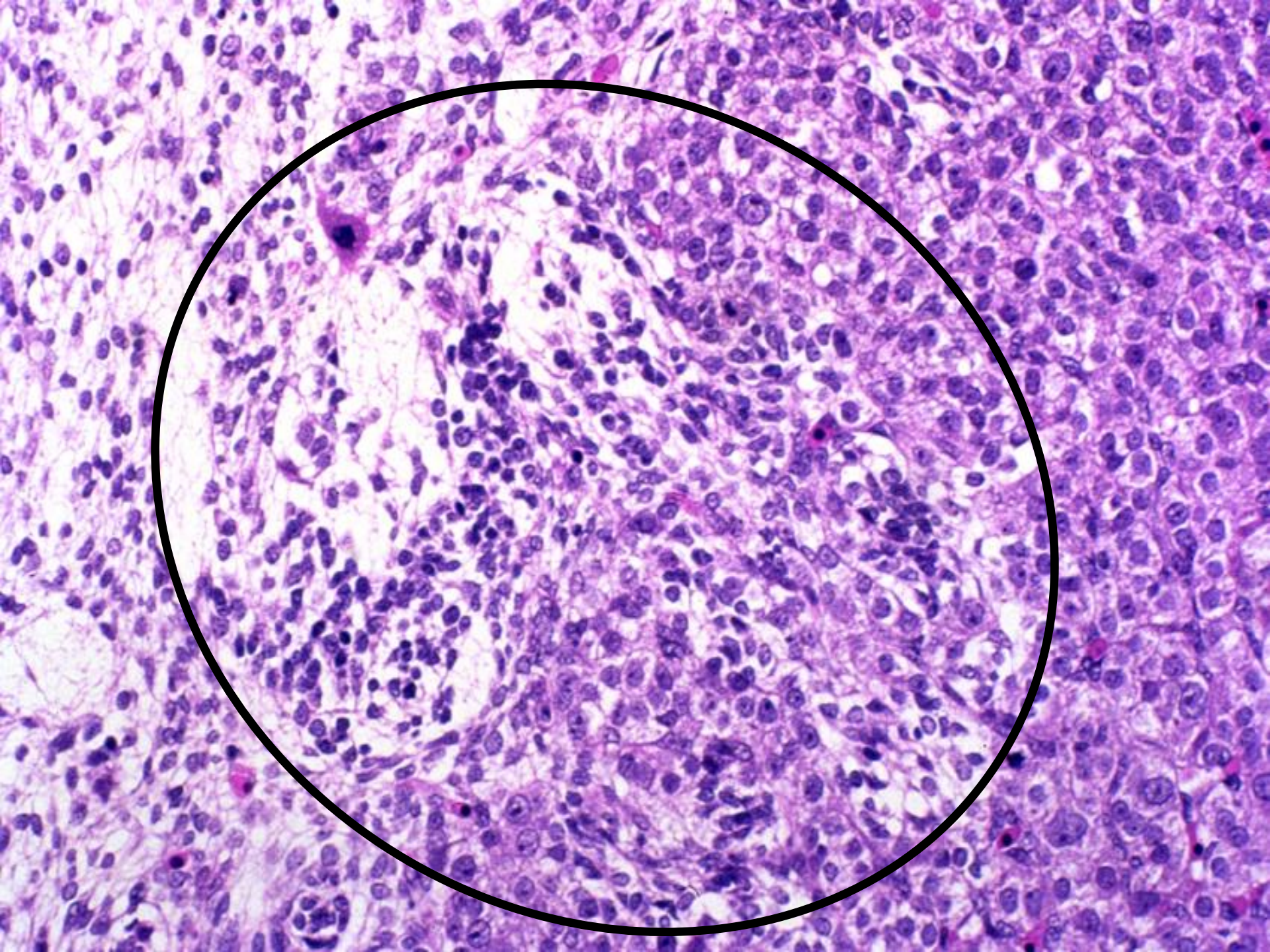
**Liver**

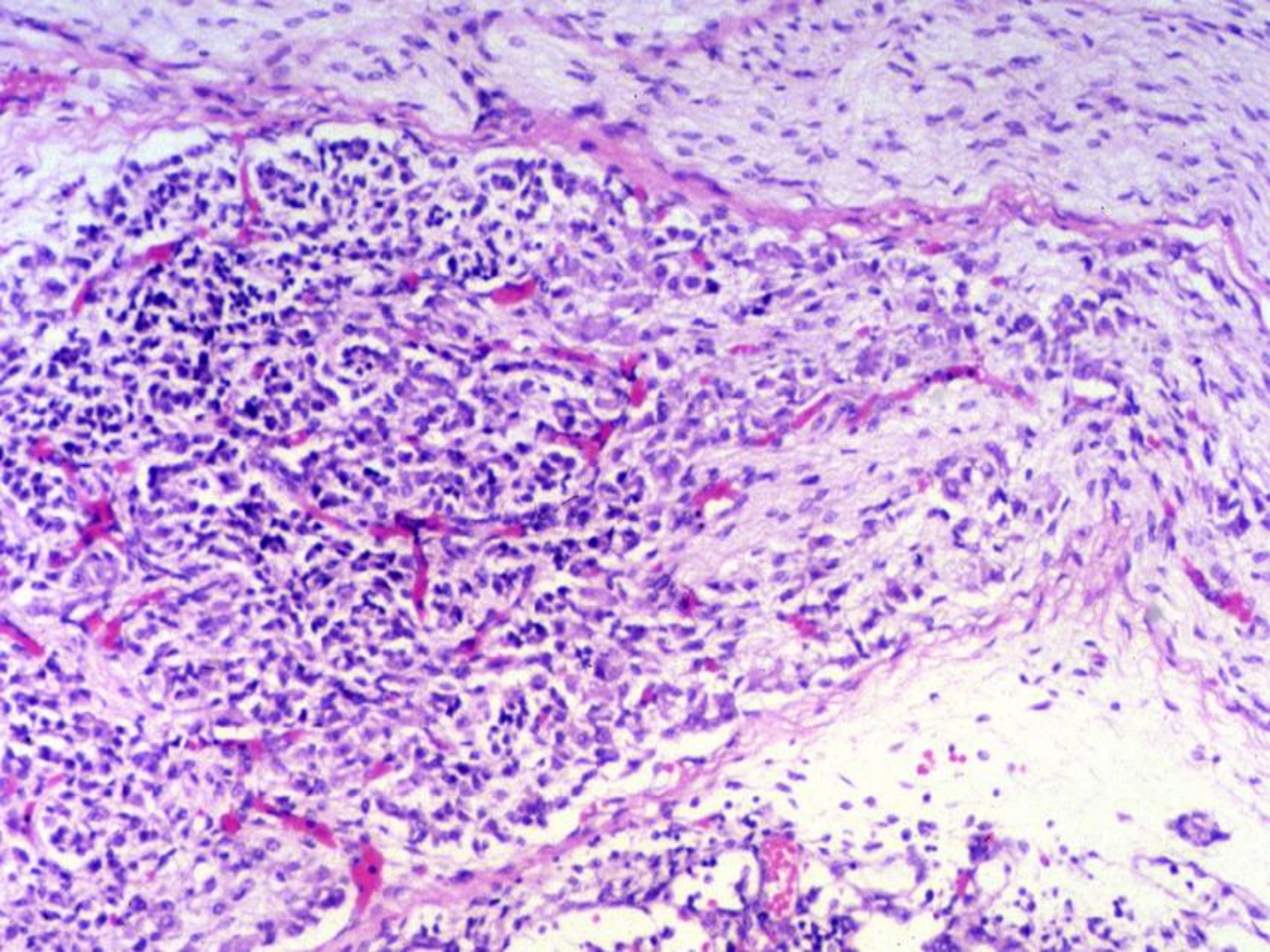
**Adrenal Cortex**

**Aorta**

**Adrenal Cortex**

**8<sup>th</sup> Week of Gestation**





# Peripheral Neuroblastic Tumors

## Genomic/Molecular Characteristics

### Whole Chromosomal Gains/Losses

### Segmental Chromosomal Alterations

*MYCN* Amplification ( 2p24 )

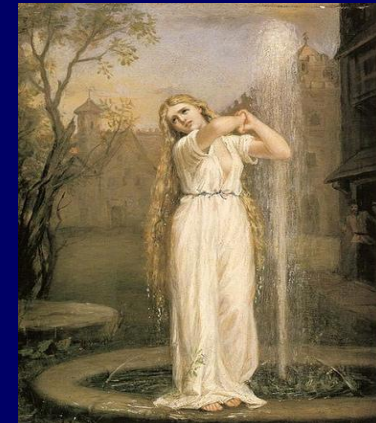
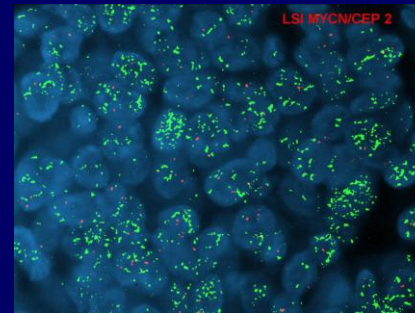
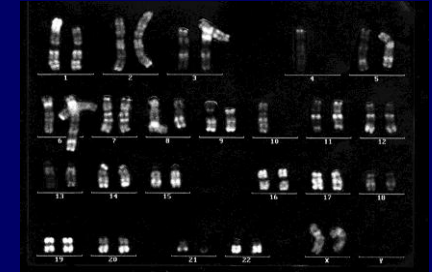
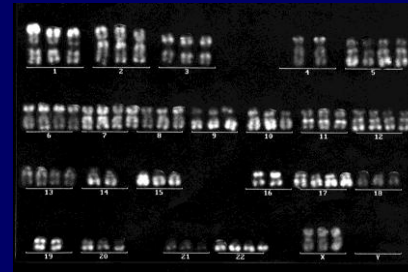
1p (1p36) Loss

11q (11q23) Loss

17q (17q21) Gain

Others: Gain - 1q, 5q, 18q

Loss - 3p, 4p, 9p, 14q, 14p, 9q

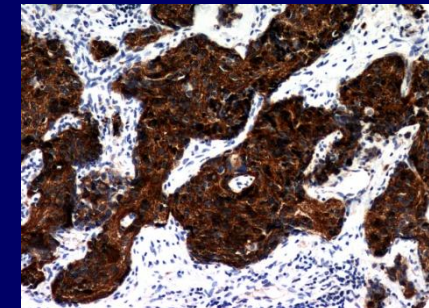


**PHOX2B Mutation**

**ALK Mutation, Protein Expression**

**6p22 Abnormalities**

( FLJ22536 & FLJ4418 homozygous )





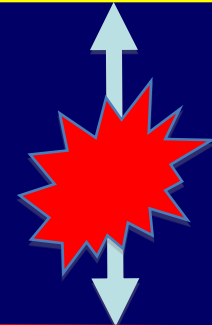
# Peripheral Neuroblastic Tumors

## Diversity of Genomic/Molecular Characteristics



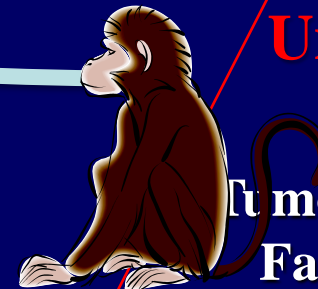
Spont. Regression  
Tumor Maturation

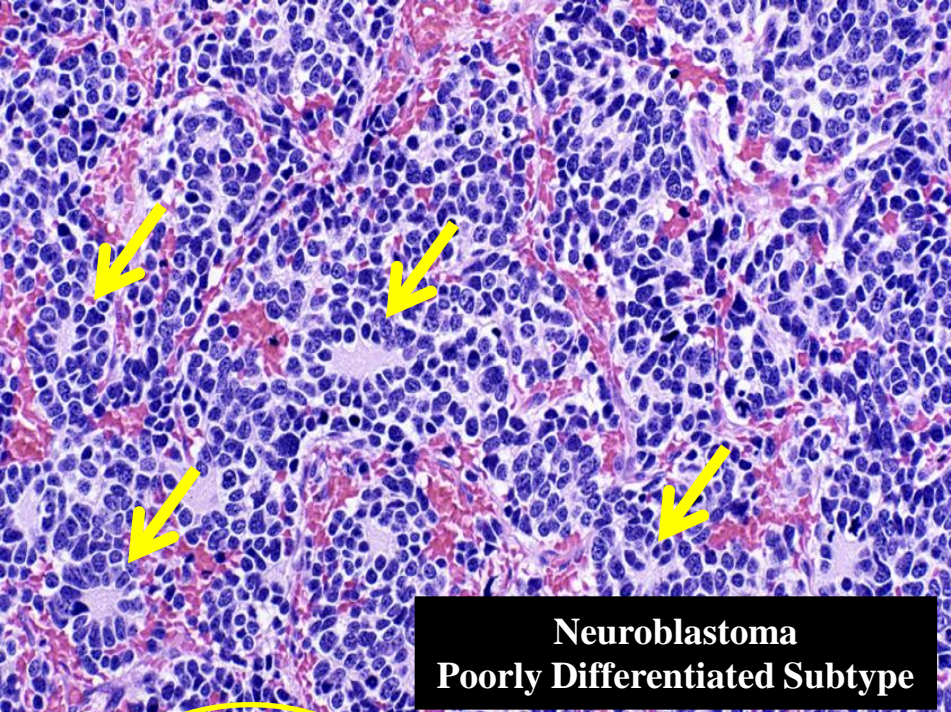
**Favorable  
Biology**



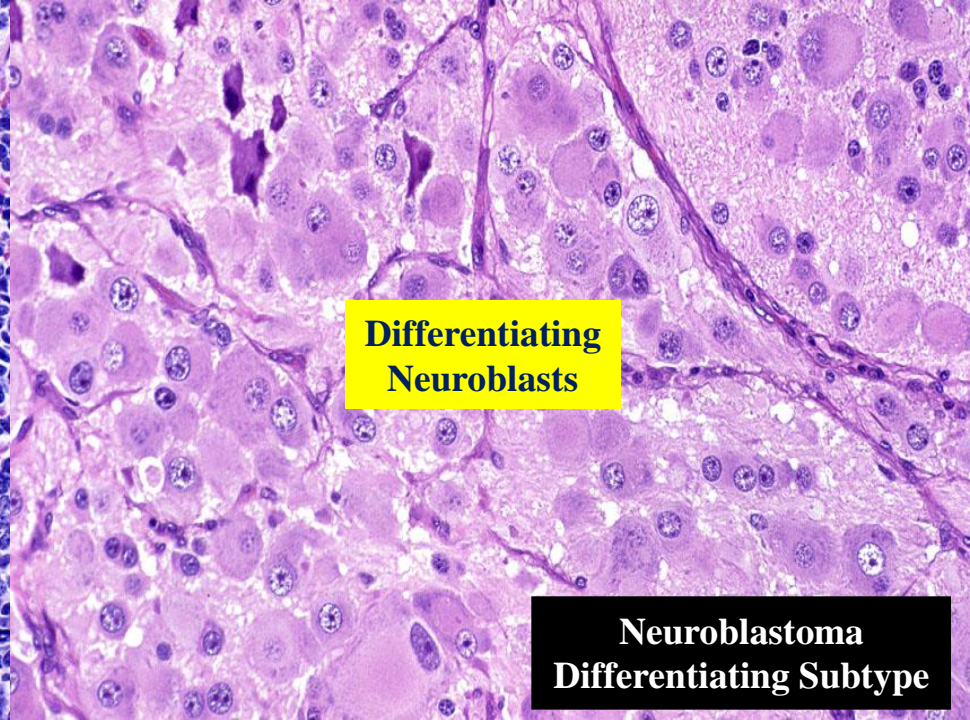
**Unfavorable  
Biology**

Tumor Progression  
Fatal Outcome



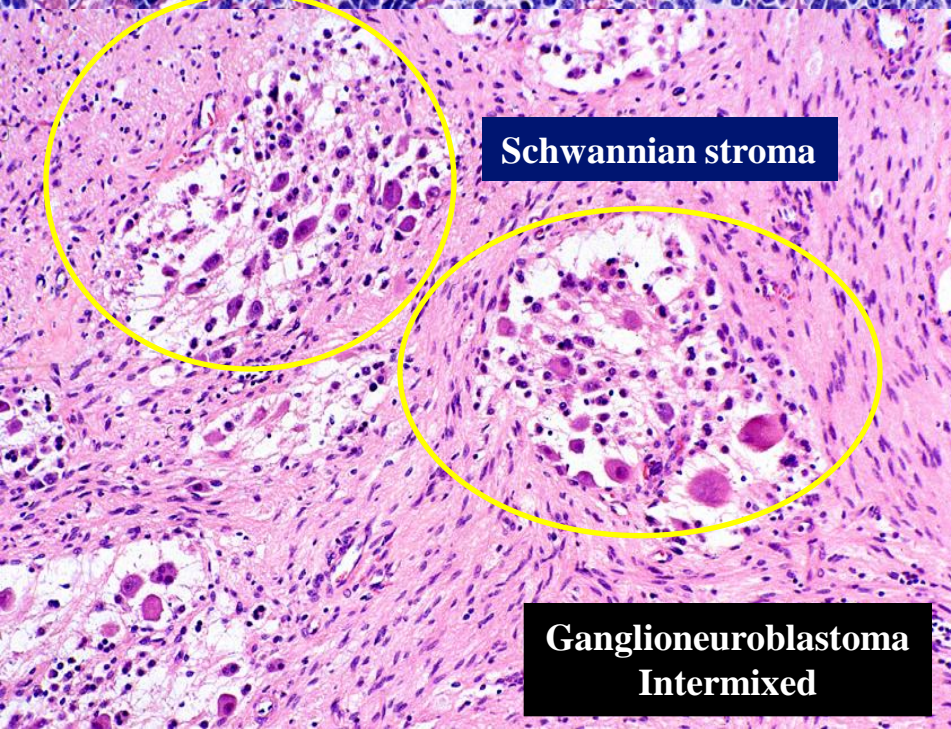


**Neuroblastoma  
Poorly Differentiated Subtype**



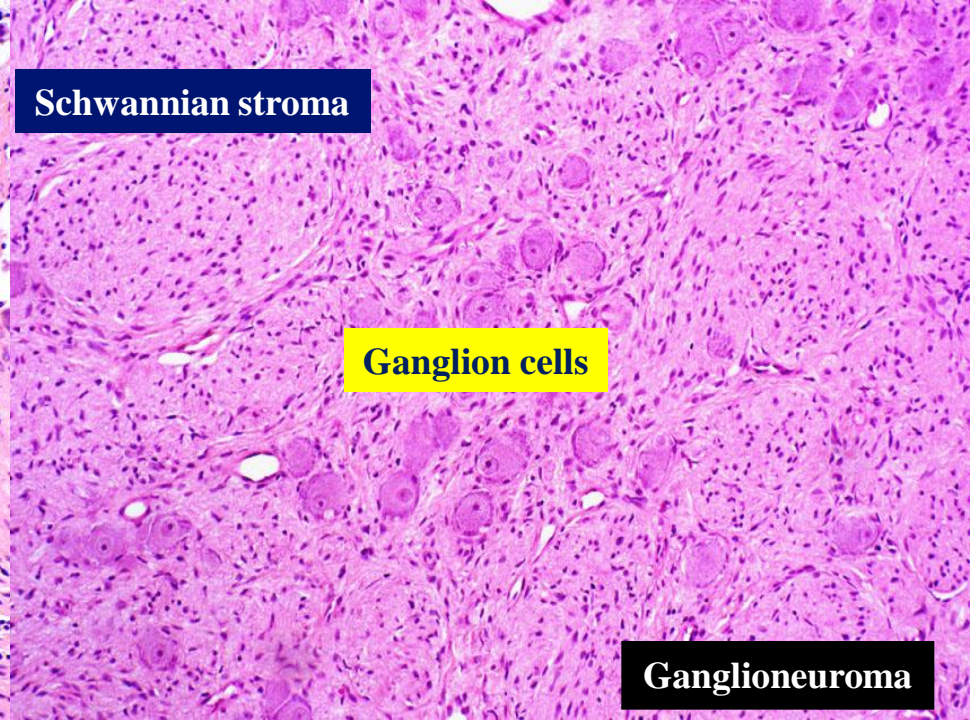
**Differentiating  
Neuroblasts**

**Neuroblastoma  
Differentiating Subtype**



**Schwannian stroma**

**Ganglioneuroblastoma  
Intermixed**



**Schwannian stroma**

**Ganglion cells**

**Ganglioneuroma**

# INPC, Basic Concept

**Peripheral Neuroblastic Tumors**

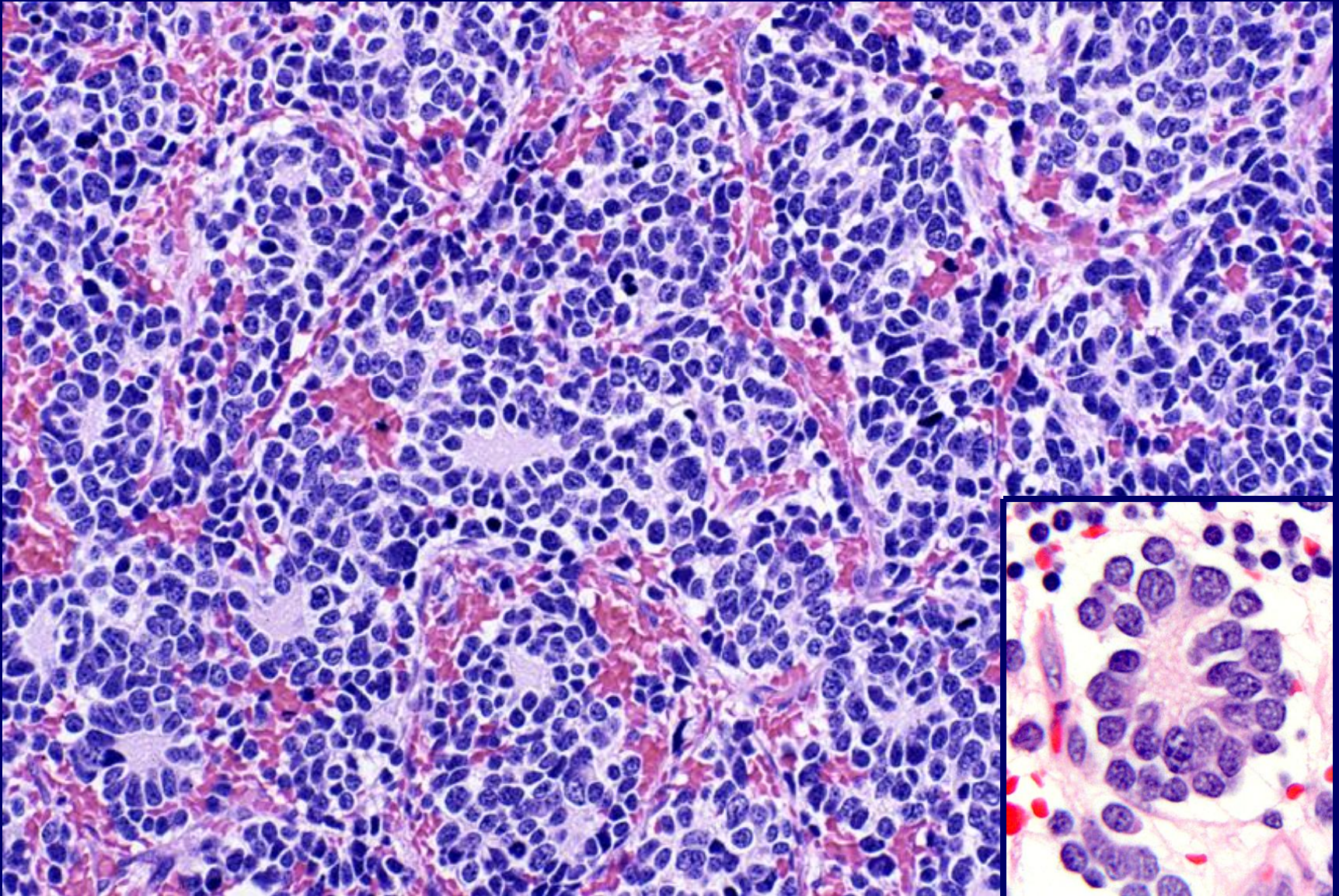
**One of the Best Models for Analyzing**

**Biologically Significant Relationship Between**

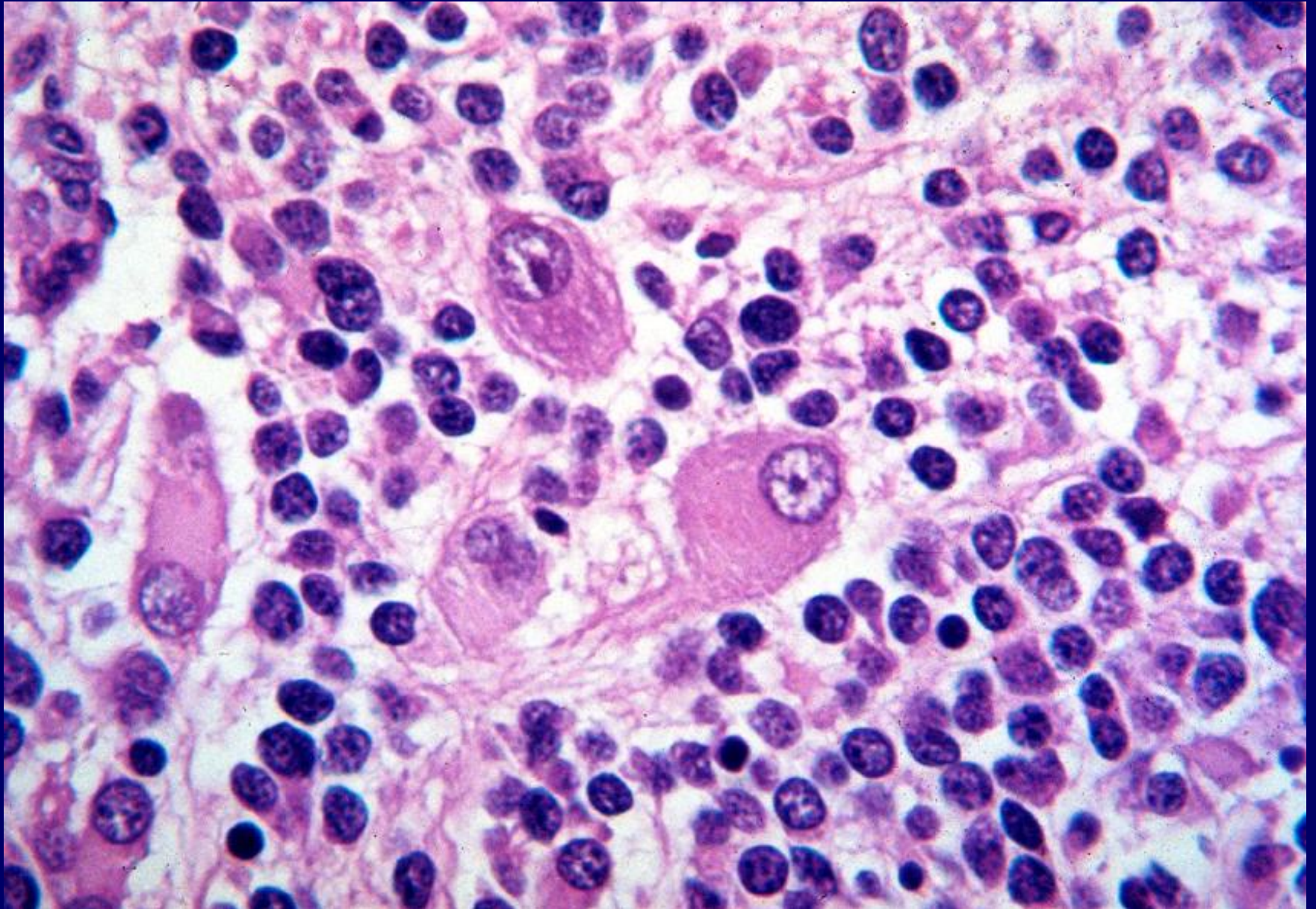
**Molecular/Genomic Alterations and Morphologic Manifestations**

- **Crosstalk between Neuroblastic Cells and Schwannian Stromal Cells**
- ***TrkA*/*II* (high-affinity NGF Receptor) Expression Critical for Neuroblastic Differentiation**
- ***MYCN* Oncogene Amplification, the Strongest Indicator of Aggressive Tumor Progression**

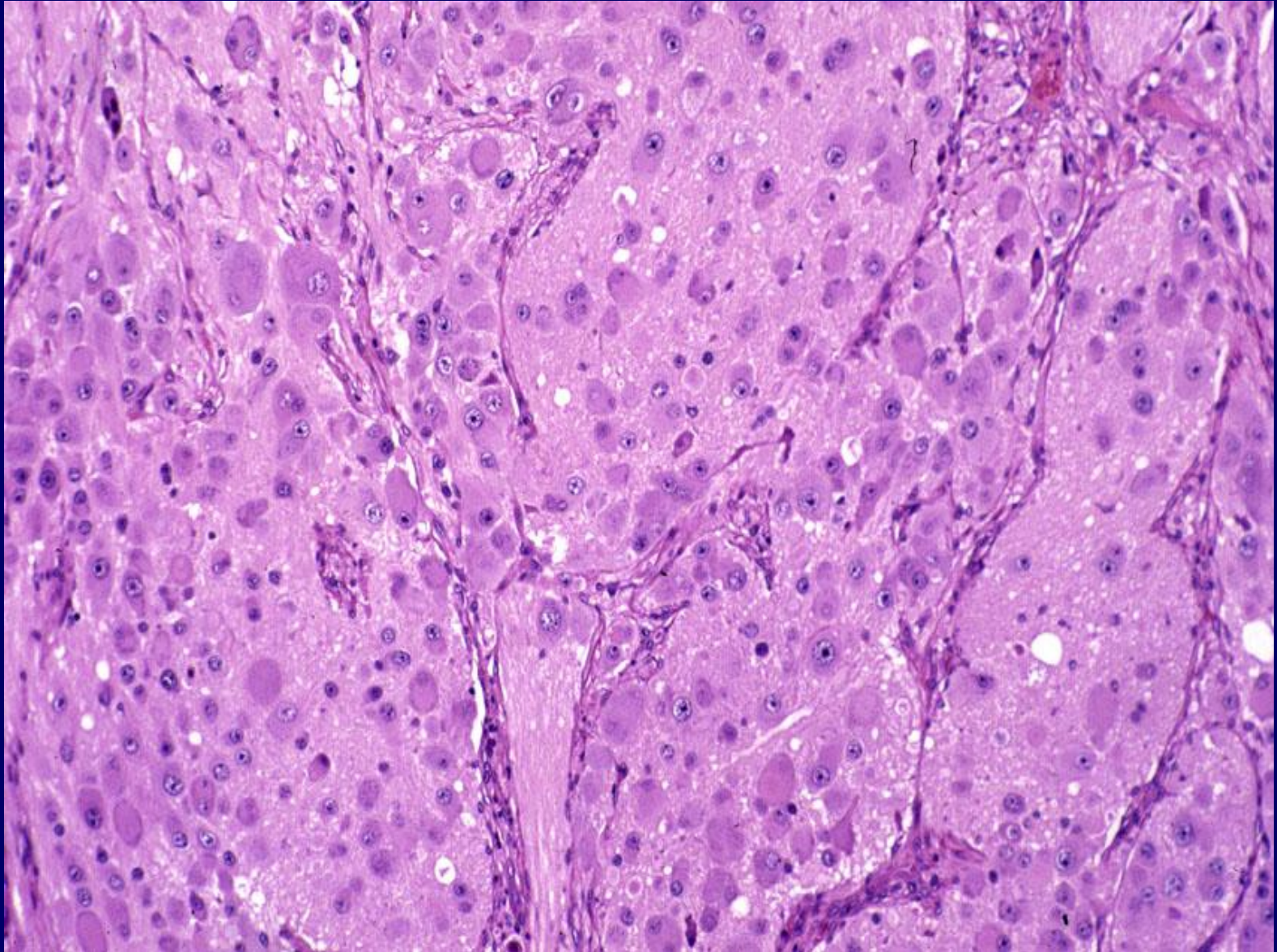
**Neuroblastoma (Schwannian stroma-poor)  
Poorly Differentiated Subtype**



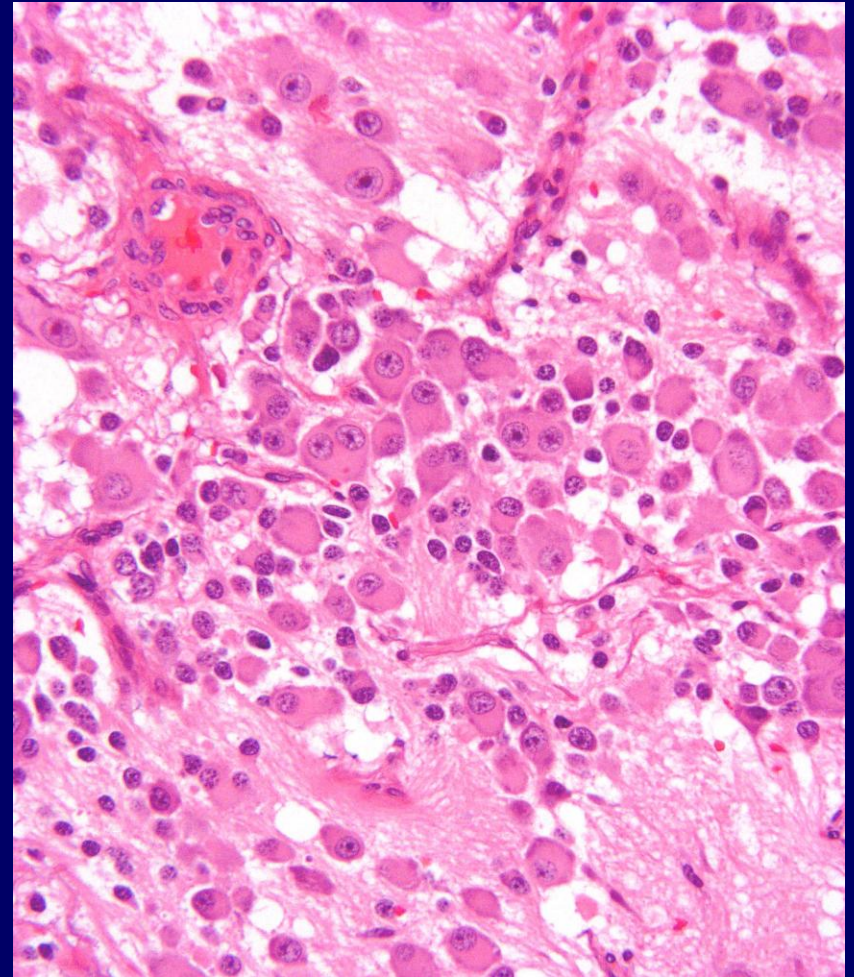
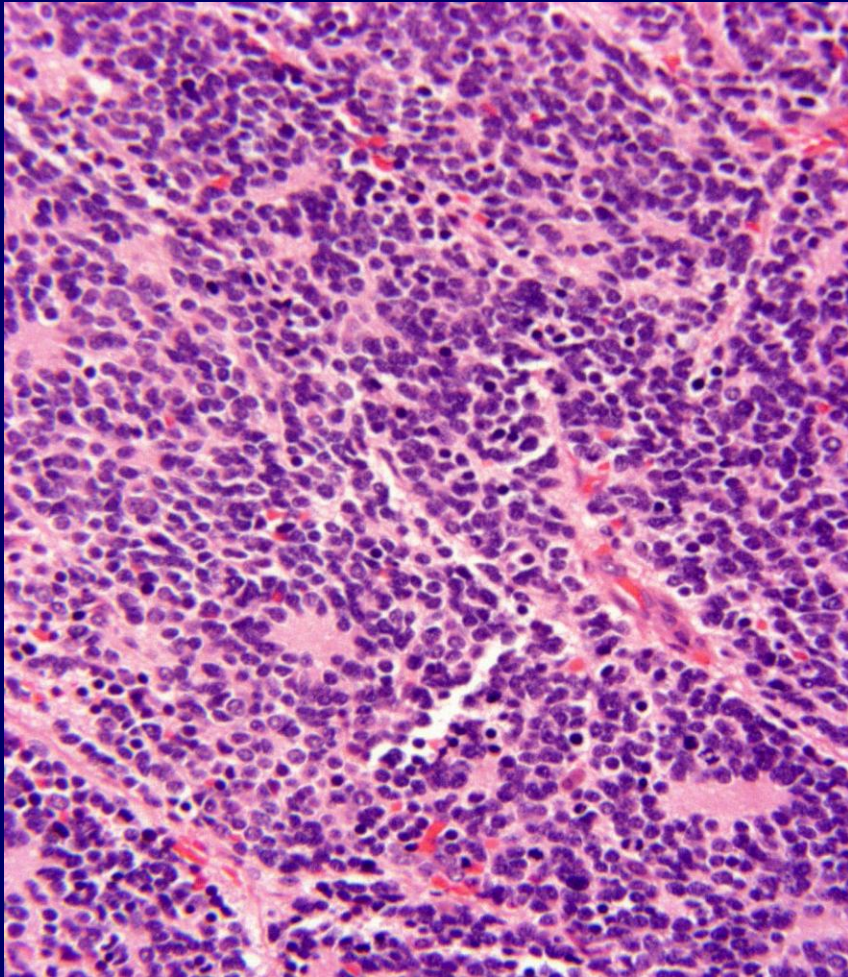
# Differentiating Neuroblasts



# Neuroblastoma (Schwannian stroma-poor) Differentiating Subtype



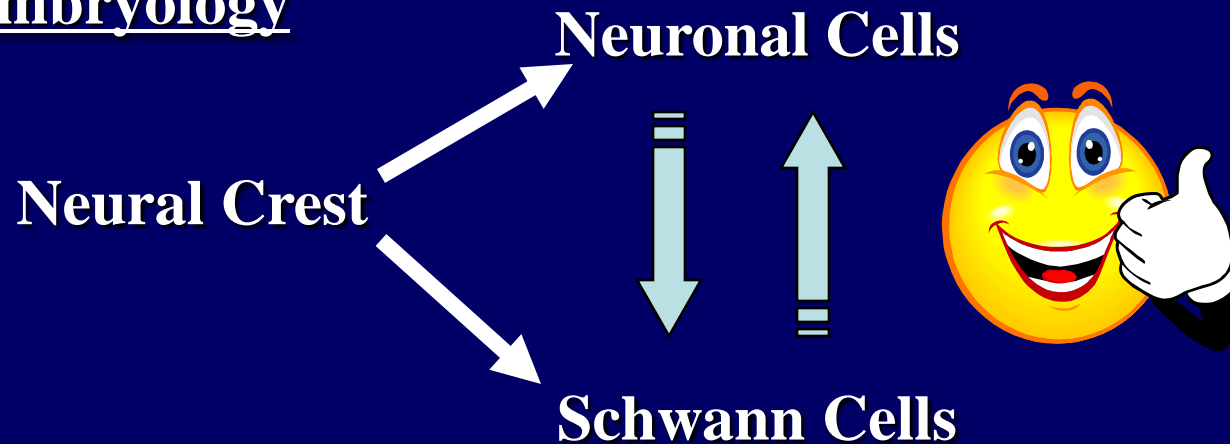
## Poorly Diff. Subtype to Differentiating Subtype



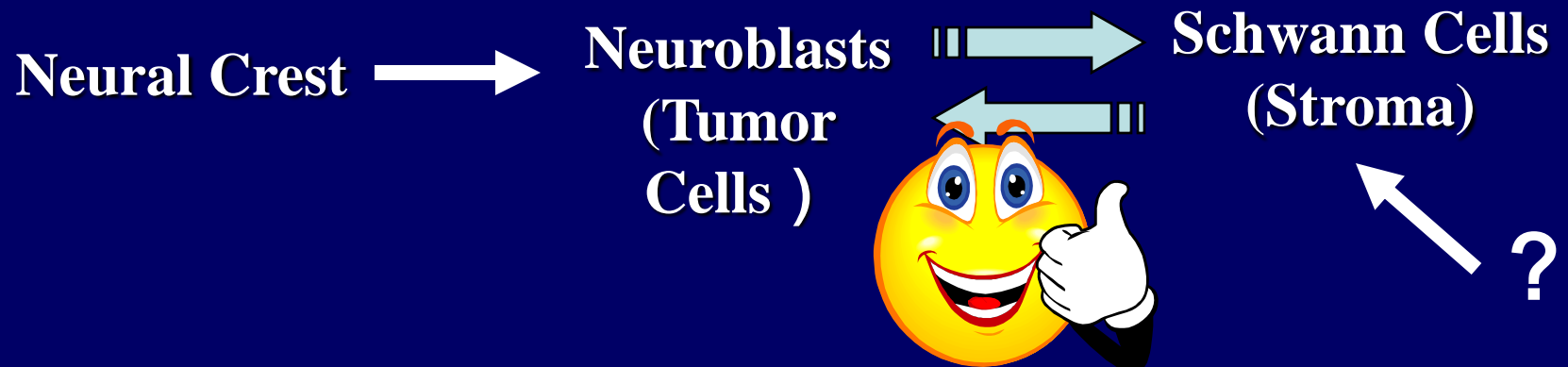
**Neuroblastic Differentiation**  
**Cellular Death**

# Neuronal Cells & Schwann Cells

## Embryology

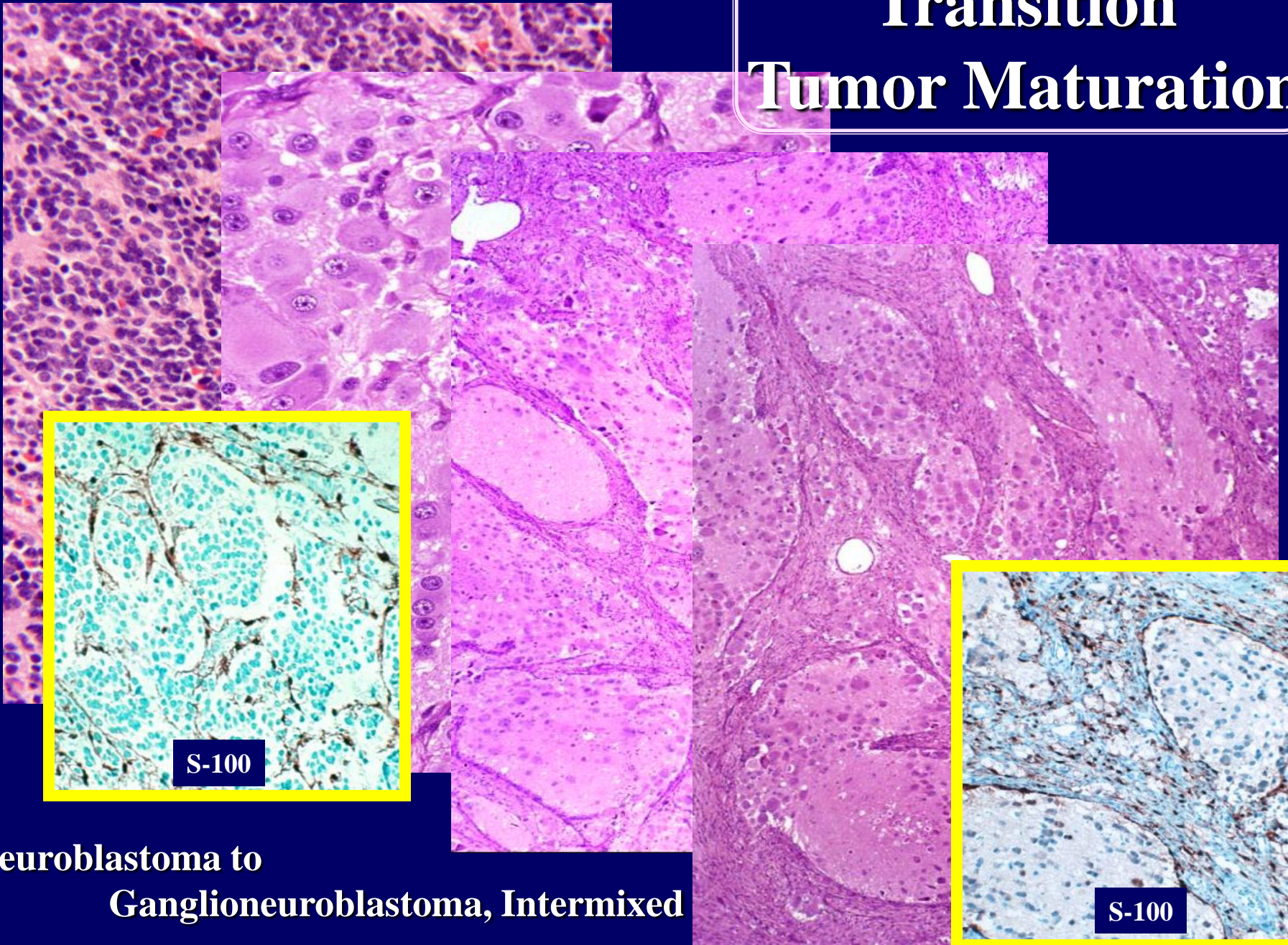


## Tumors of Neuroblastoma Group





# Transition Tumor Maturation

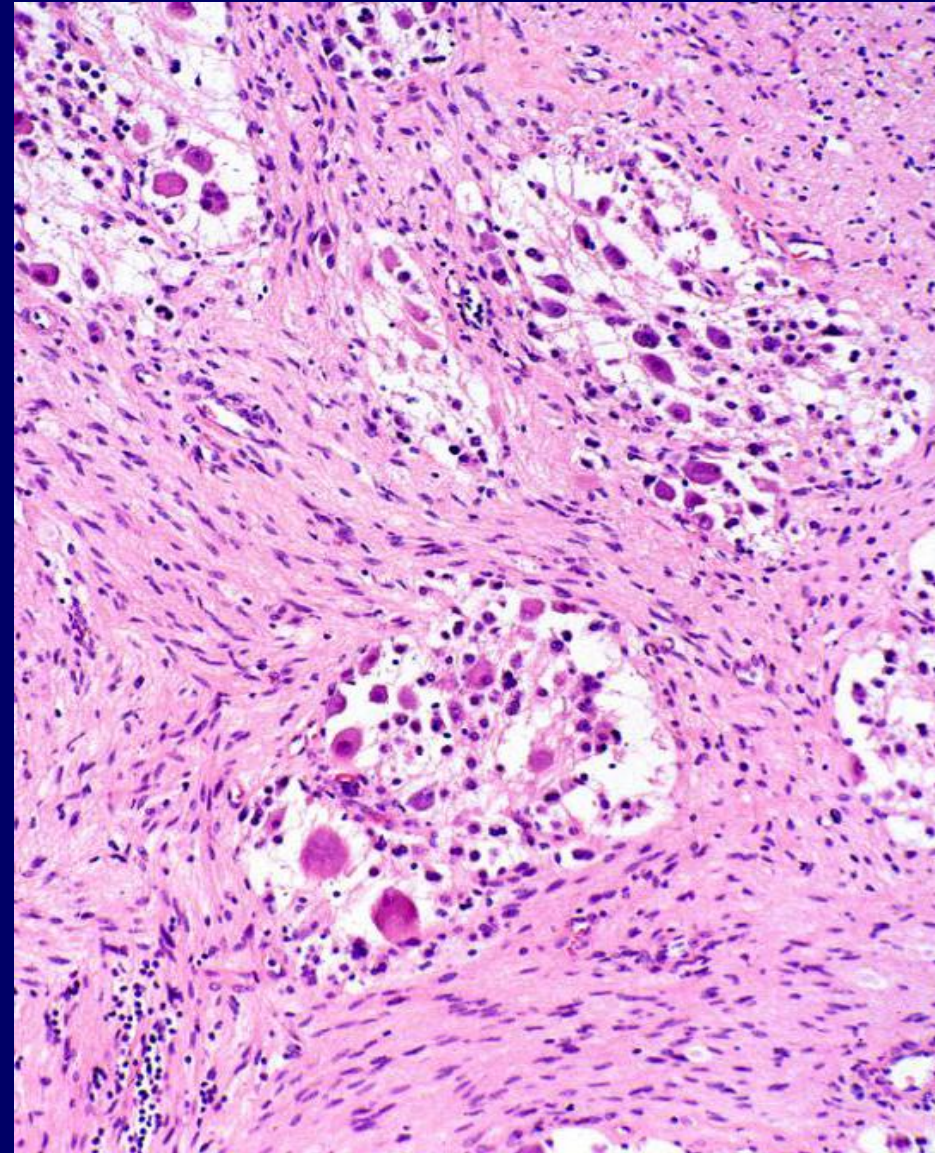
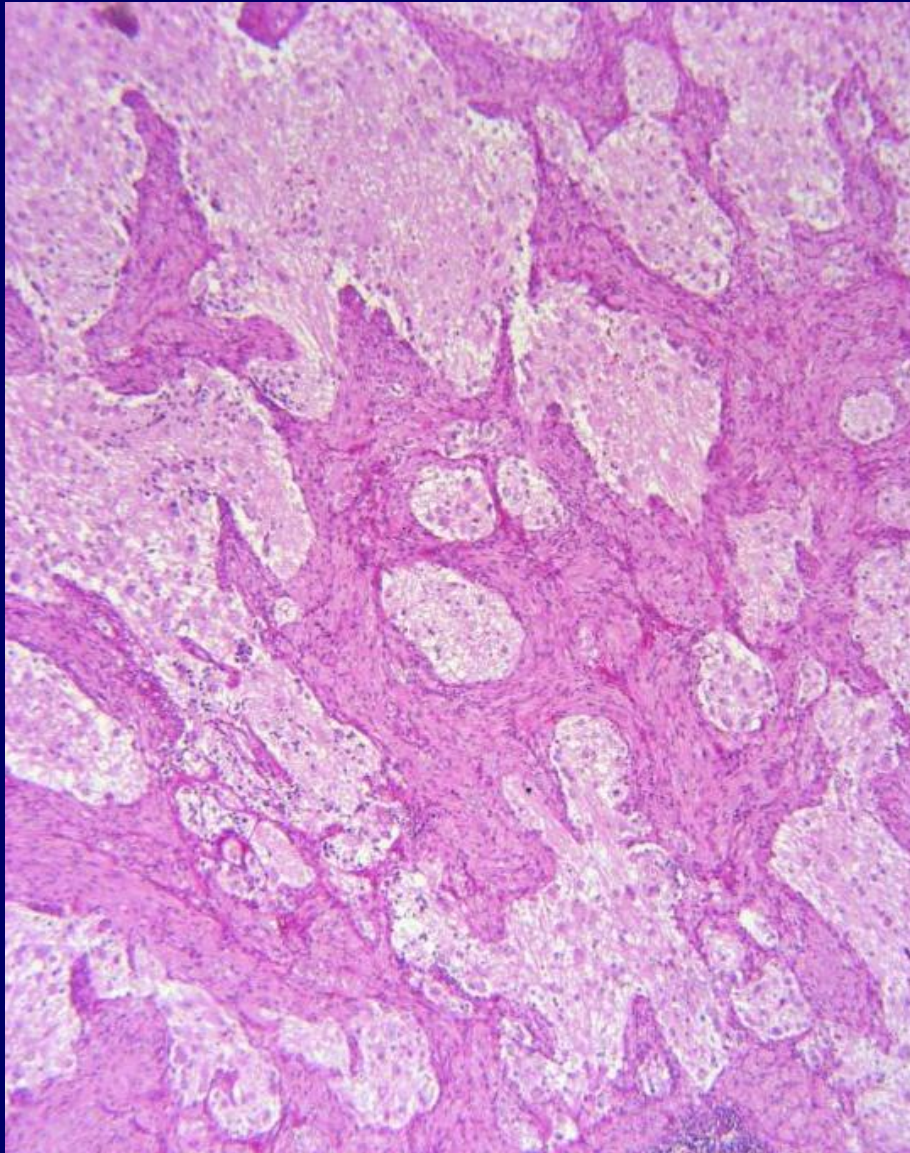


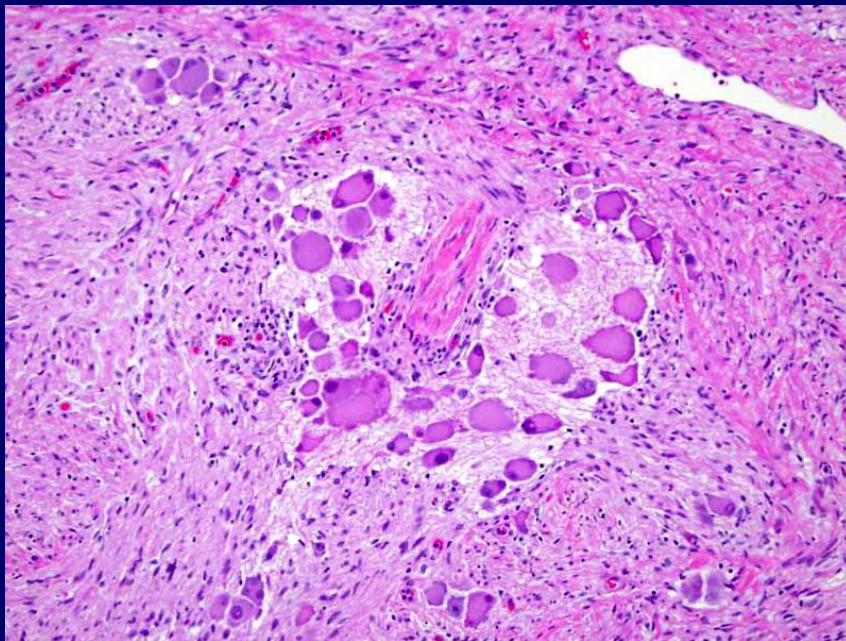
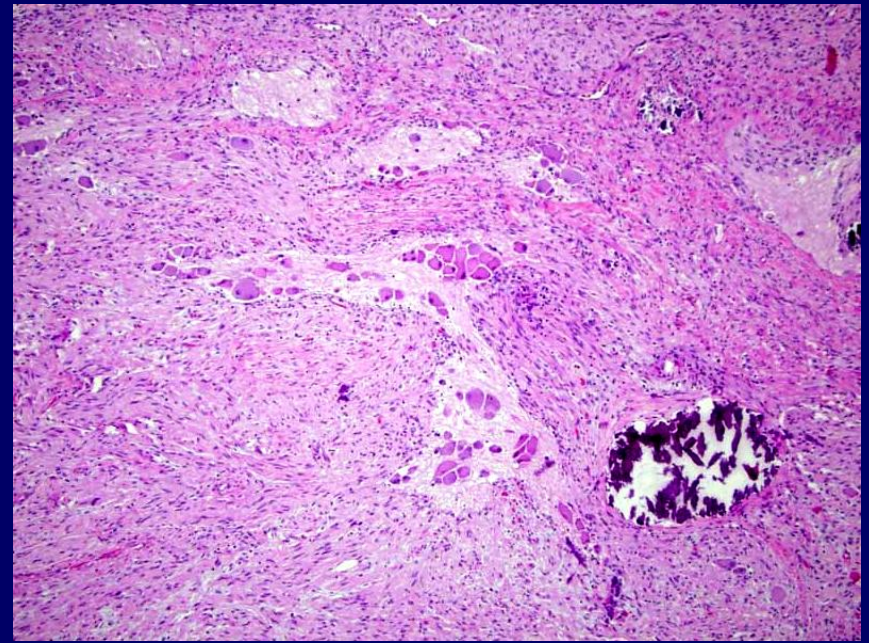
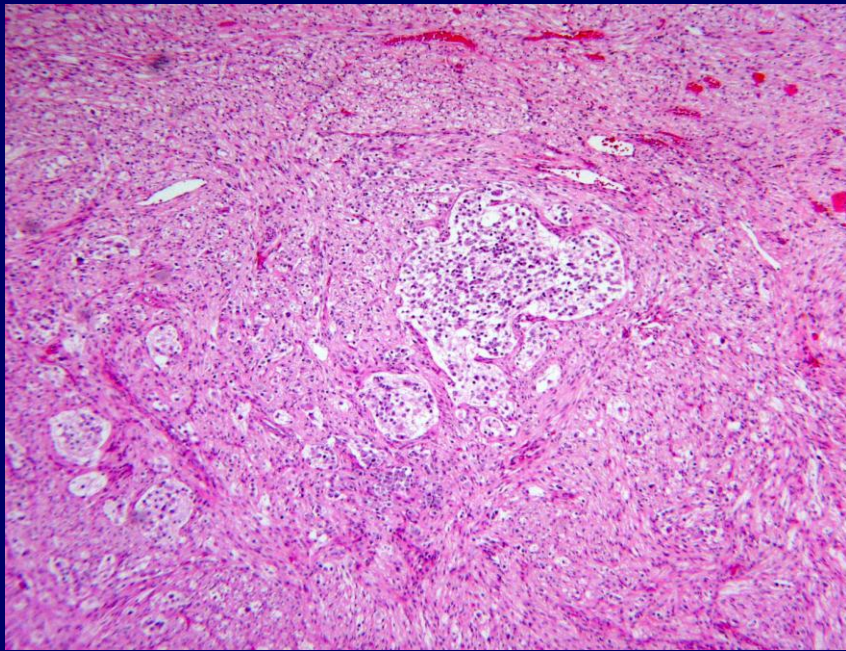
S-100

S-100

Neuroblastoma to  
Ganglioneuroblastoma, Intermixed

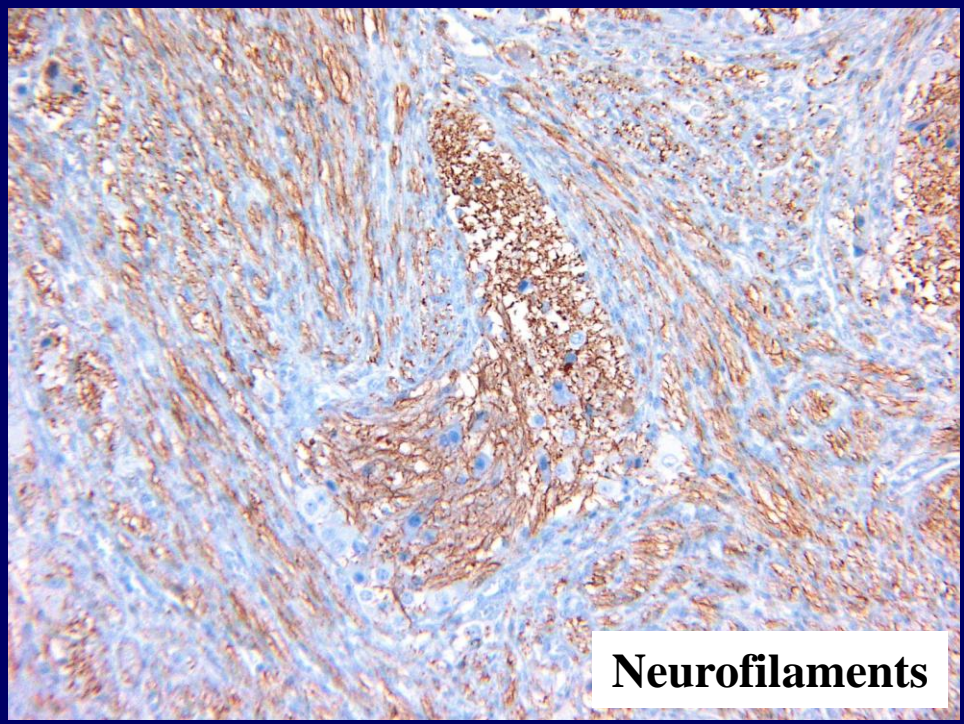
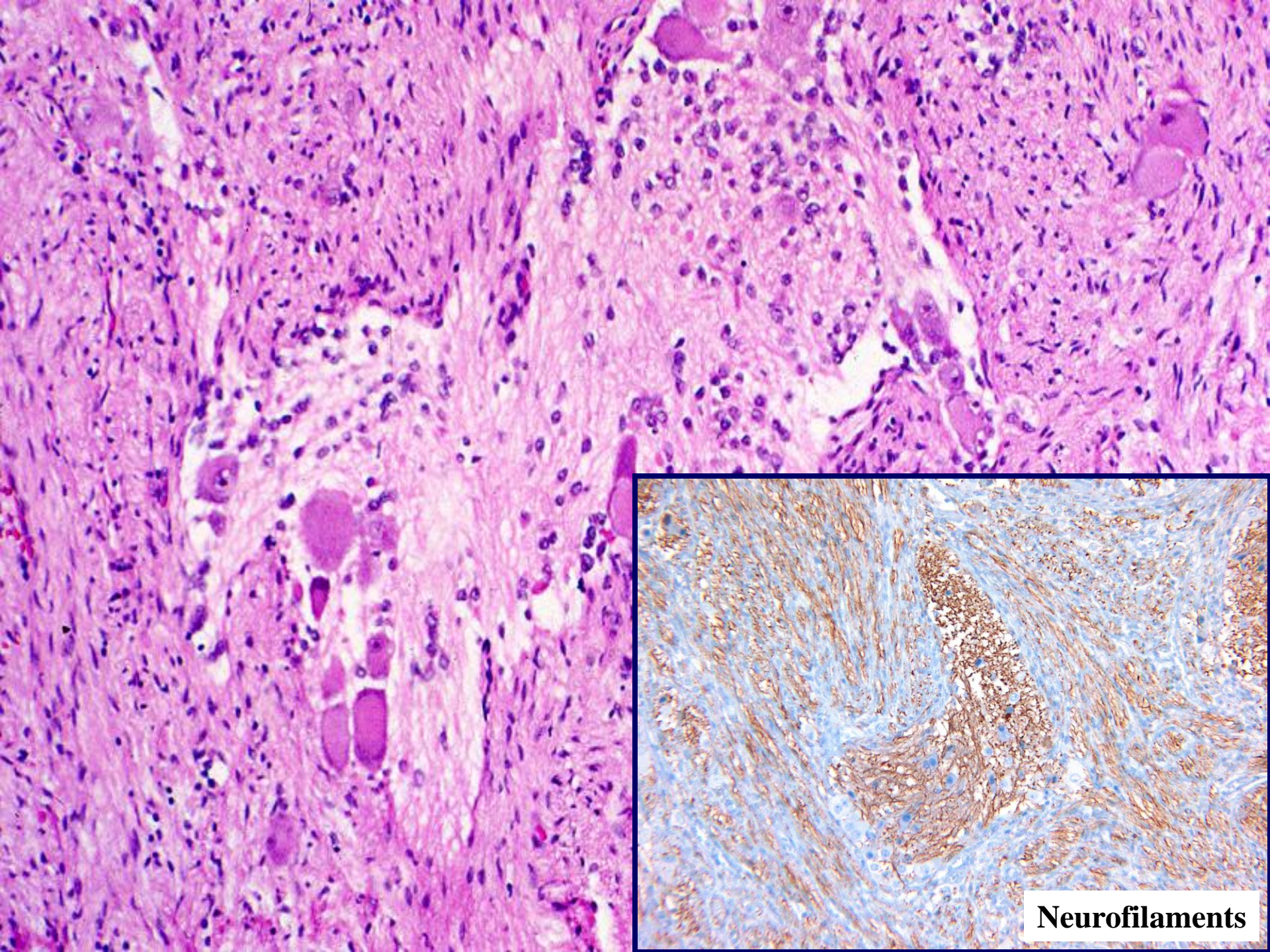
# Ganglioneuroblastoma, Intermixed (Schwannian stroma-rich)





**Ganglioneuroblastoma, Intermixed**

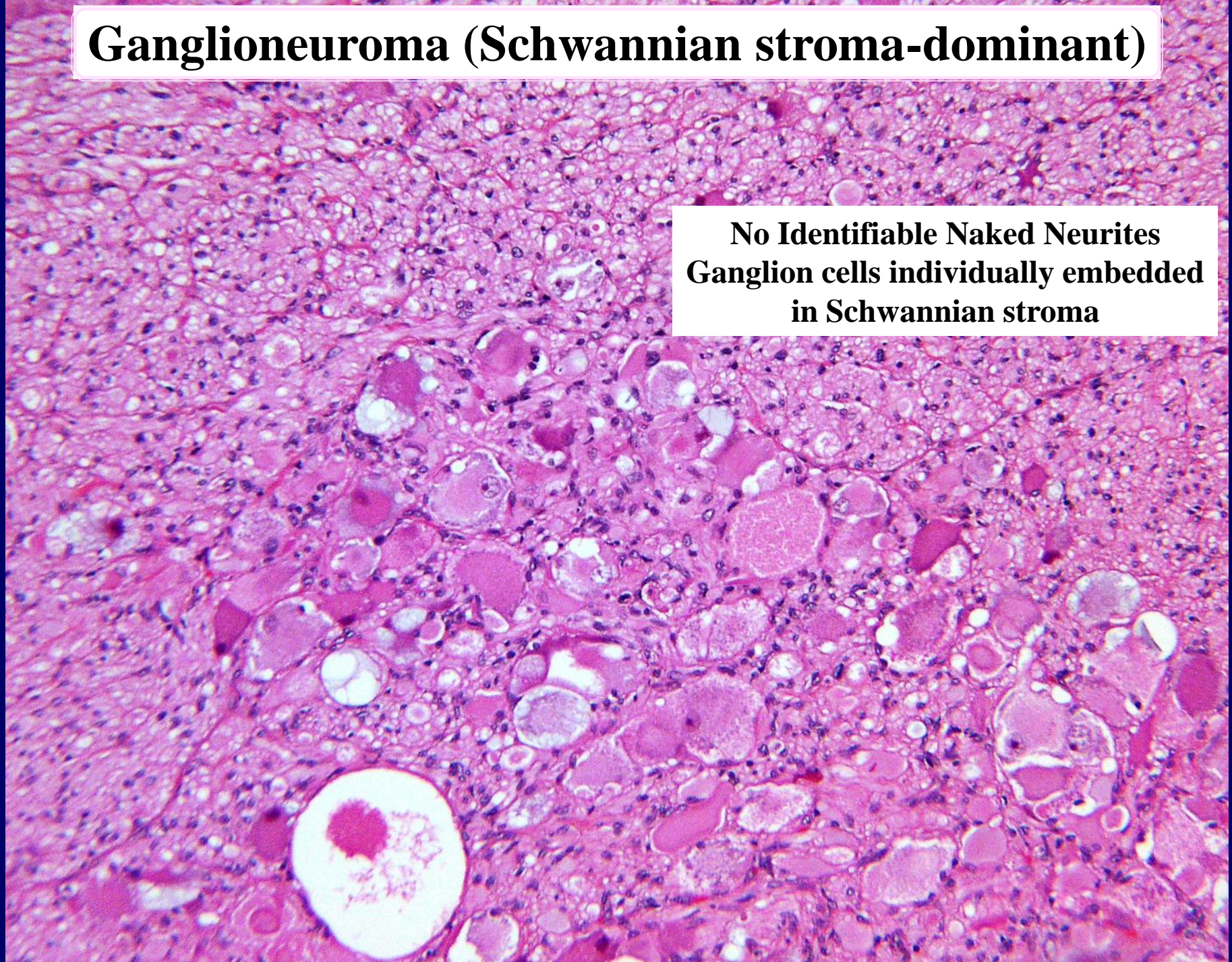
**Pockets of Naked (Not Covered by Schwann Cells) Neurites**



**Neurofilaments**

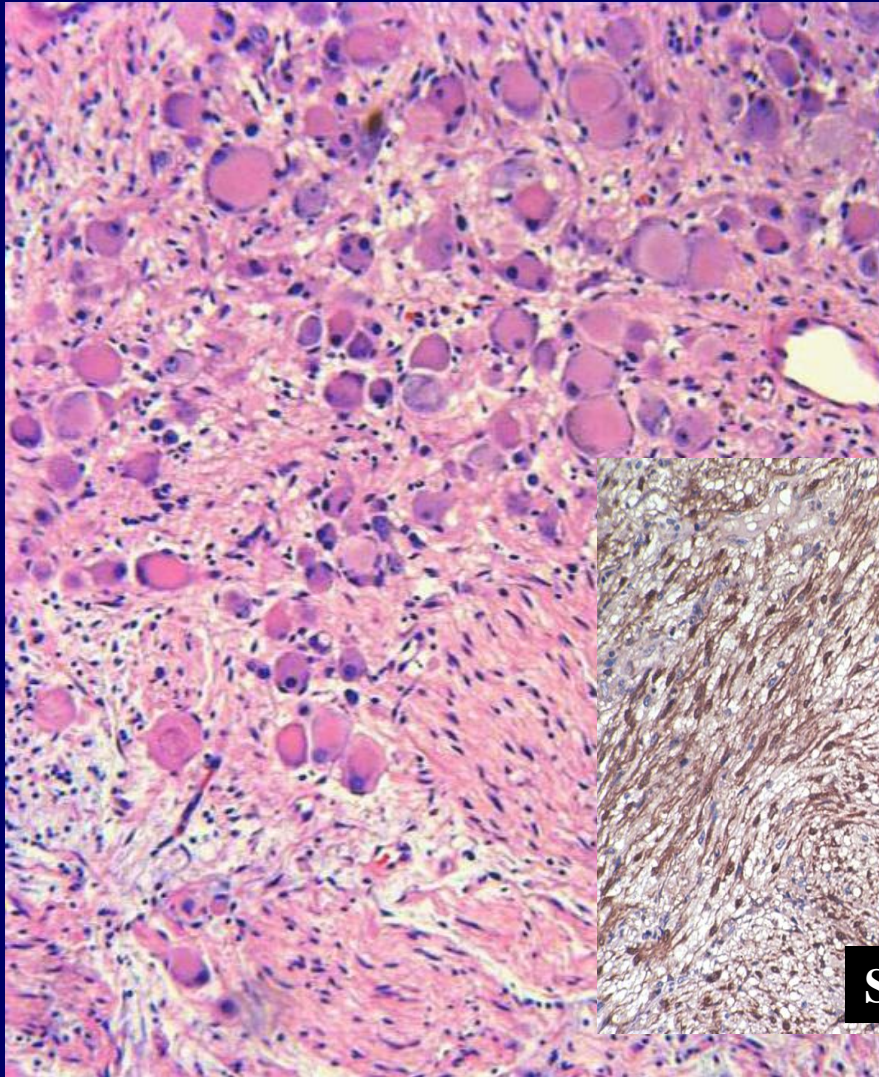
# Ganglioneuroma (Schwannian stroma-dominant)

**No Identifiable Naked Neurites  
Ganglion cells individually embedded  
in Schwannian stroma**

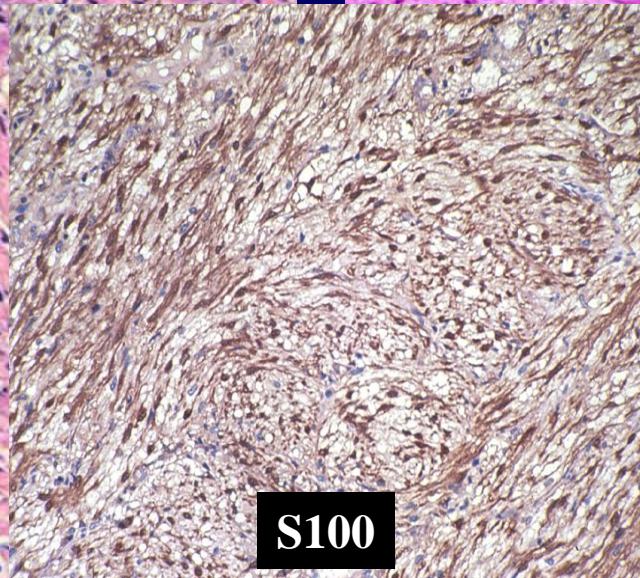
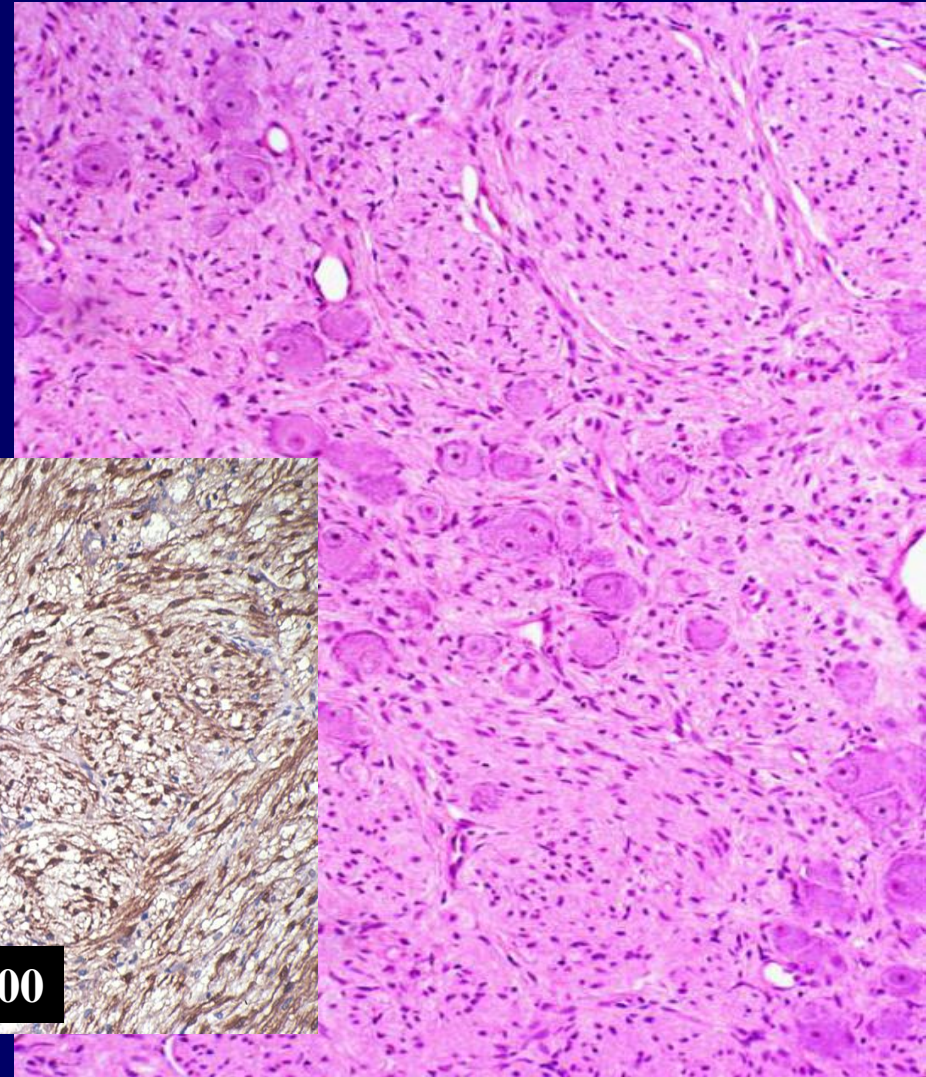


# Ganglioneuroma (Schwannian stroma-dominant)

## Maturing Subtype



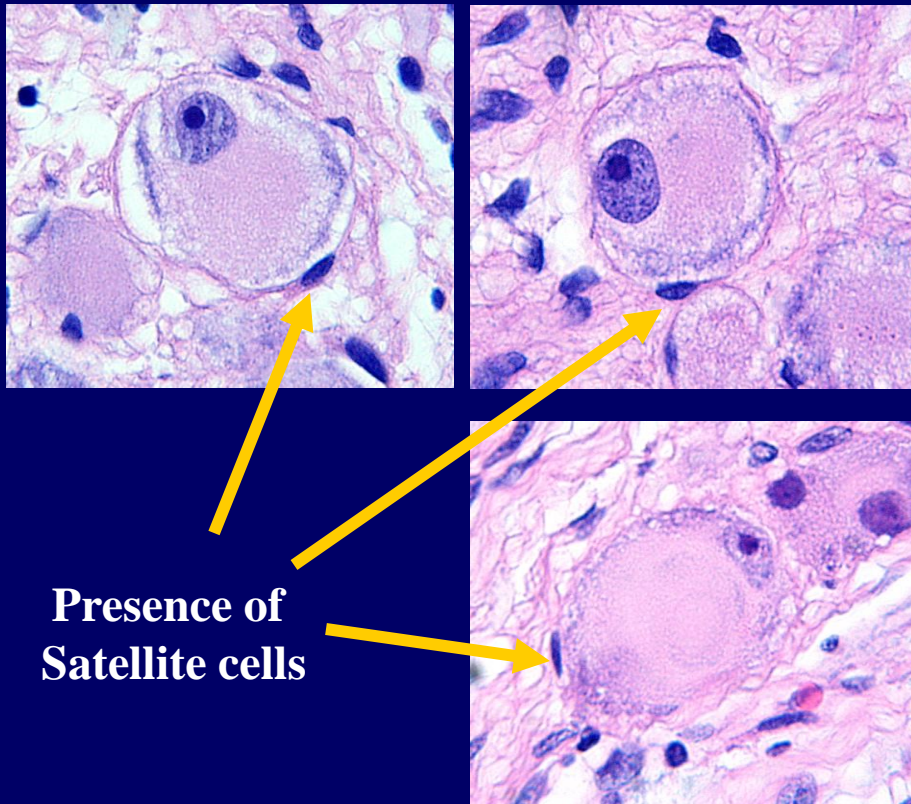
## Mature Subtype



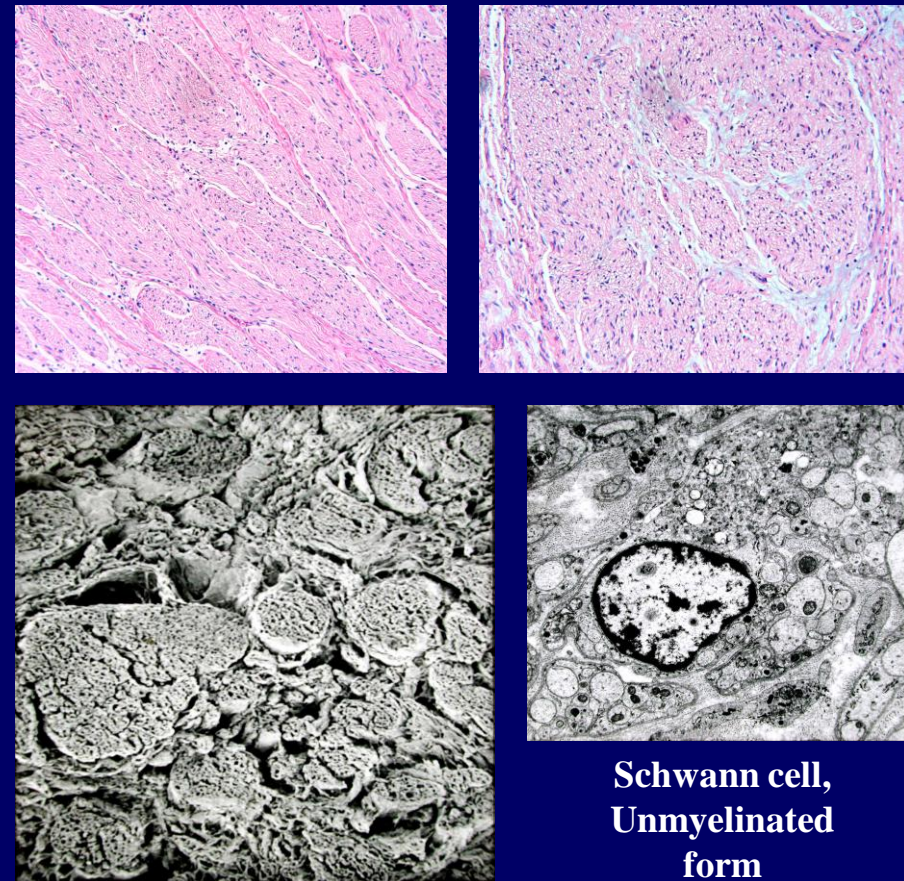
# Ganglioneuromatous Tissue

**Completely Mature  
Ganglion Cells**

**Completely Developed  
Schwannian Stroma**



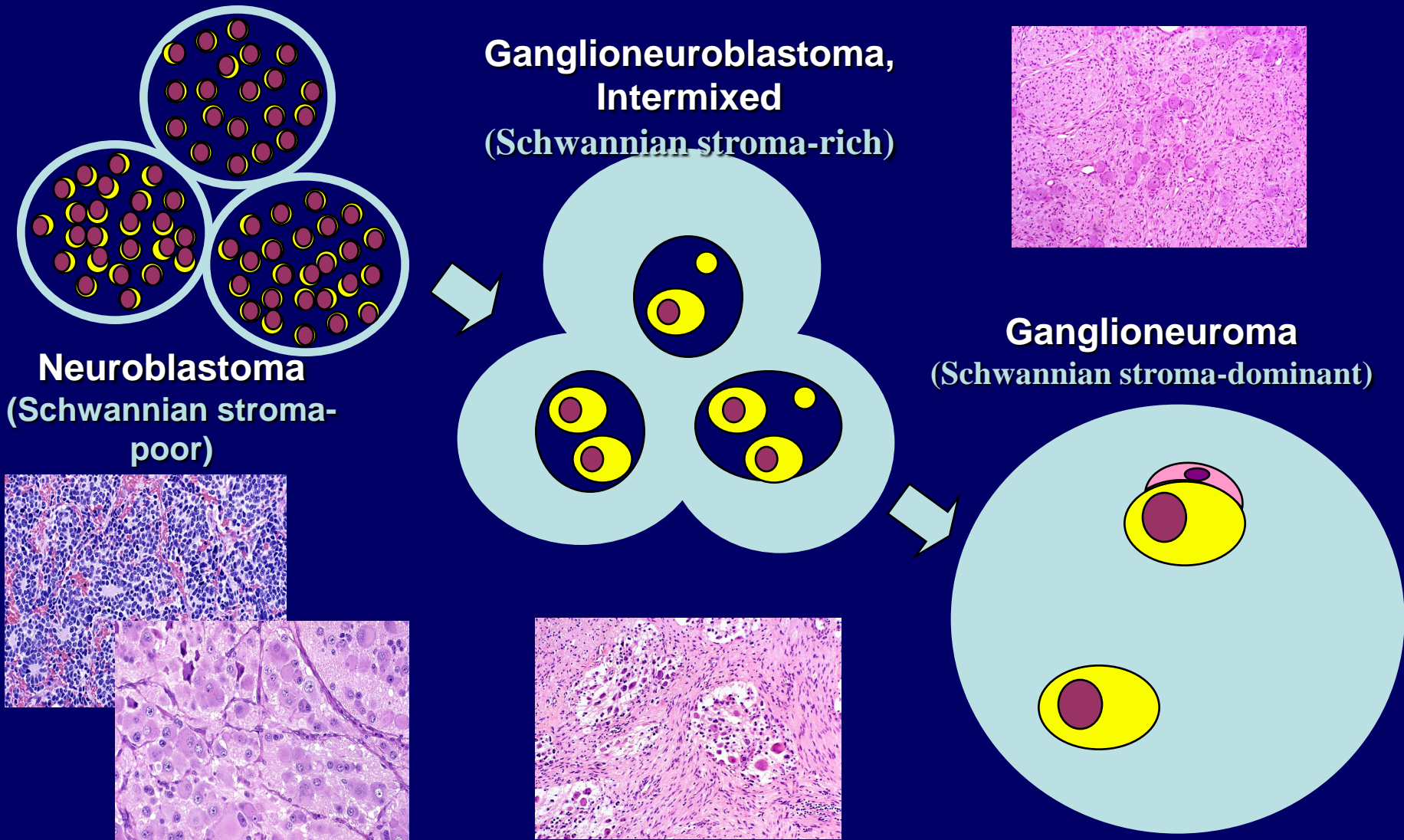
**Presence of  
Satellite cells**



**Schwann cell,  
Unmyelinated  
form**

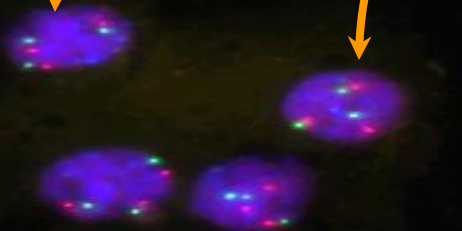
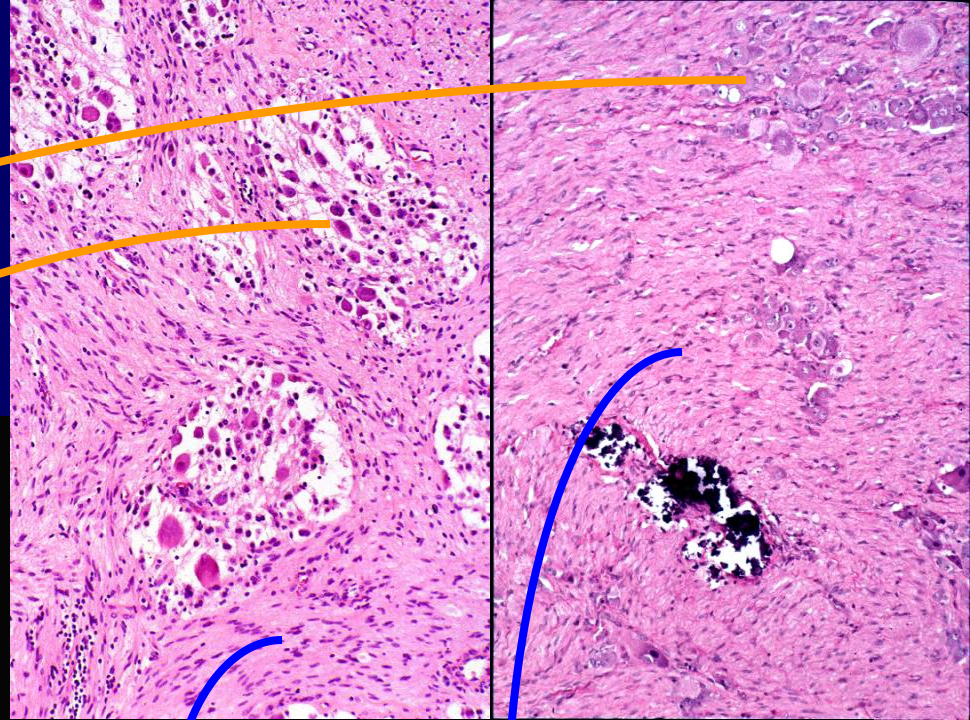
# Peripheral Neuroblastic Tumors

## Steps of Tumor Maturation

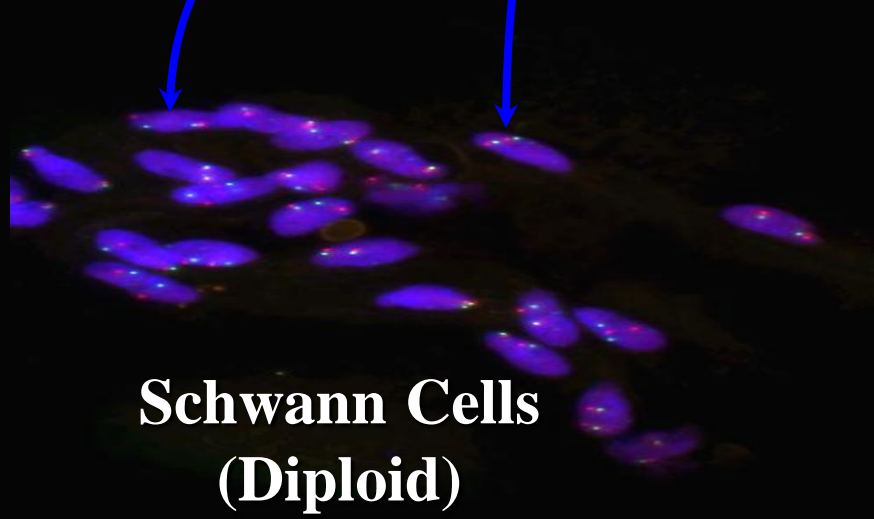




# Neuronal Cells & Schwann Cells



**Neuronal Cells  
(Hyperploid)**

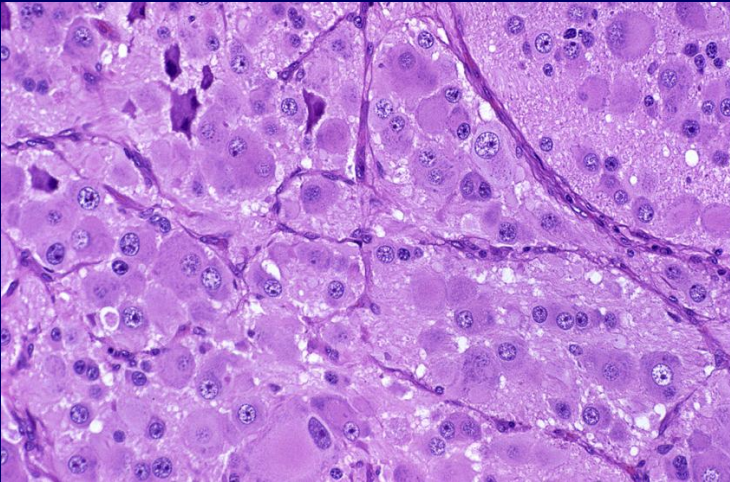


**Schwann Cells  
(Diploid)**

**Different Cellular Origins for Neuronal cells and  
Schwann Stromal Cells**

# Historical Overview

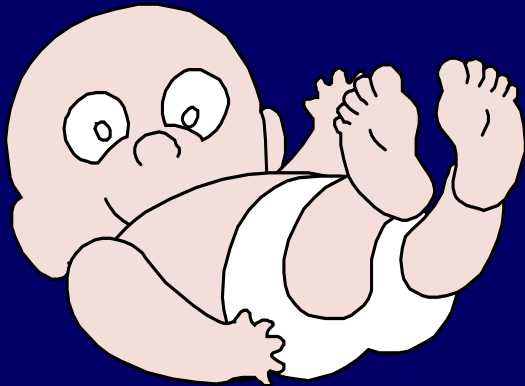
Early 20<sup>th</sup> Century



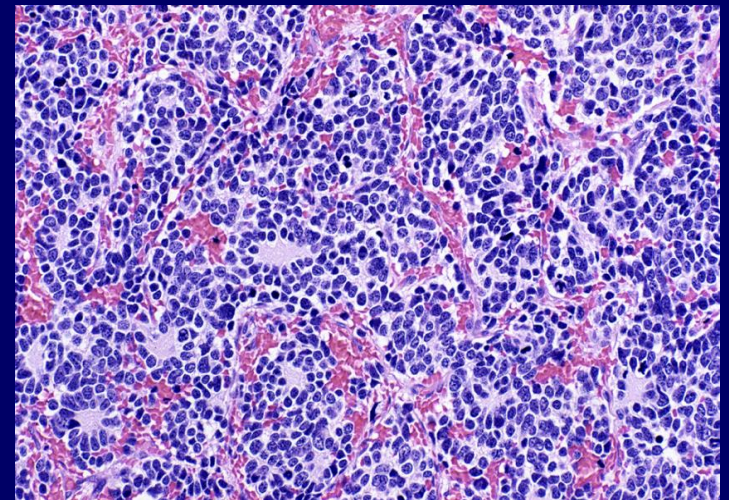
Diagnosed in  
Older Children  
Favorable  
Clinical Course



1950's



Favorable Clinical Course  
in Infants



# Historical Overview

## Neuroblastoma

**Newborns, Infants: Good Prognosis**

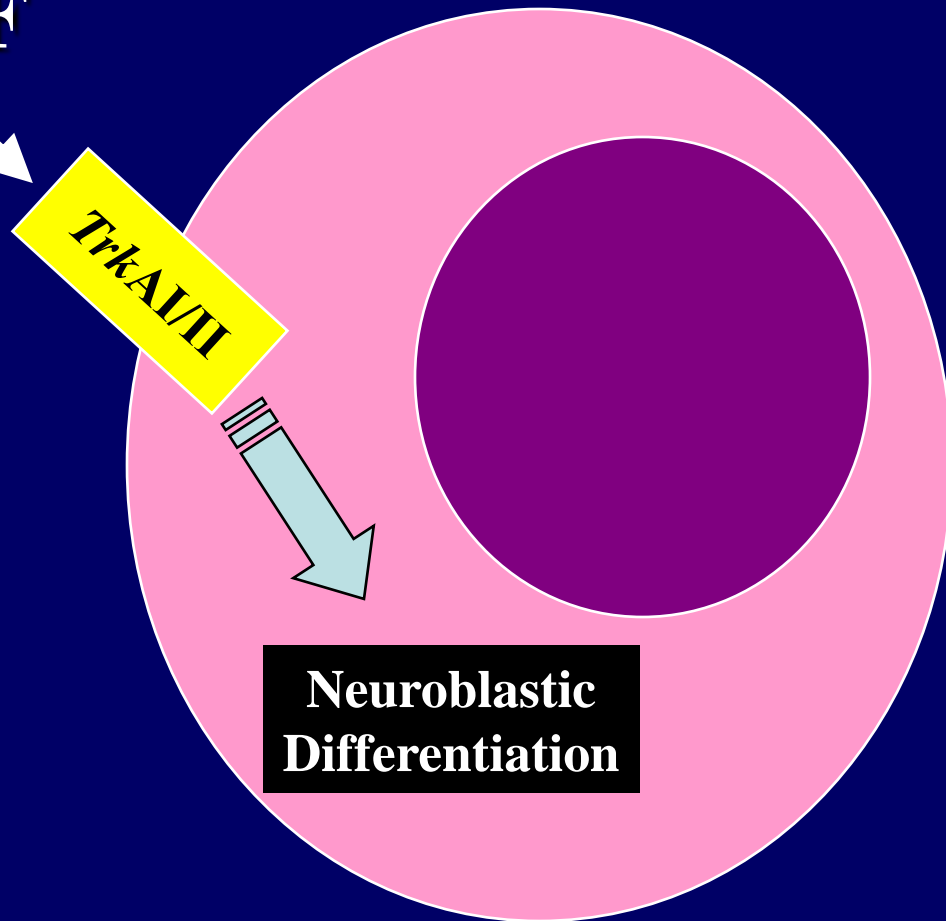
**Children  $\geq 5$  years: Poor Prognosis**

## Ganglioneuroblastoma / Ganglioneuroma

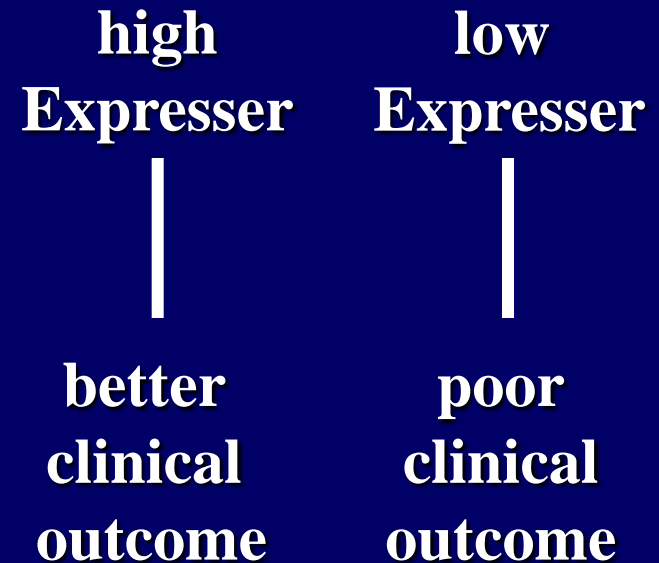
**Older Children: Excellent Prognosis**

# *TrkA*/*TrkB* Expression in Neuroblastoma

NGF



Tumors



**Neuroblastoma (Schwannian stroma-poor)**  
**< 50% Schwannian Stroma/  
Ganglioneuromatous tissue**

**Undifferentiated Subtype:**

**Totally Undifferentiated**

**Poorly Differentiated Subtype:**

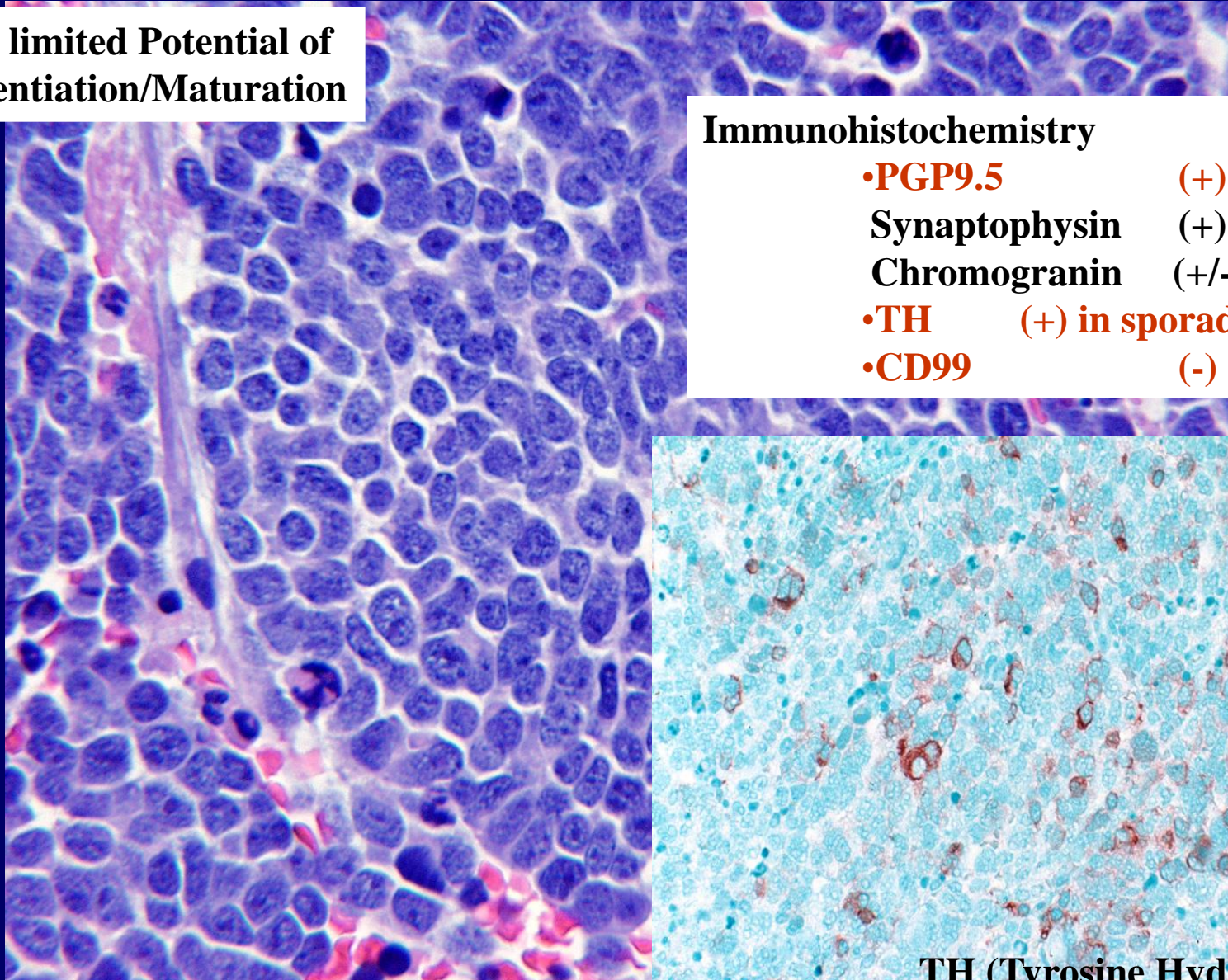
**Neuropil Formation (+)**

**Differentiating Subtype:**

**>5% Differentiating Neuroblasts**

# Neuroblastoma (Schwannian stroma-poor) Undifferentiated Subtype

No or limited Potential of  
Differentiation/Maturation



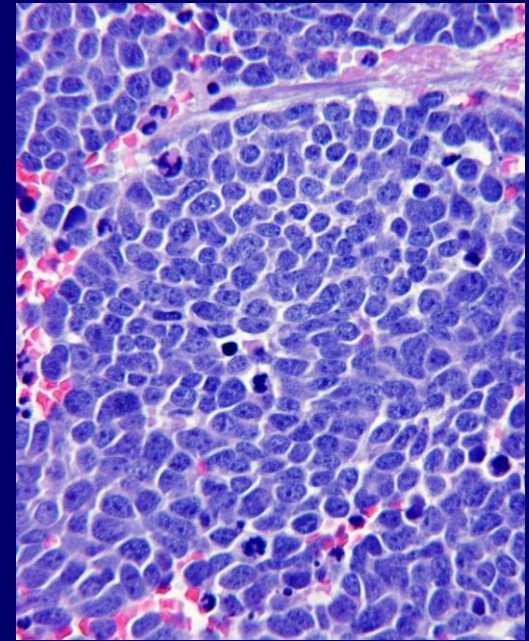
## Immunohistochemistry

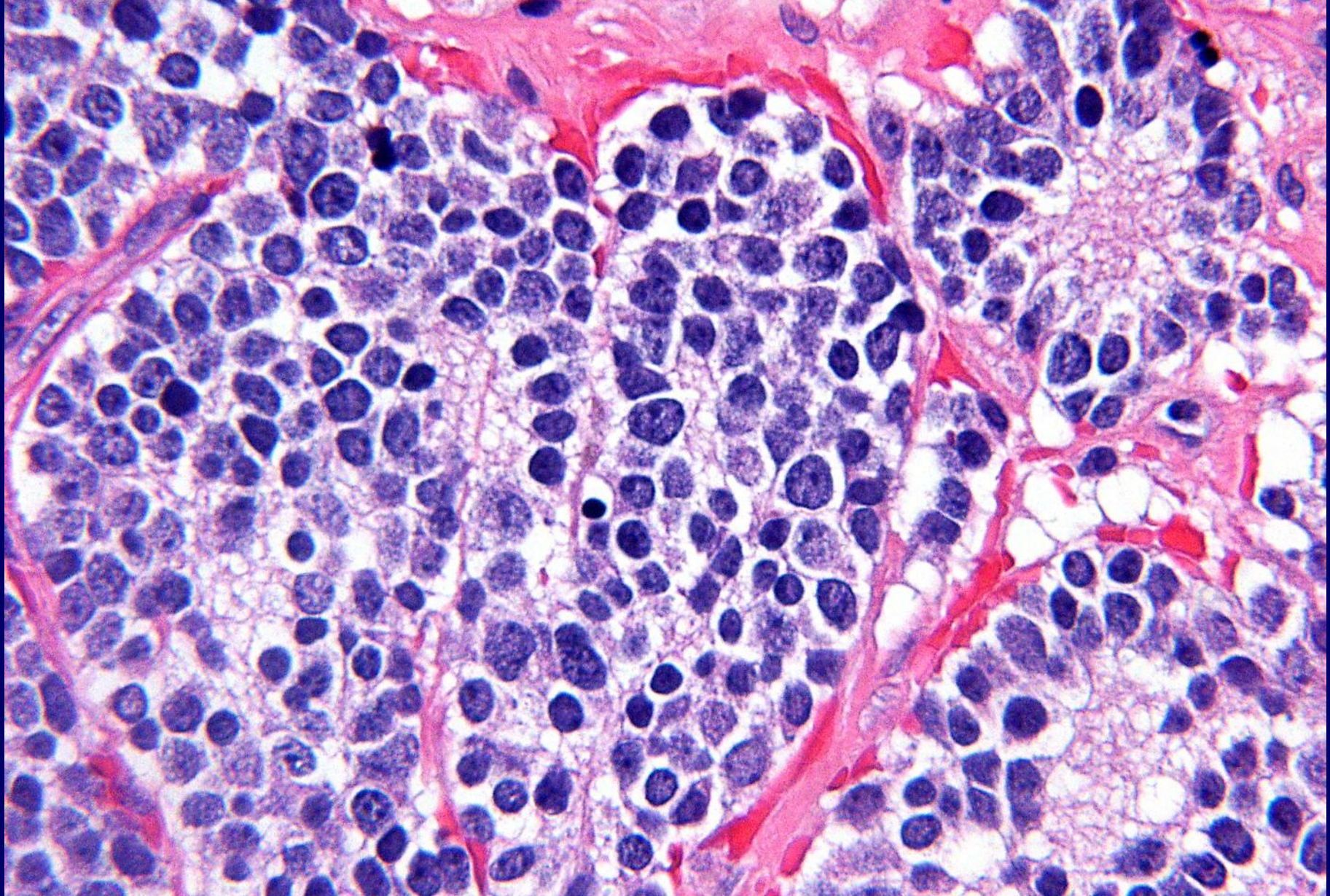
- PGP9.5 (+)
- Synaptophysin (+)
- Chromogranin (+/-)
- TH (+) in sporadic cells
- CD99 (-)

TH (Tyrosine Hydroxylase)

# Neuroblastoma, Undifferentiated Subtype

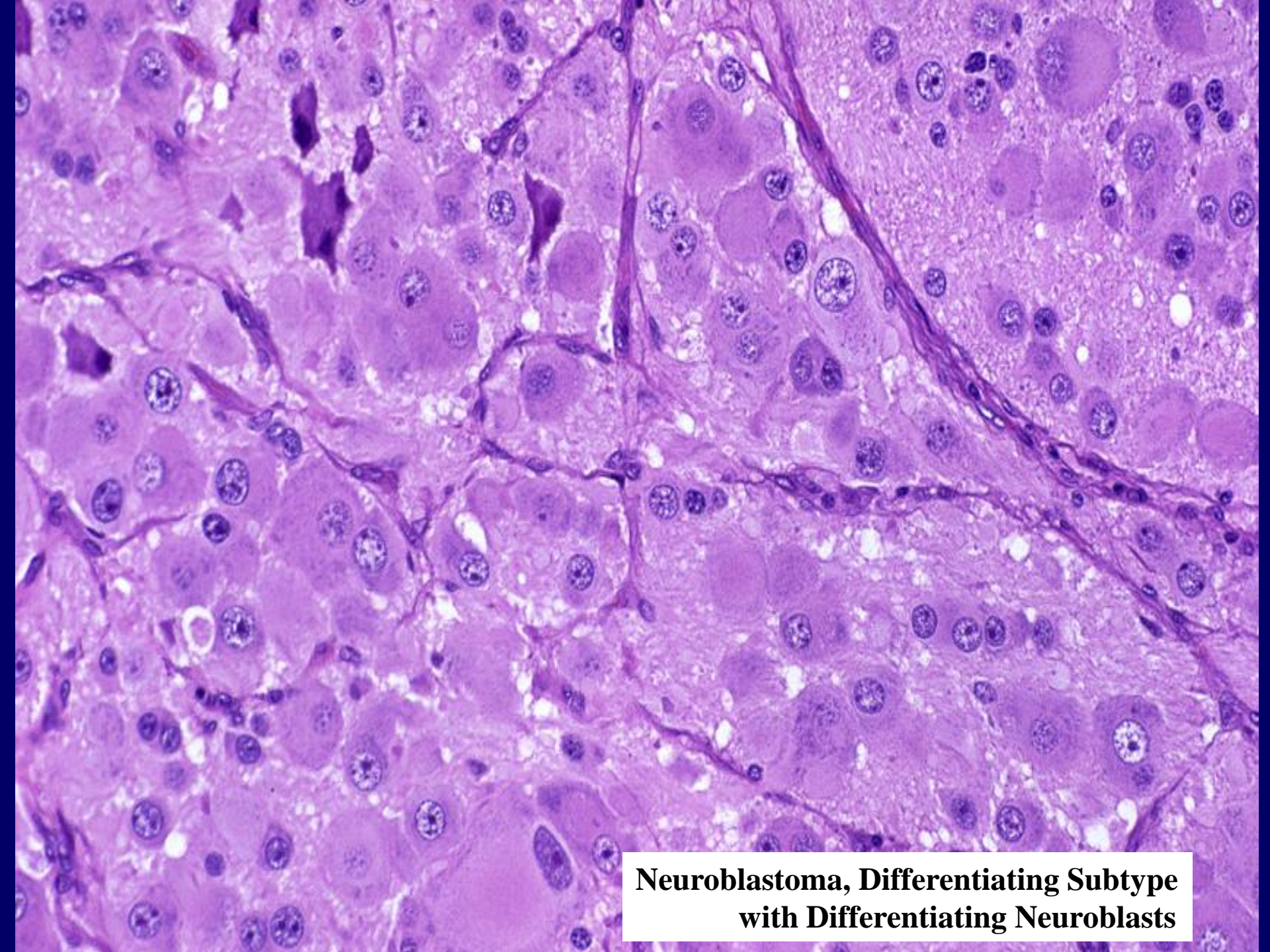
- ✓ **Very Rare Subtype (<3%)**
- ✓ **Very Low *trkA*/*trkB* Expression**
  - No or Limited Potential of Neuroblastic Differentiation
- ✓ **Extremely Poor Prognosis**
- ✓ **High Incidence of MYC Protein Expression**
  - MYCN* Amplification with N-myc Protein Expression
  - C-myc Protein Overexpression





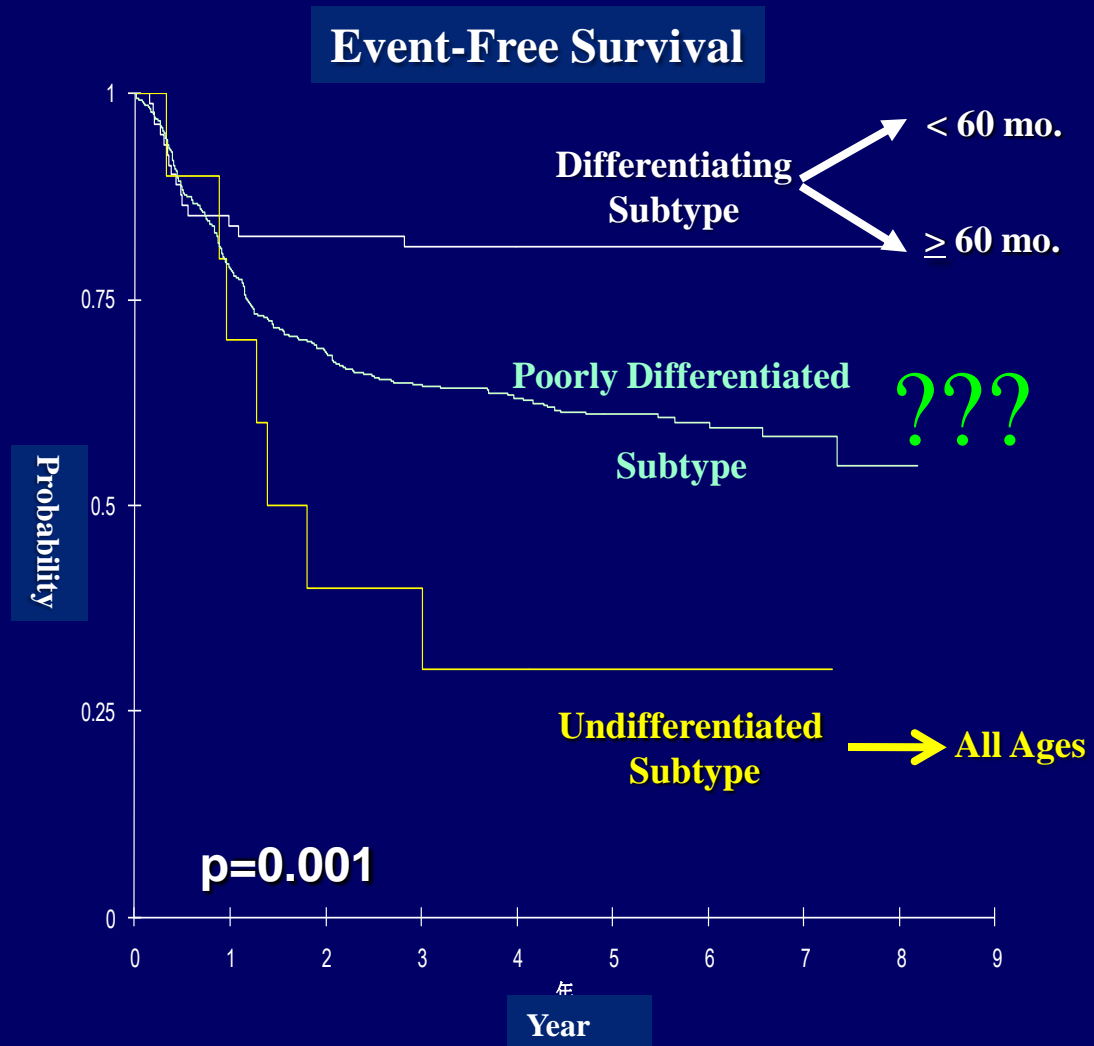
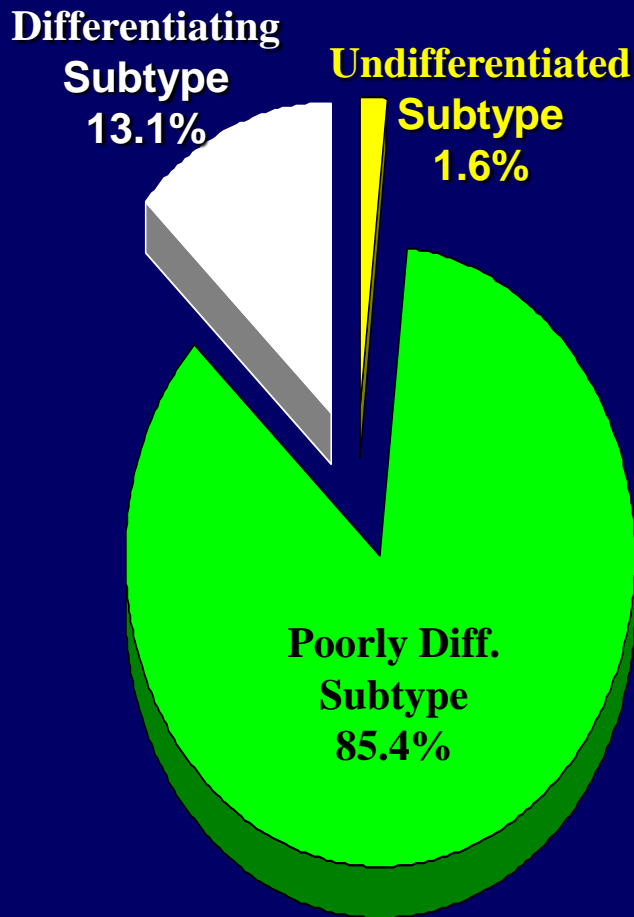
**Neuroblastoma, Poorly Differentiated Subtype  
With or Without Potential of  
Differentiation/Maturation**



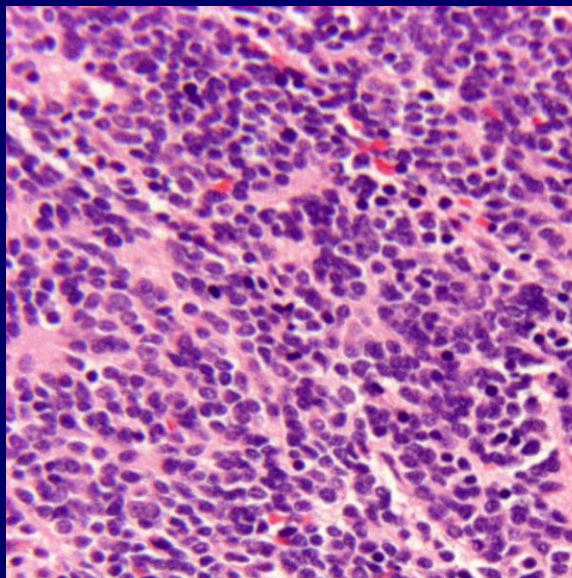


**Neuroblastoma, Differentiating Subtype  
with Differentiating Neuroblasts**

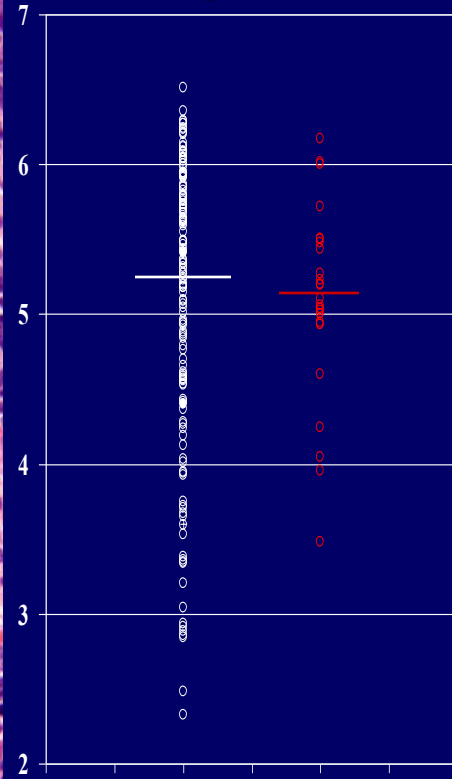
# Neuroblastoma Patients - Survivals by Grade of Neuroblastic Differentiation (CCG -3881/-3891 Study)



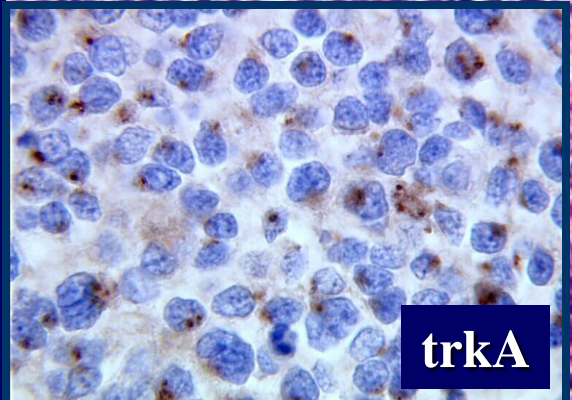
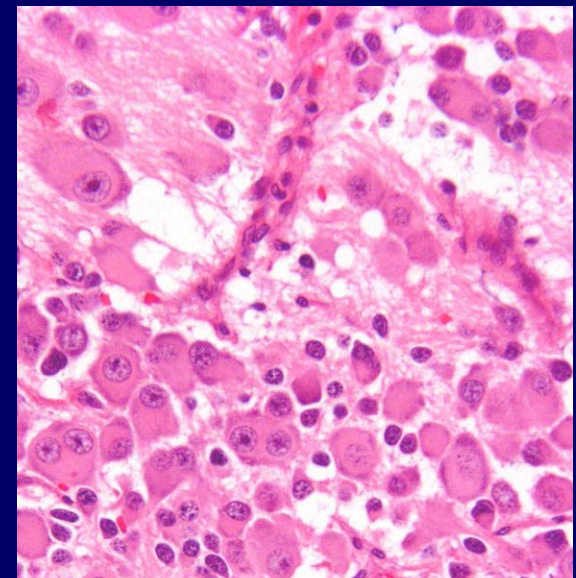
# *TrkA*/*II* Expression in Favorable Histology Neuroblastoma Tumors



*trkA*/*II* (log)



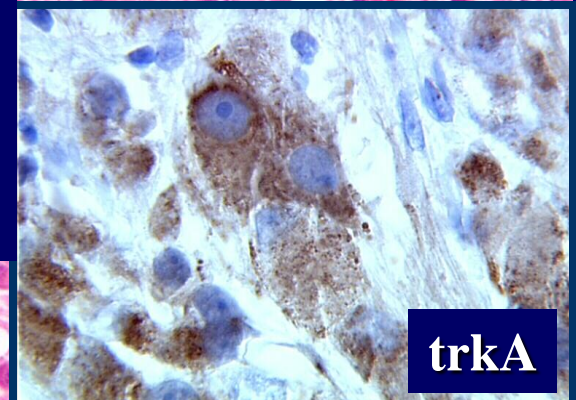
Poorly Diff.  
Diff.



**trkA**

*trkA* Expression: high  
*MYCN*: non-amplified

Diagnosed at 5 months of age

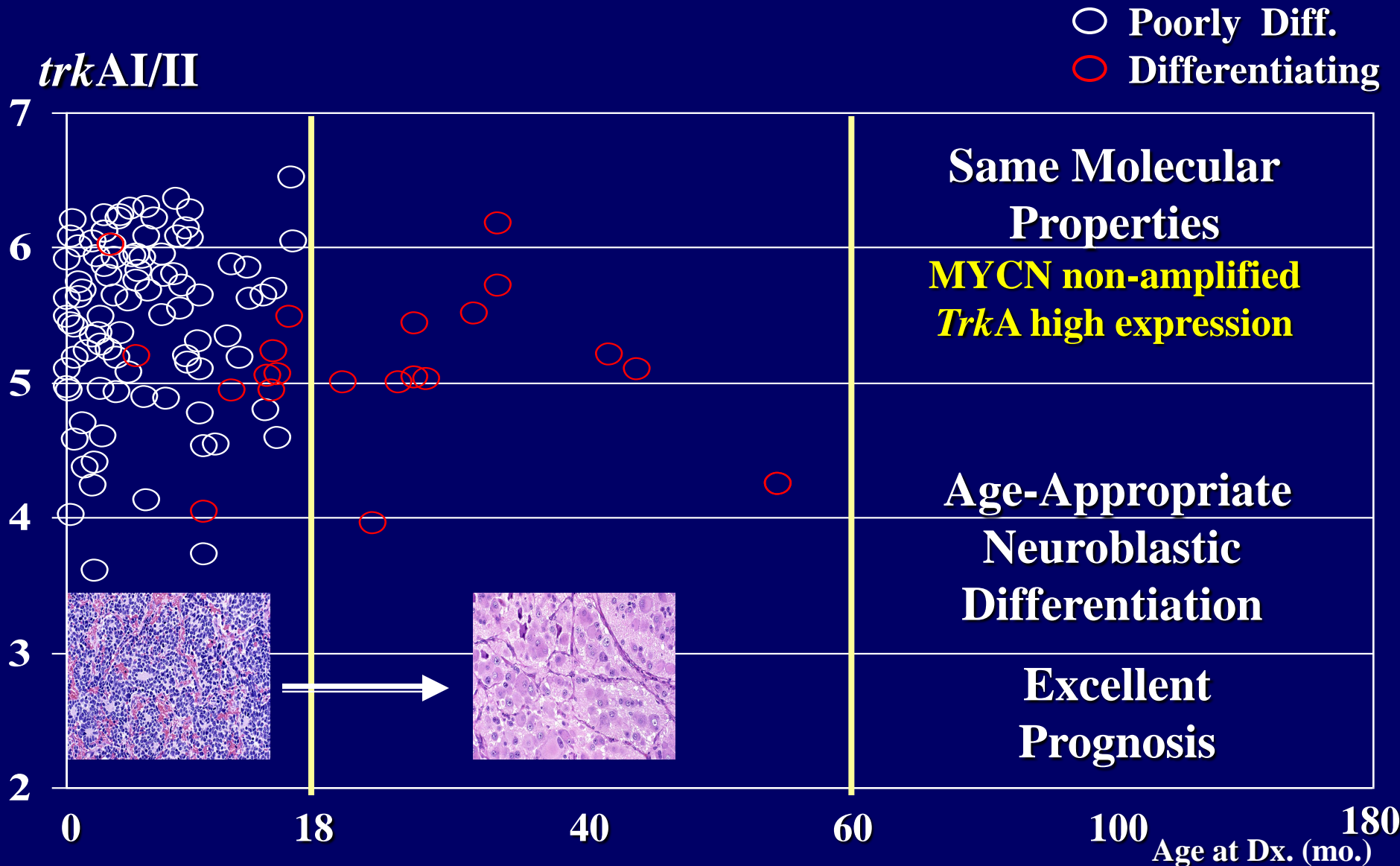


**trkA**

*trkA* Expression: high  
*MYCN*: non-amplified

Diagnosed at 2 years and one month of age

# *TrkA*/*II* Expression and Age-Appropriate Neuroblastic Differentiation in FH Neuroblastoma Tumors



# Neuroblastoma, Poorly Differentiated Subtype

## *TrkA*/*II* High Expresser

Age-Appropriate Neuroblastic Differentiation

*In Vivo* Latent Period for Differentiation

Newborns, Infants — Good Prognosis with This Subtype

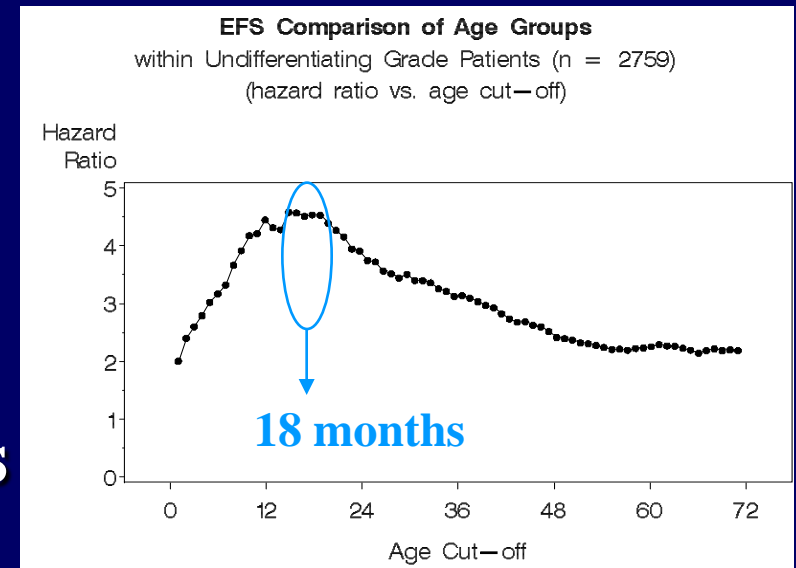
## *TrkA*/*II* Low Expresser

No Potential of Neuroblastic  
Differentiation

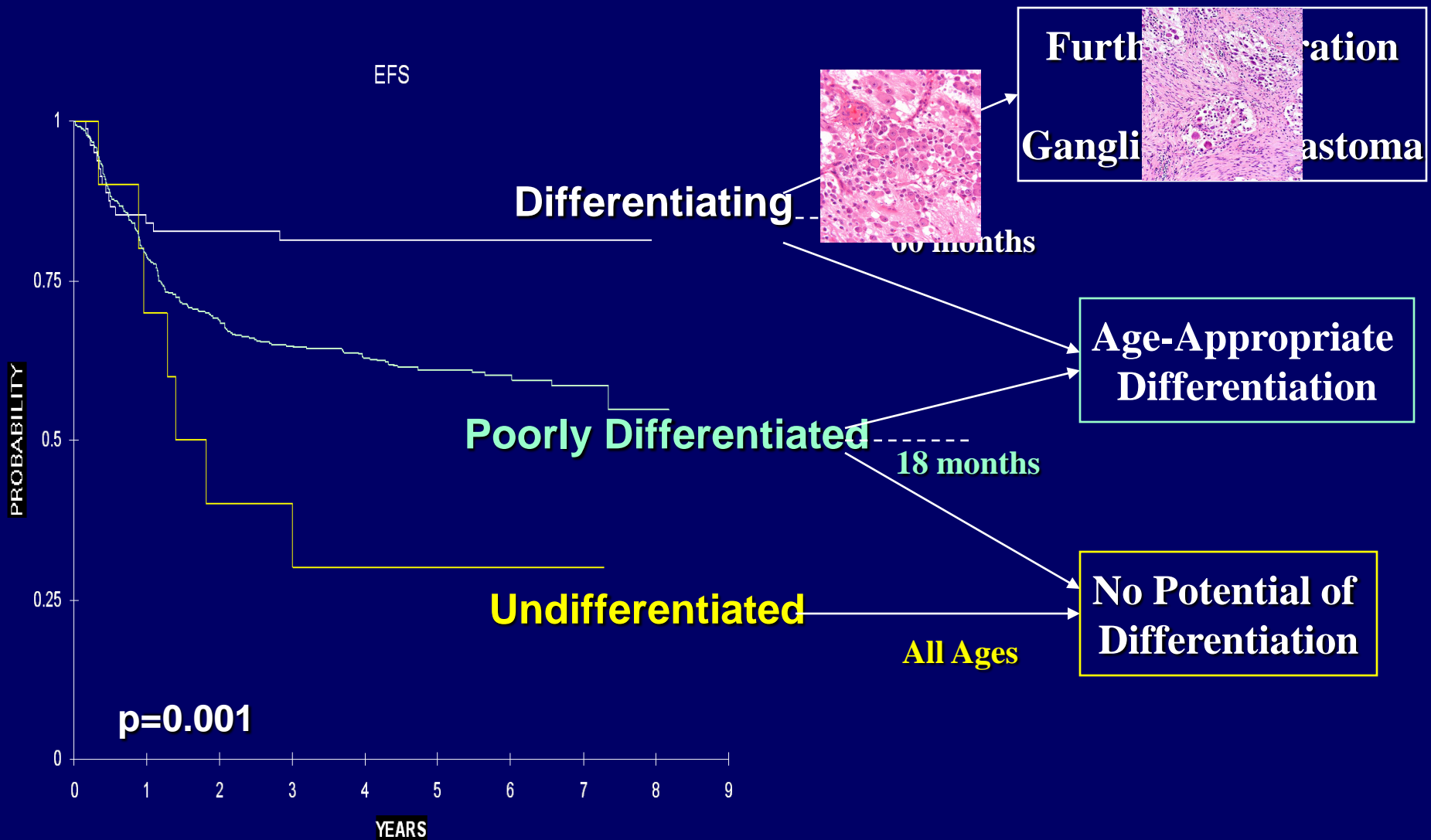
Older Children — Poor  
Prognosis with This Subtype

## Distinction of Prognostic groups

Based on the Hazard Ratio  
according to the Age of the Patients



# Biological Heterogeneity of Neuroblastoma (Schwannian Stroma-Poor)

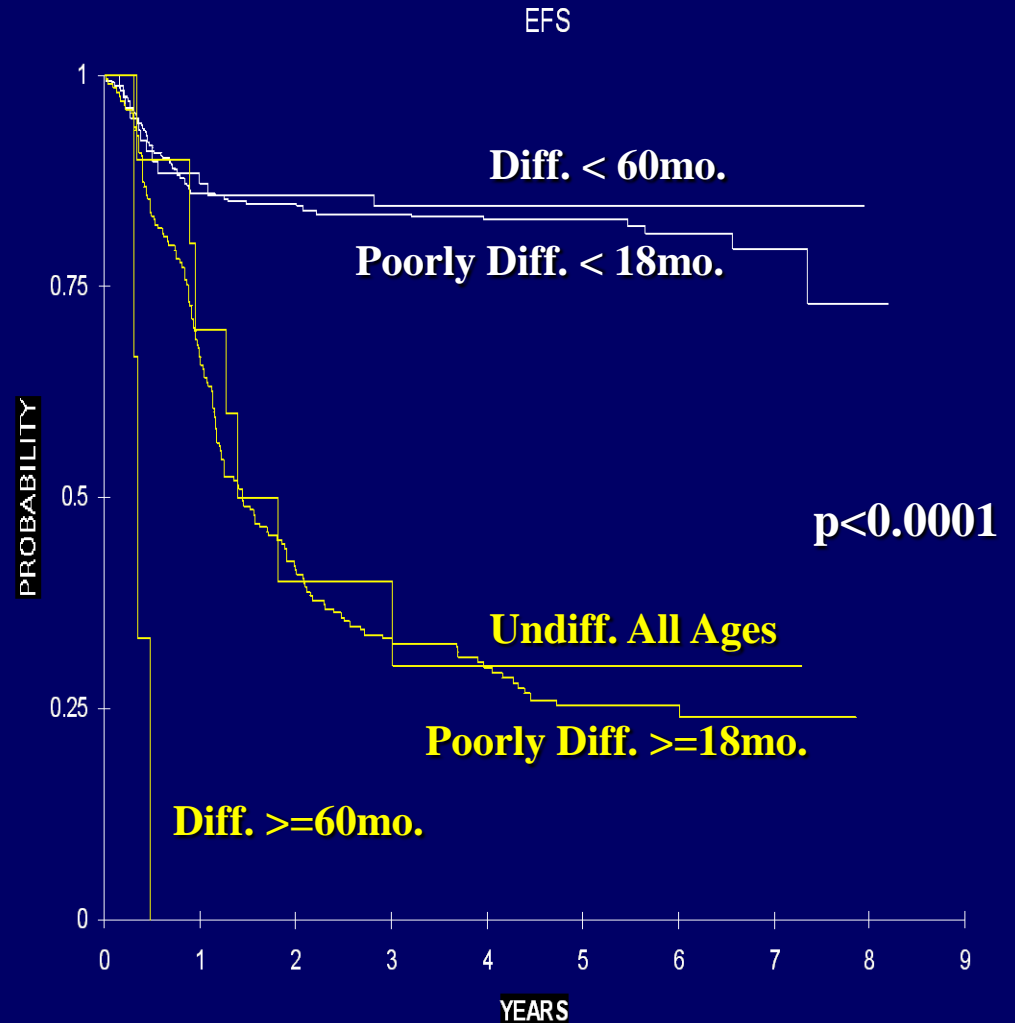


# Grade of Neuroblastic Differentiation in Neuroblastoma

## Age-linked Prognostic Effects

### Prognostic Grouping

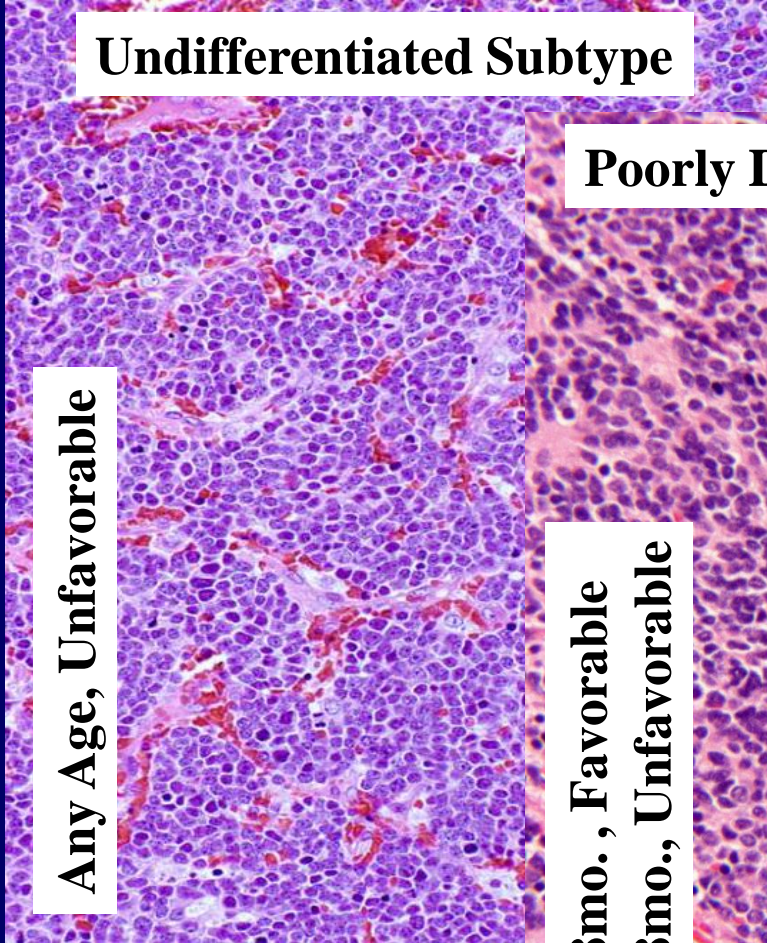
	<18mo.	18-60mo.	>=60mo.
Undiff.			
Poorly Diff.			
Diff.			



# Neuroblastoma (Schwannian stroma-poor)

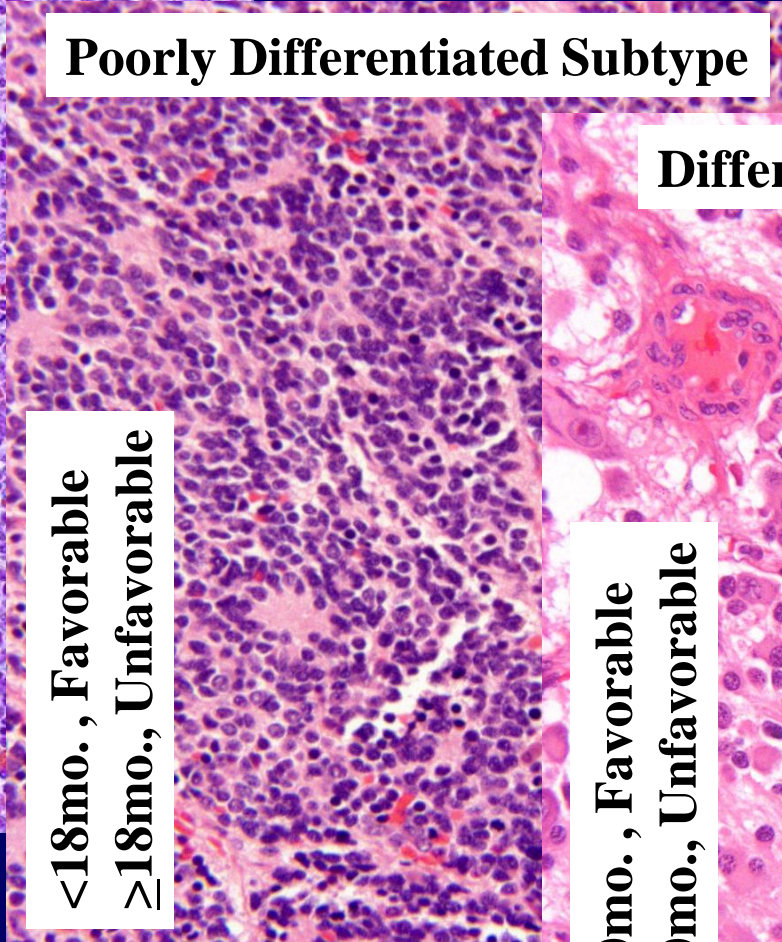
**Undifferentiated Subtype**

**Any Age, Unfavorable**



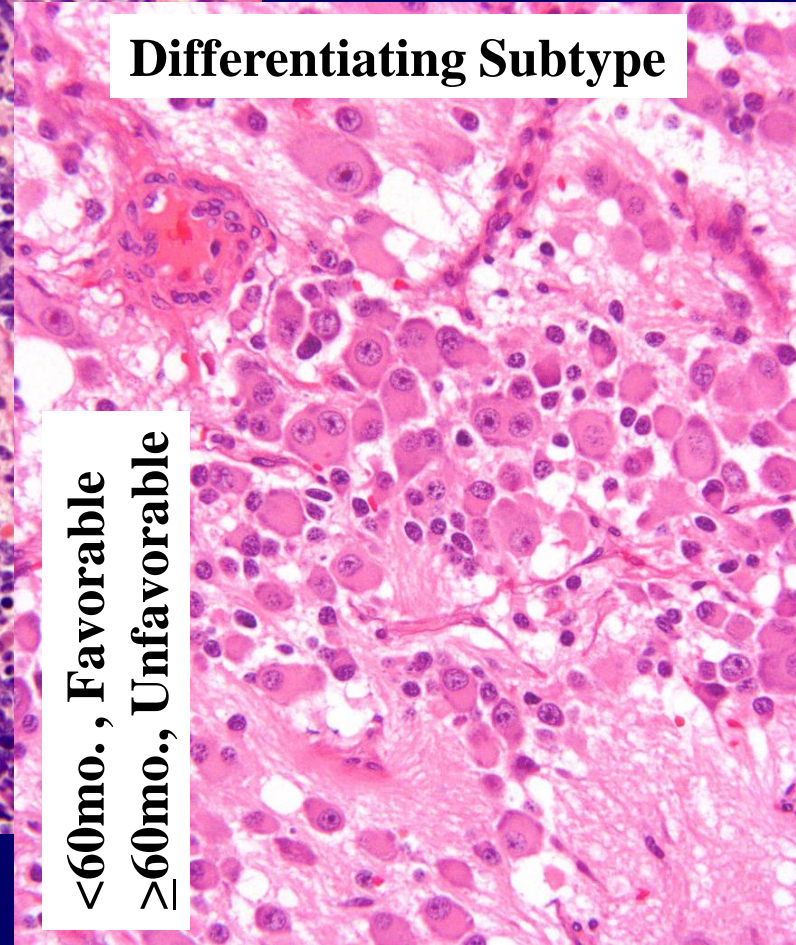
**Poorly Differentiated Subtype**

**<18mo., Favorable  
≥18mo., Unfavorable**



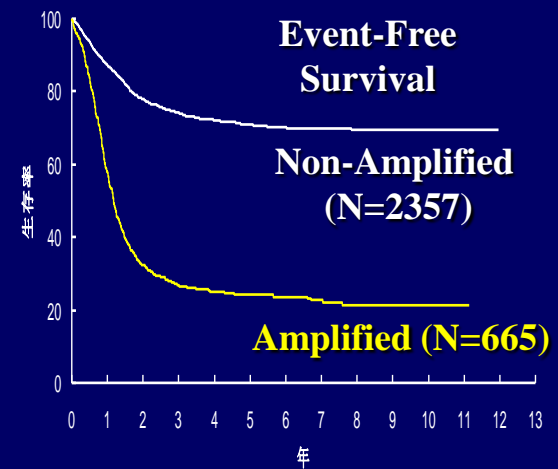
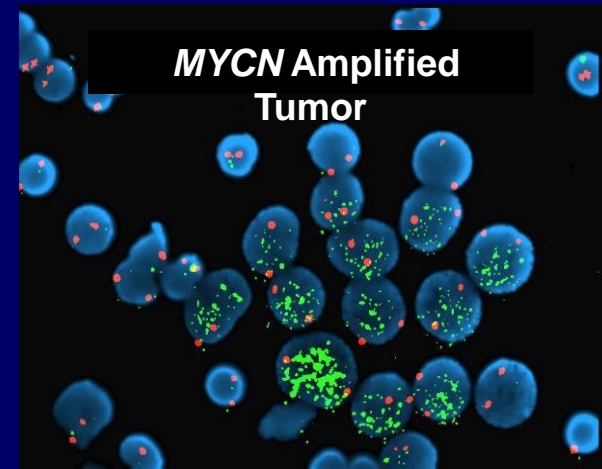
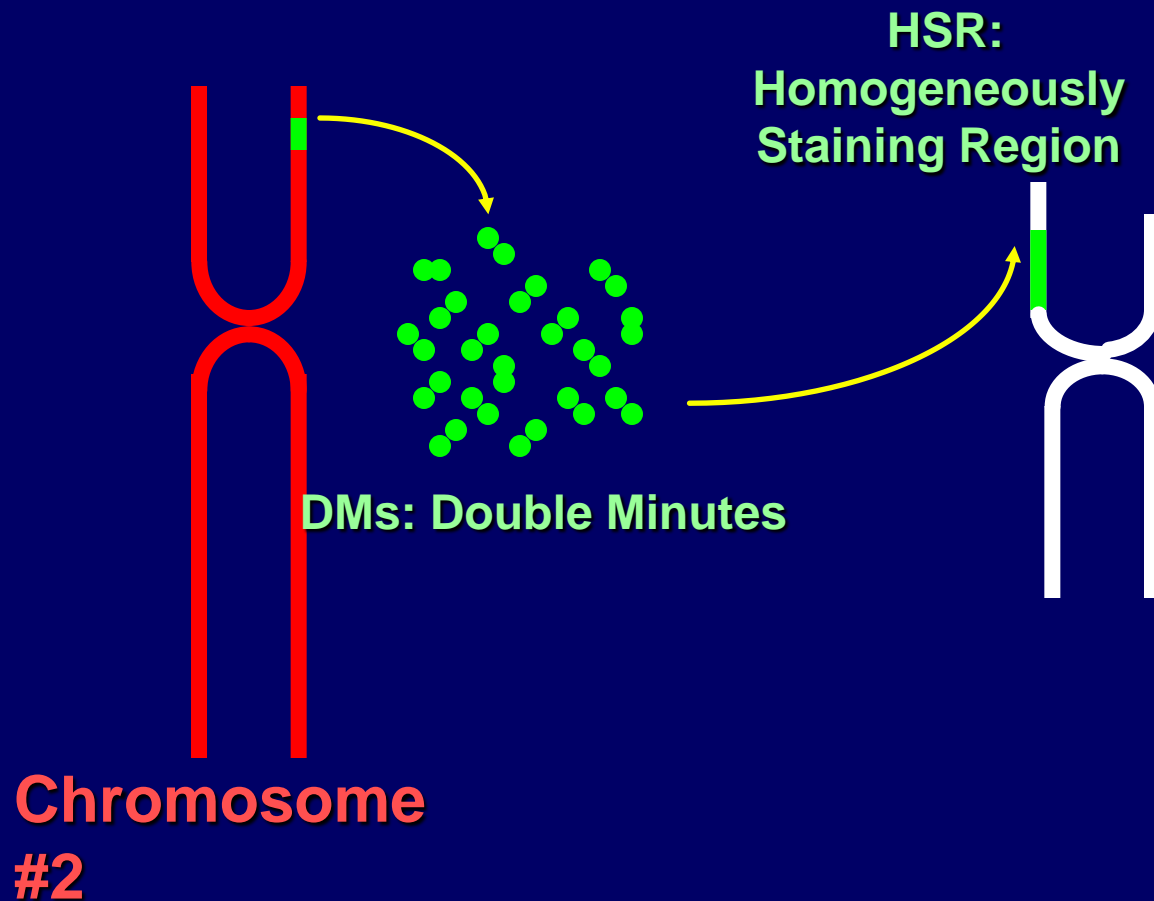
**Differentiating Subtype**

**<60mo., Favorable  
≥60mo., Unfavorable**





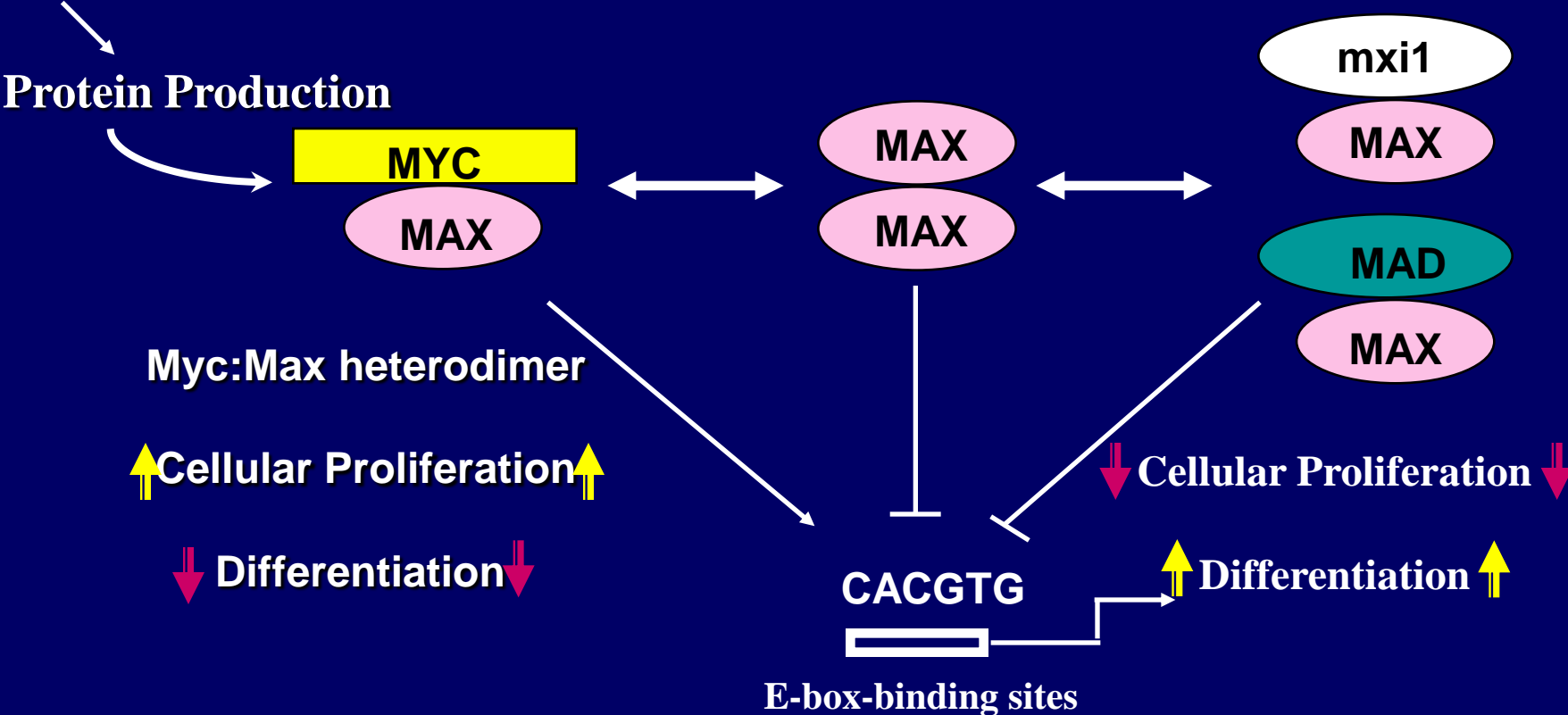
# *MYCN* Oncogene Amplification In Peripheral Neuroblastic Tumors



# Role of MYCN in Organogenesis

## MYCN Gene & MAX Transcription Factor Network

### MYCN Gene Expression

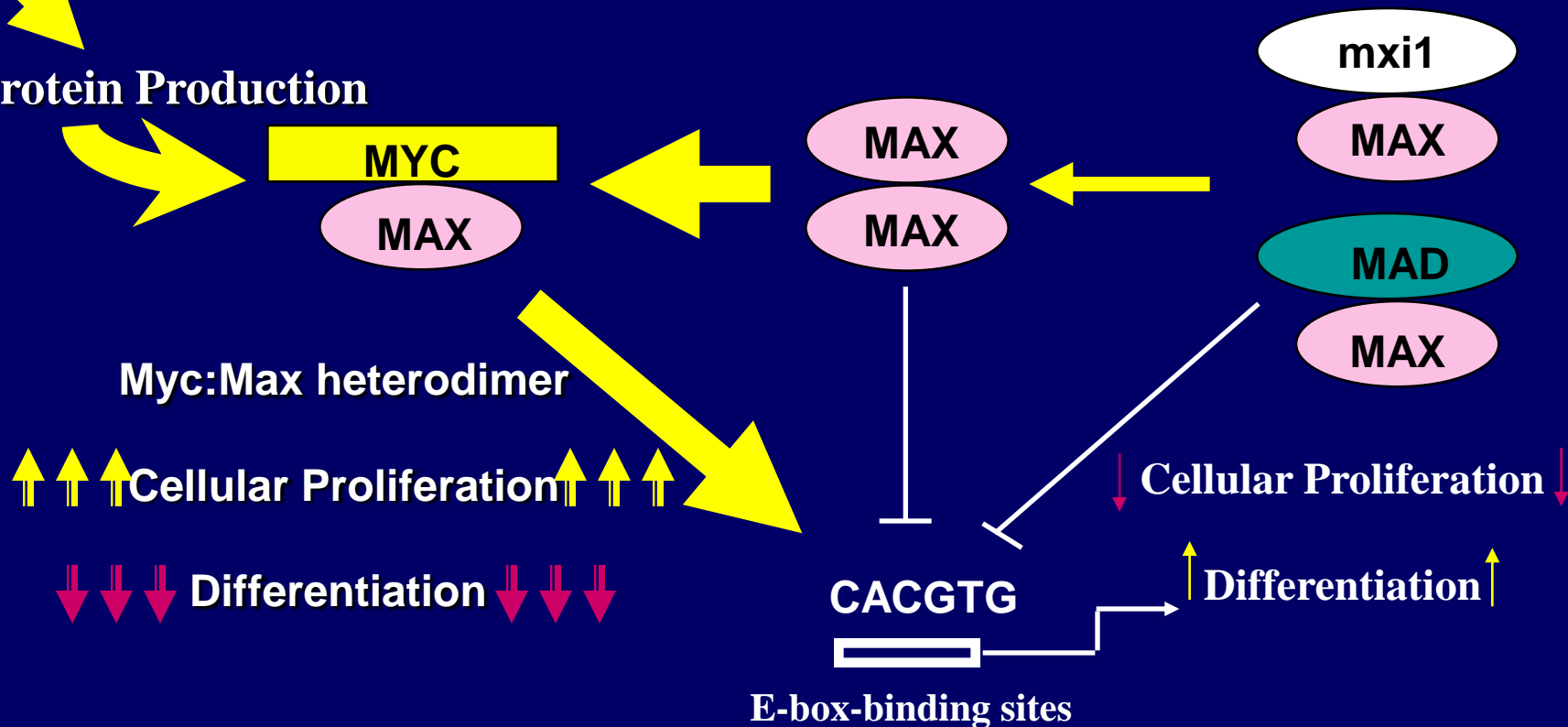


# MYCN Amplification in Neuroblastoma

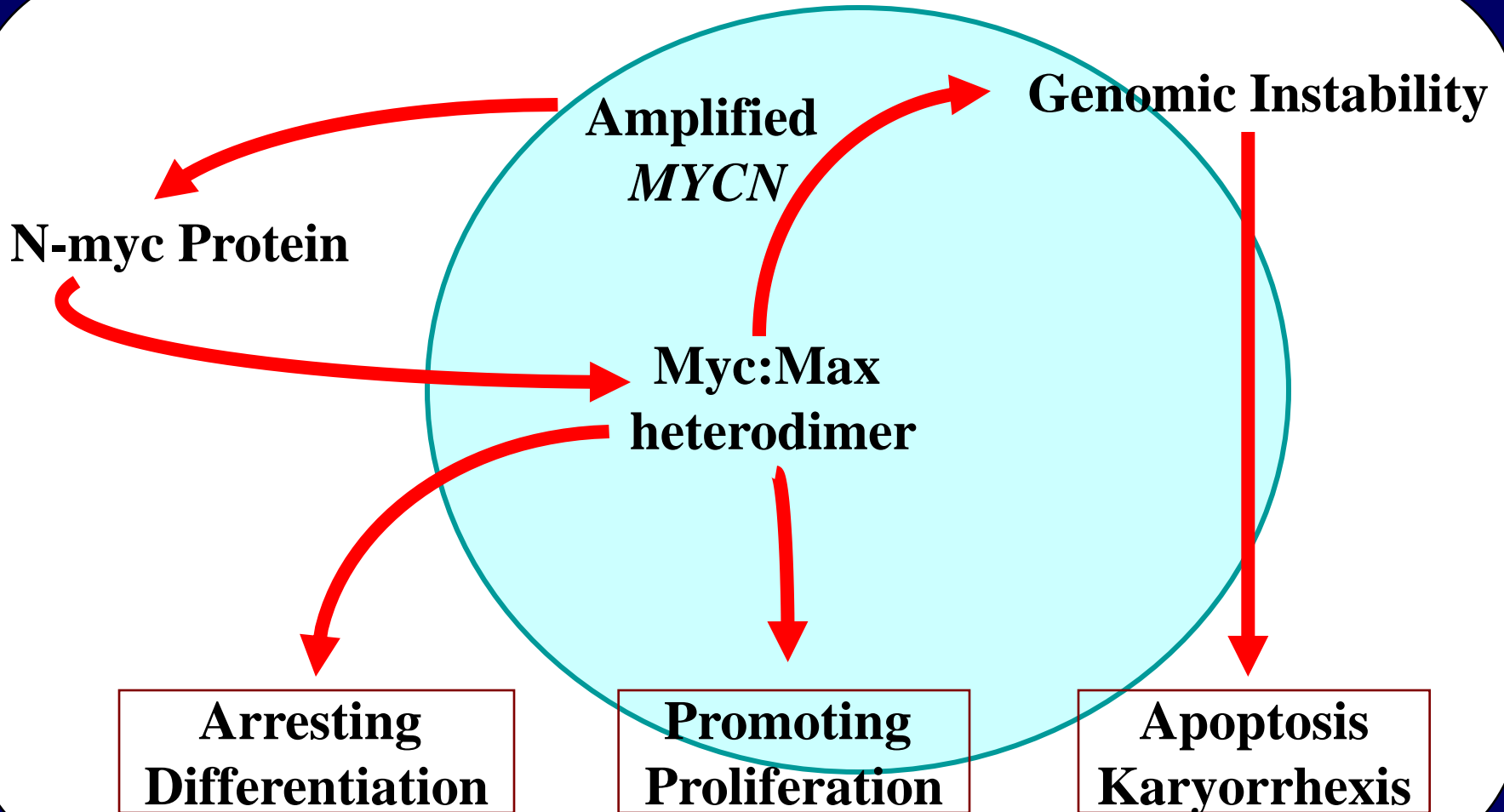
## MYCN Gene & MAX Transcription Factor Network

### MYCN Gene Amplification

Protein Production



# *MYCN* Amplification in Neuroblastoma

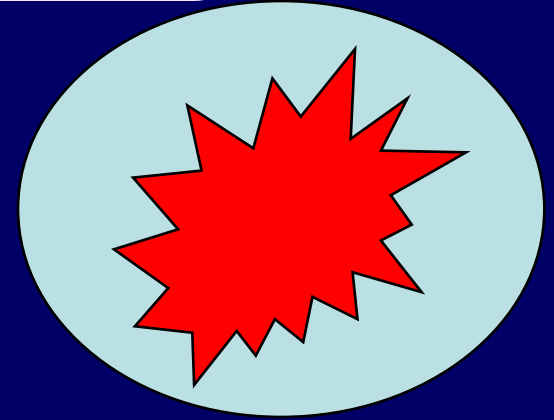
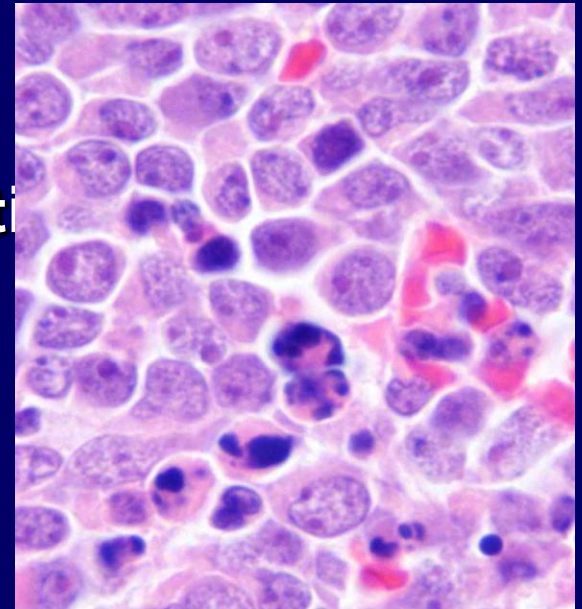


# *MYCN* Amplification in Neuroblastoma

**Powerful Driving Force for:**

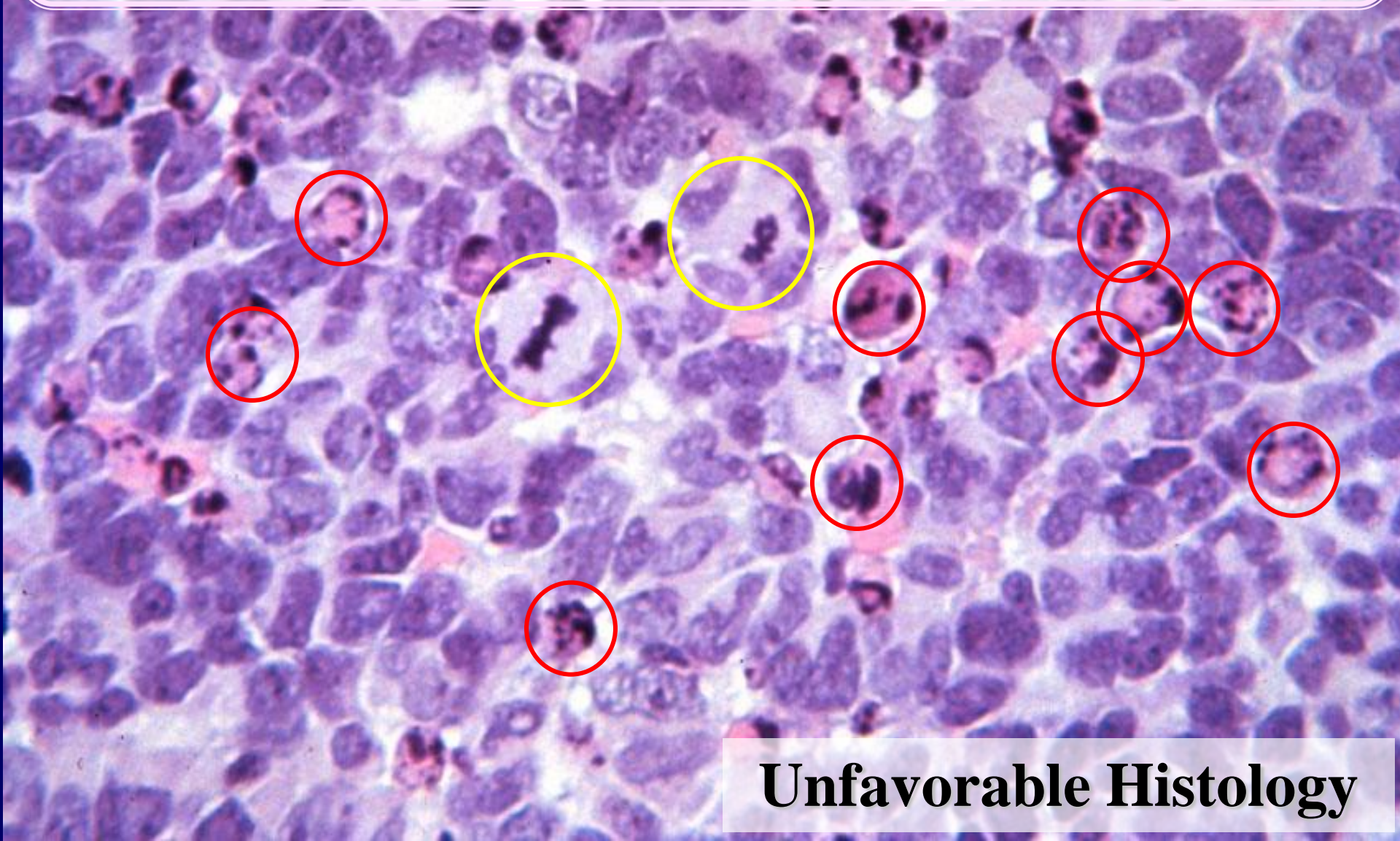
 Preventing Cellular Differentiation

 Increasing Mitotic and Karyorrhectic  
Activities



# Peripheral Neuroblastic Tumor

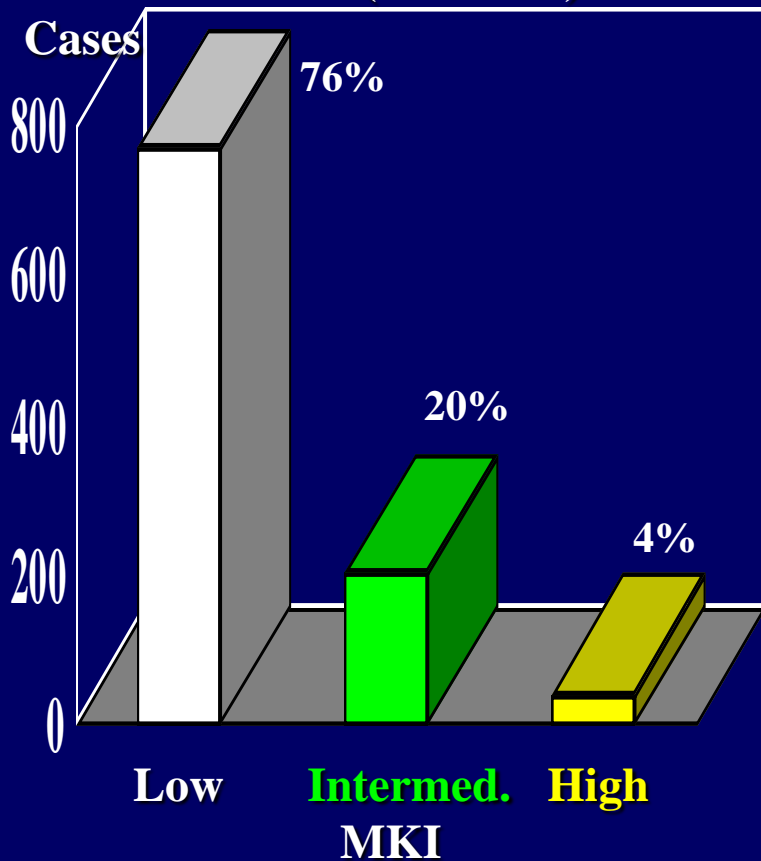
## Mitotic and Karyorrhectic Activities



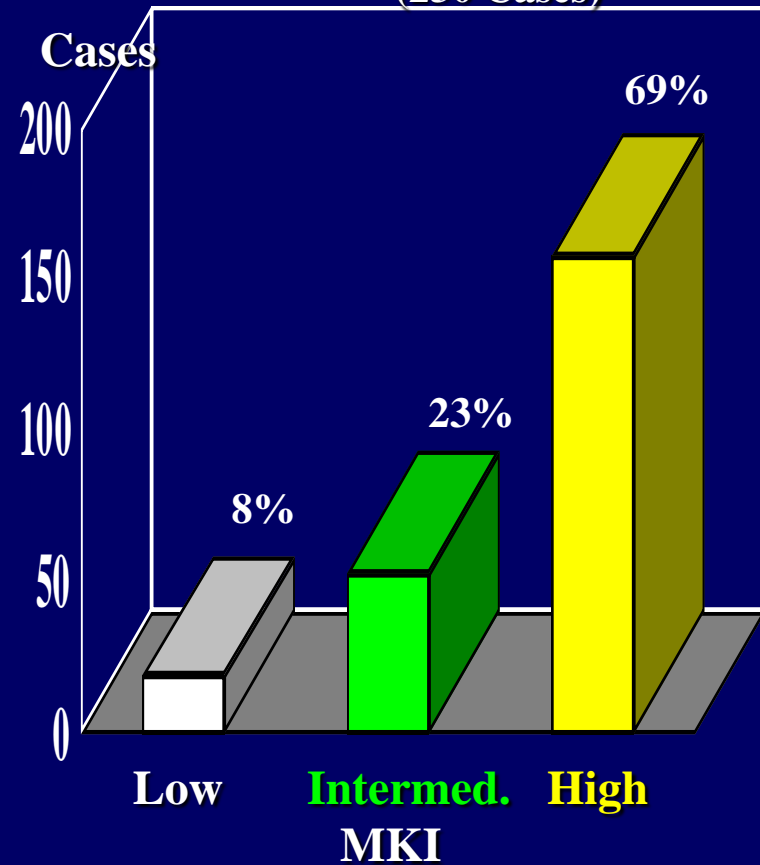
**Unfavorable Histology**

# Neuroblastoma: *MYCN* Status and MKI

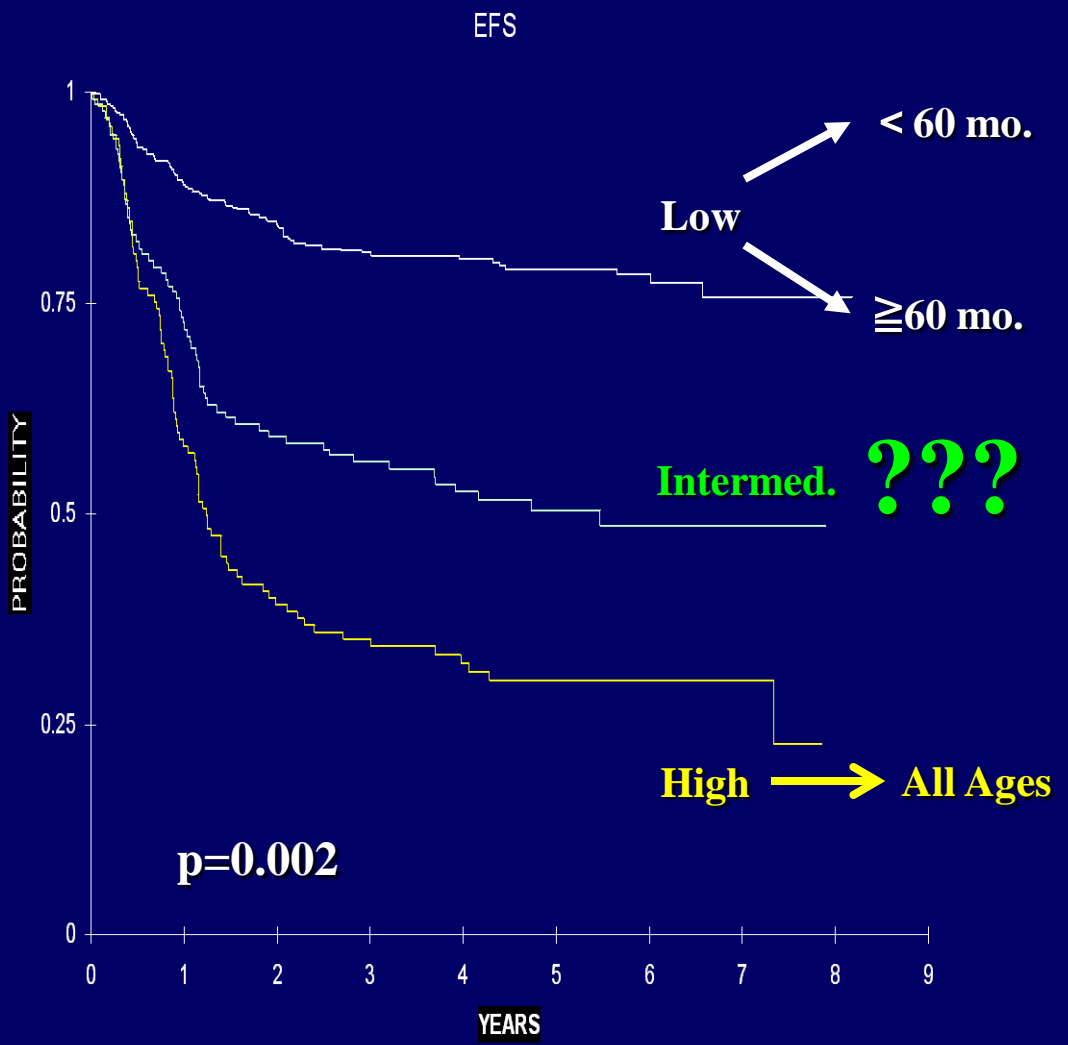
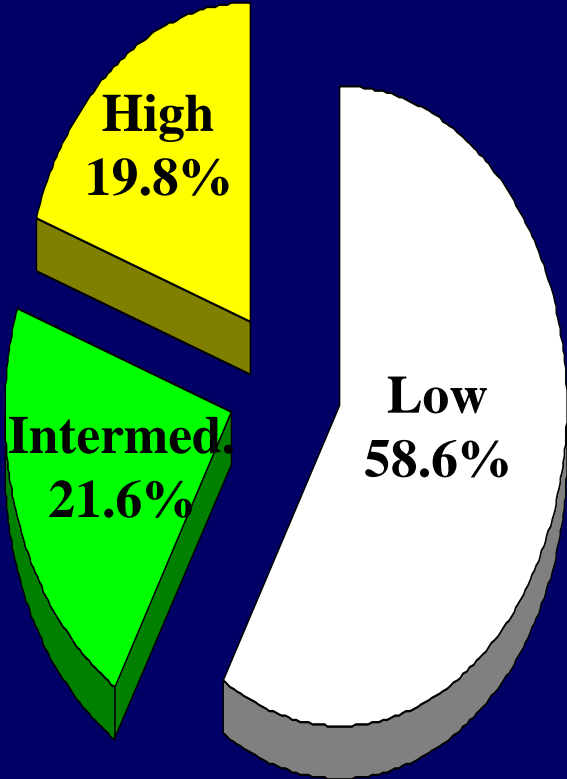
*MYCN* Non-Amplified Tumors  
(1020 cases)



*MYCN* Amplified Tumors  
(230 Cases)



# Neuroblastoma: Prognosis by MKI





# Neuroblastoma: Prognostic Effects of Intermediate MKI

Non-Amplified *MYCN*

80%

Intermed. MKI  
N-myc Protein  
Expression

Amplified  
*MYCN*

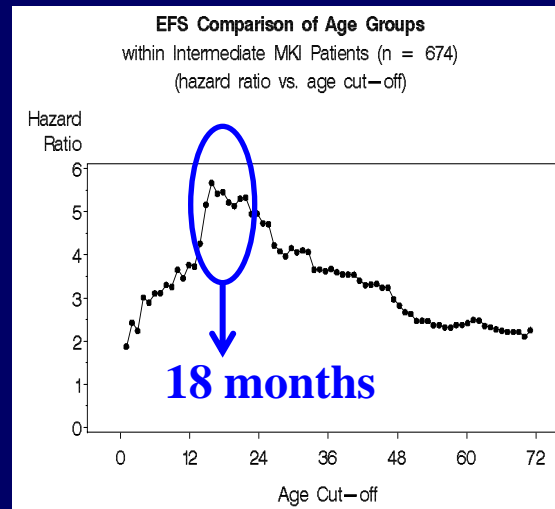
20%

To be terminated

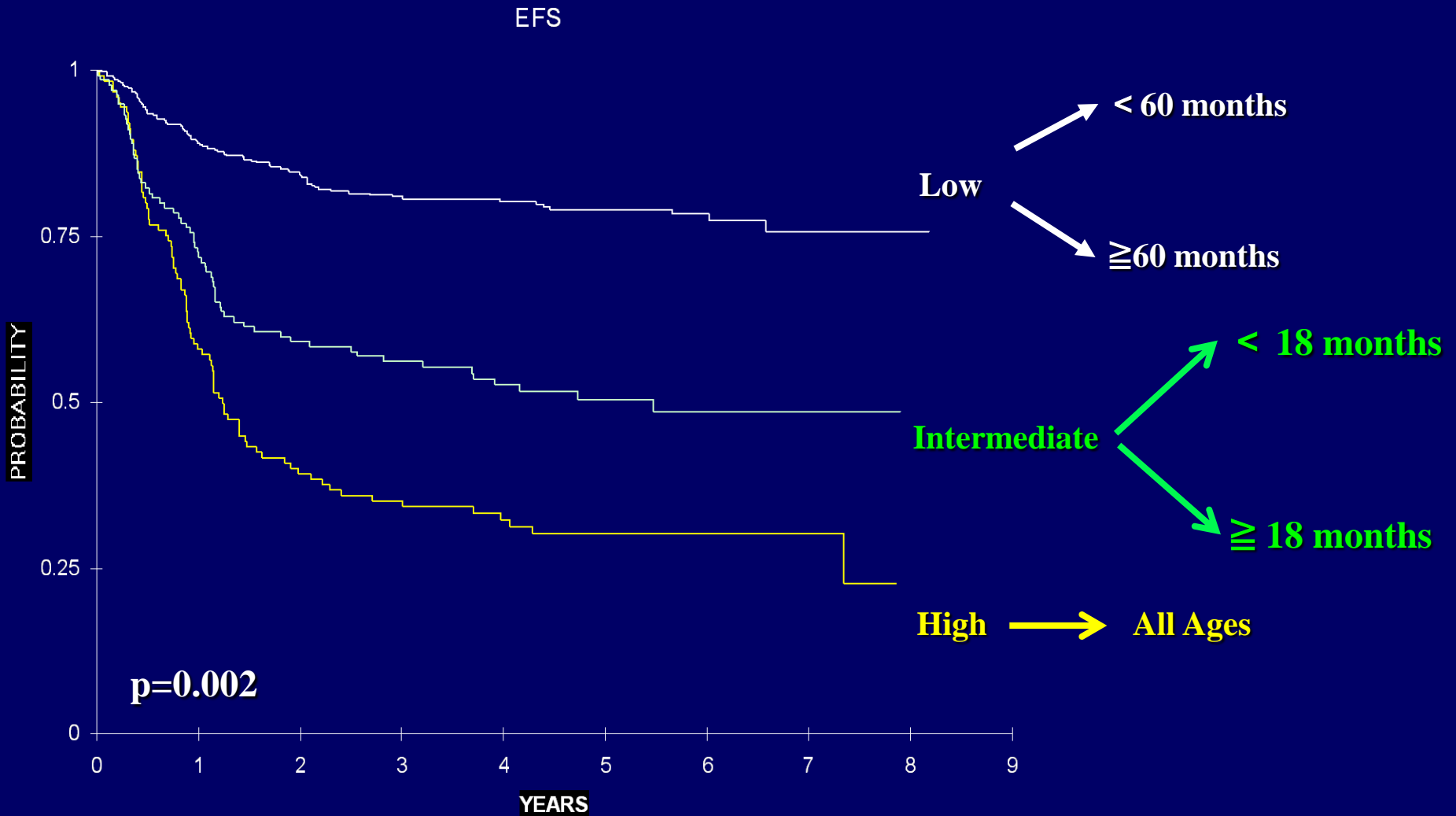
Good Prognosis

Continuous

Poor Prognosis



# Neuroblastoma: Prognosis by MKI

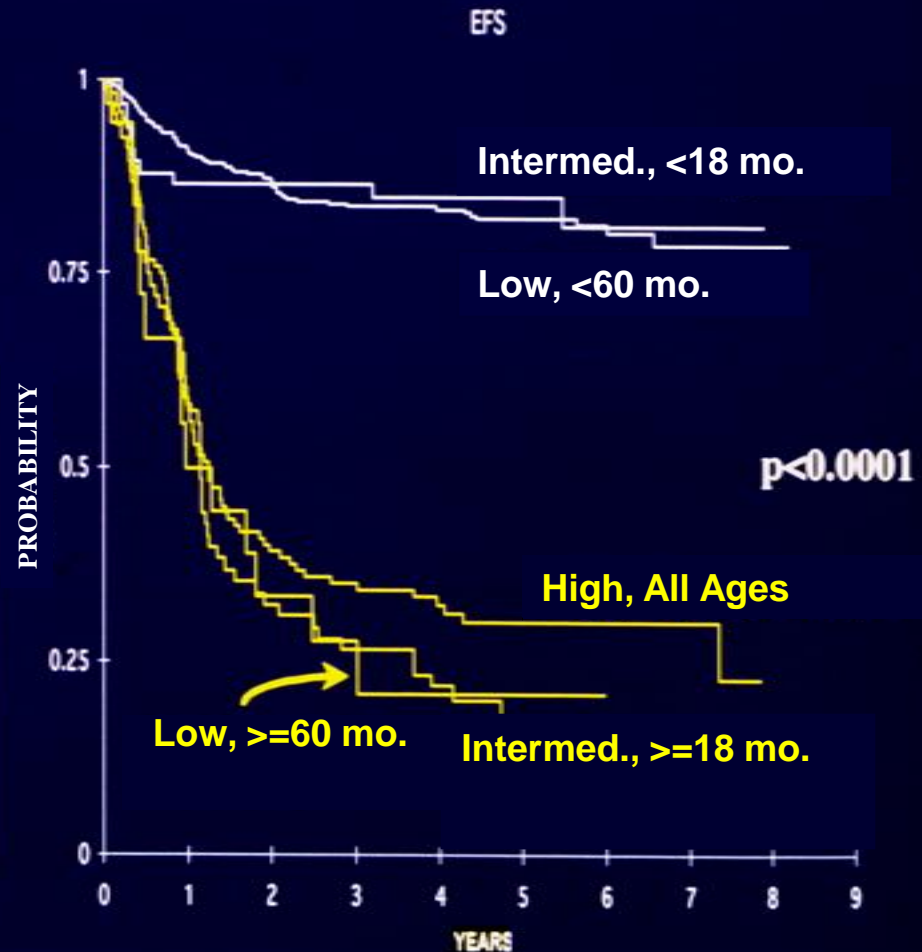


# MKI in Neuroblastoma

## Age-linked Prognostic Effects (CCG-3881/-3891 Study)

### Prognostic Grouping

	<18 mo. mo.	18-60 mo.	≥60
Low			
Inter-med.			
High			



# Neuroblastoma

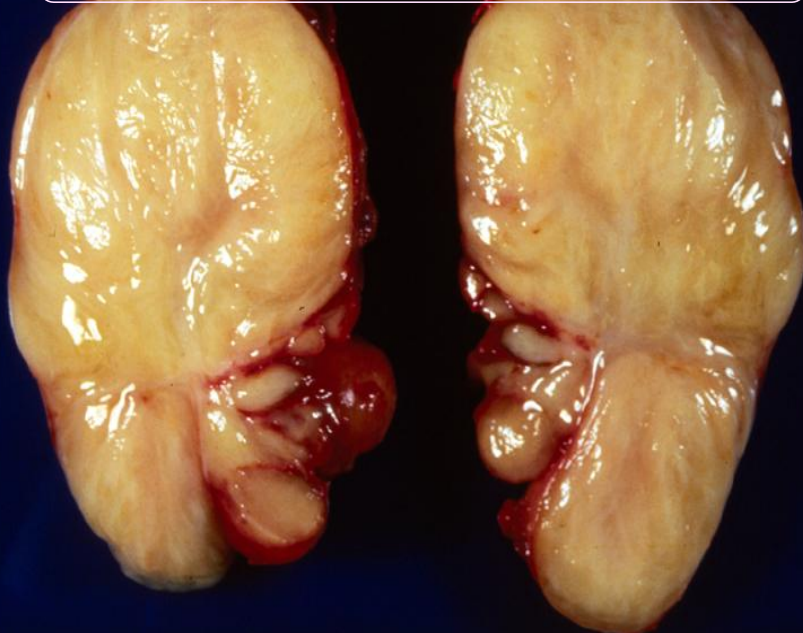
## (Schwannian stroma-poor)

Grade of Differentiation	MKI	< 18 mo.	18-60mo.	> 60 mo.
Undifferentiated	Any			
Poorly Differentiated	Low			
	Intermed.			
	High			
Differentiating	Low			
	Intermed.			
	High			

Favorable Histology

Unfavorable Histology

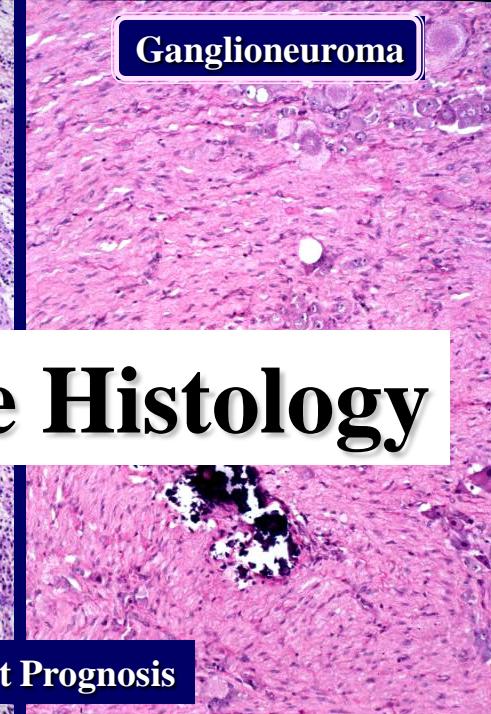
**Schwannian stroma-rich & stroma-dominant**



**Ganglioneuroblastoma  
Intermixed**



**Ganglioneuroma**

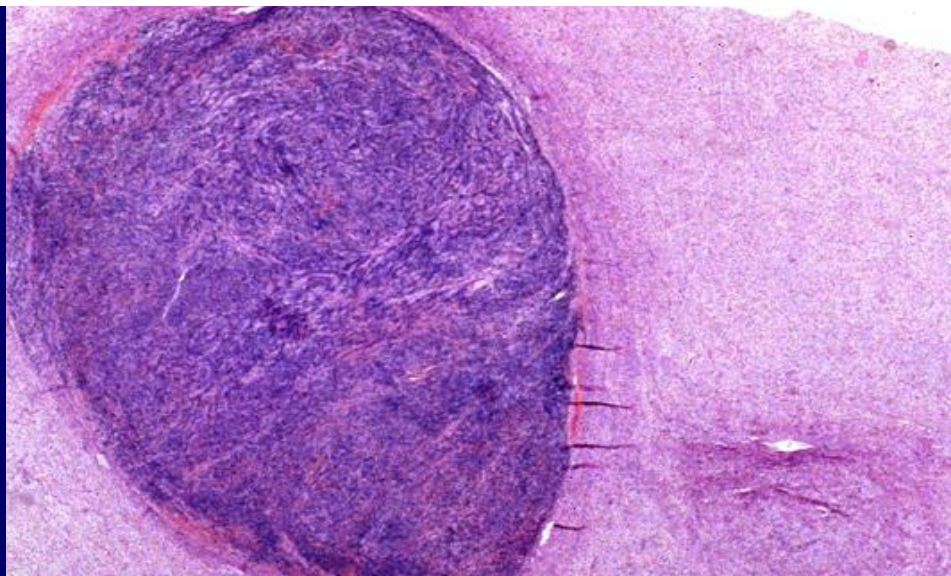


# Favorable Histology

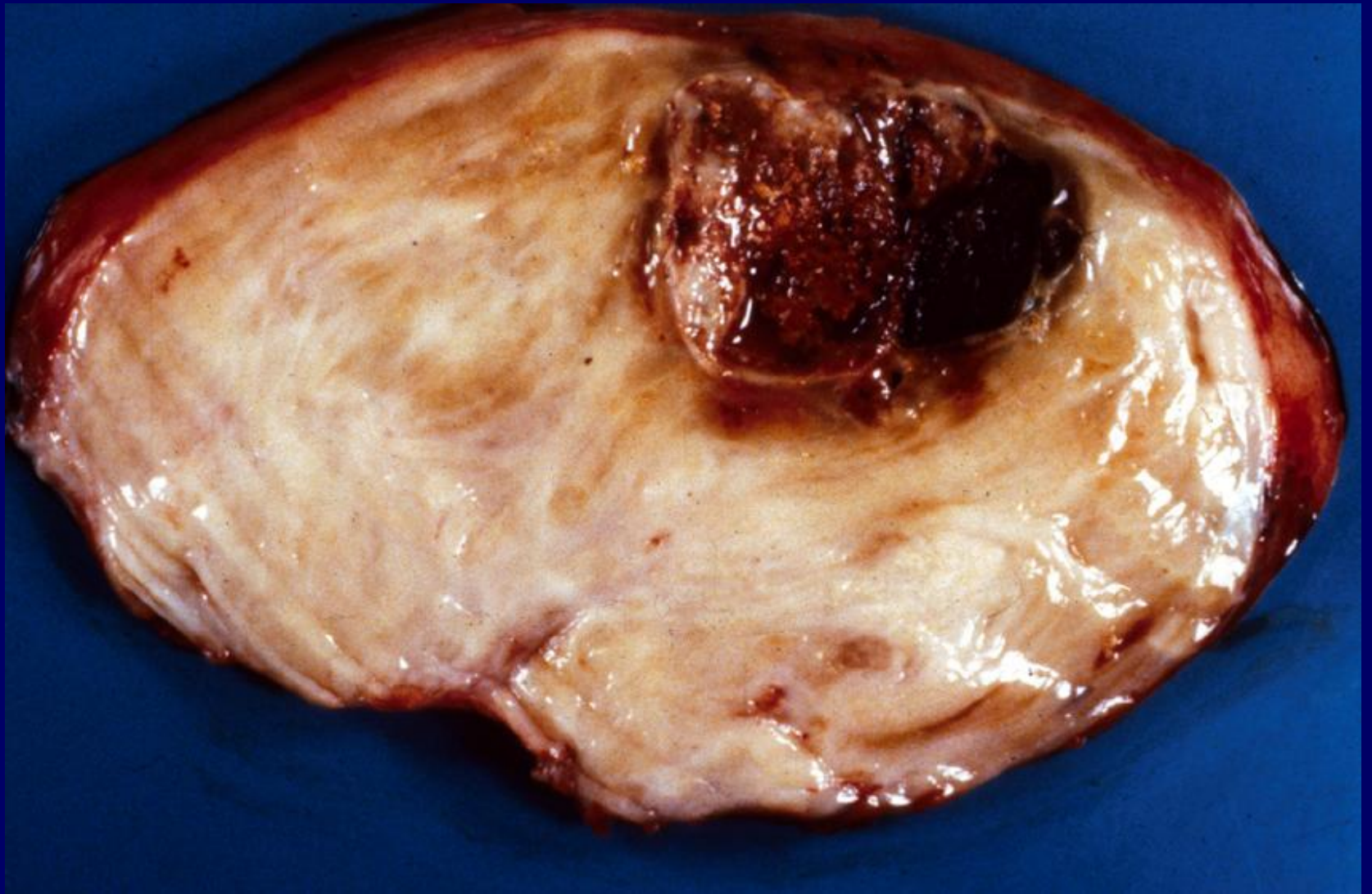
**Excellent Prognosis**

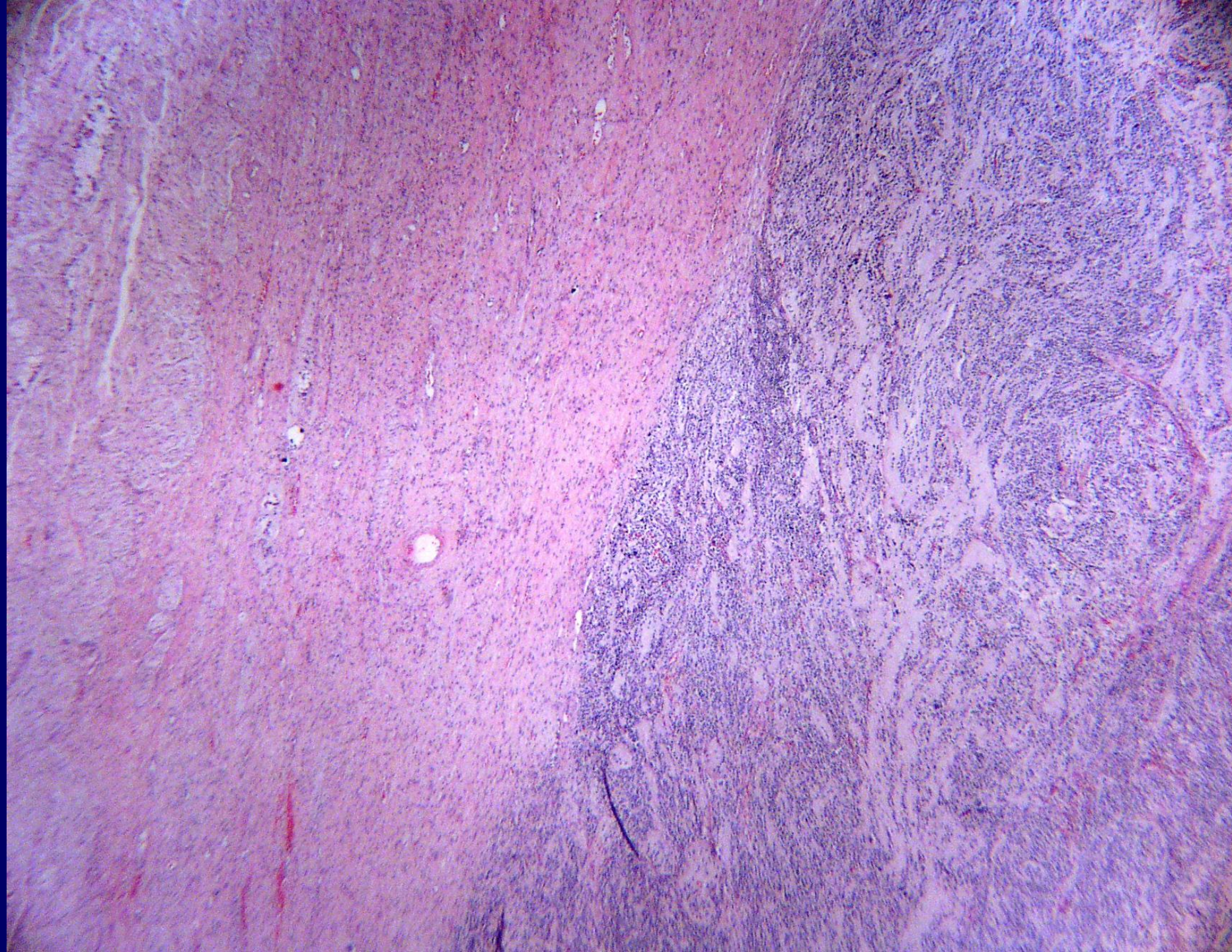


**Ganglioneuroblastoma, Nodular (composite, Schwannian stroma-rich/  
stroma-dominant and stroma-poor): Variable Prognosis**

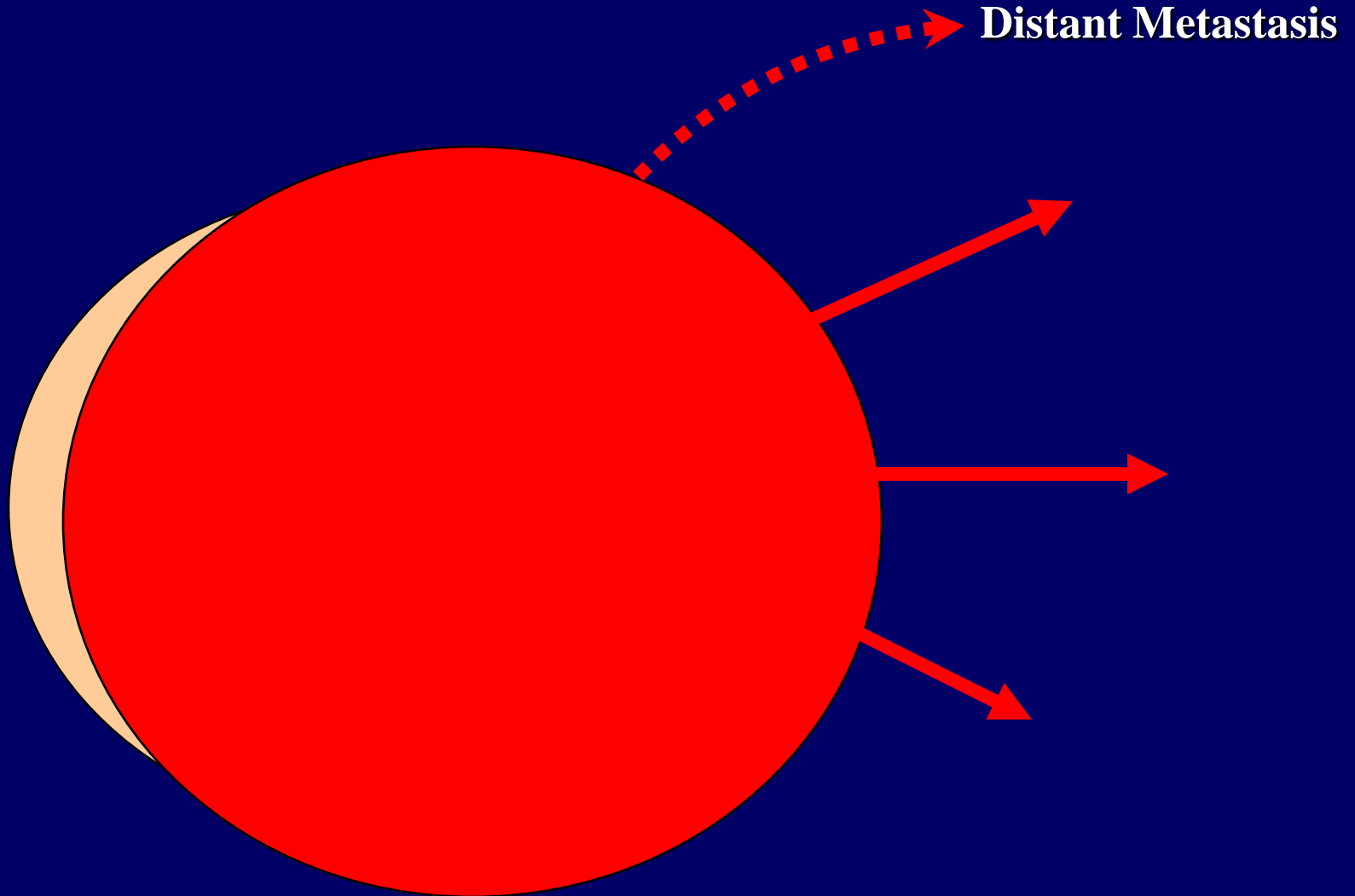


**Ganglioneuroblastoma, Nodular**  
**(composite, Schwannian stroma-rich/stroma-dominant**  
**and stroma-poor)**





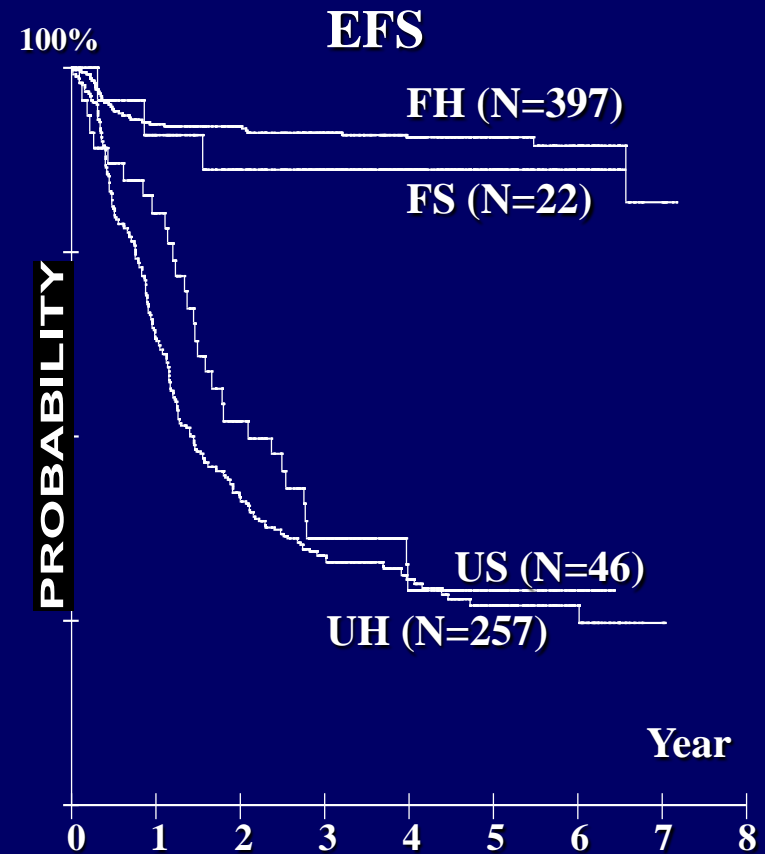
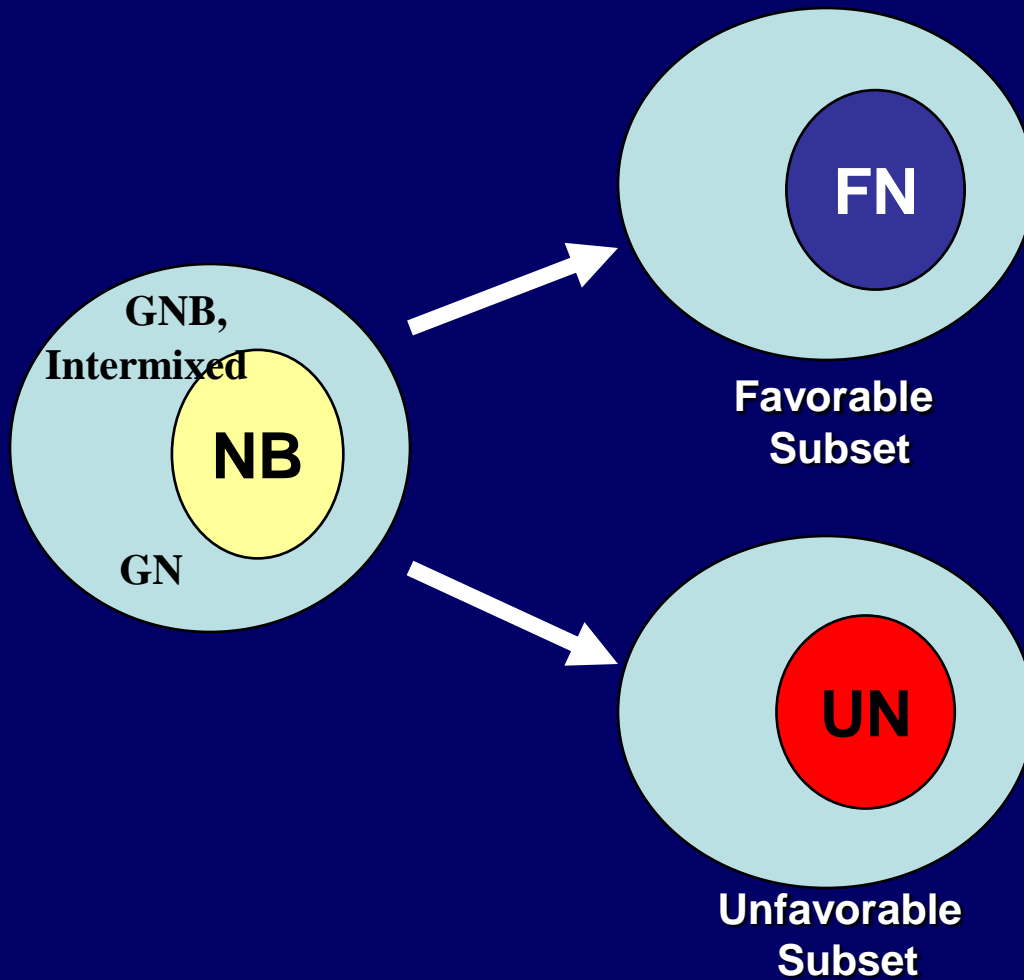
# Ganglioneuroblastoma, Nodular





# GNBn : Determination of Prognostic Subsets

Applying Histopathology Evaluation to the Neuroblastomatous Component



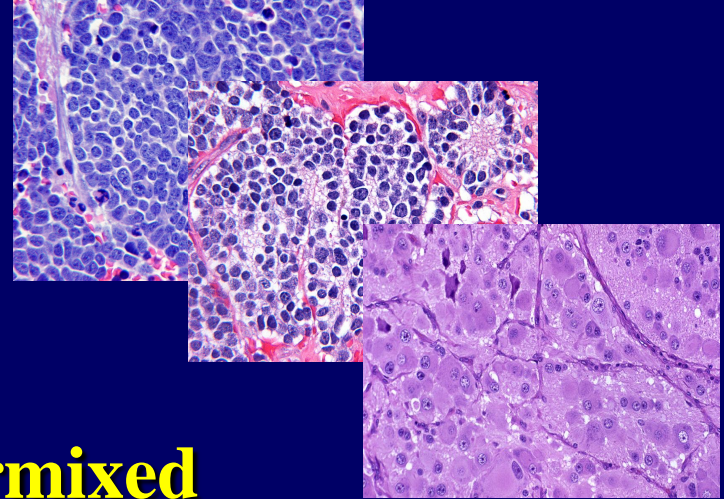
FS: GNBn, Favorable Subset  
US: GNBn, Unfavorable Subset  
FH: non-GNBn, Favorable Subset  
UH: non-GNBn, Unfavorable Subset

# Peripheral Neuroblastic Tumors

- **Neuroblastoma**

( Schwannian stroma-poor )

- Undifferentiated Subtype
- Poorly Differentiated Subtype
- Differentiating Subtype



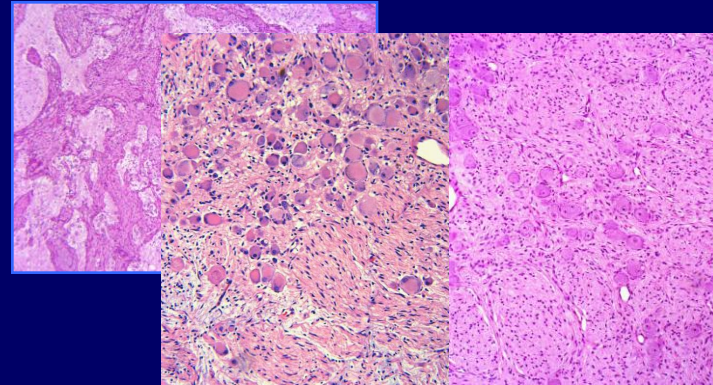
- **Ganglioneuroblastoma, Intermixed**

( Schwannian stroma-rich )

- **Ganglioneuroma**

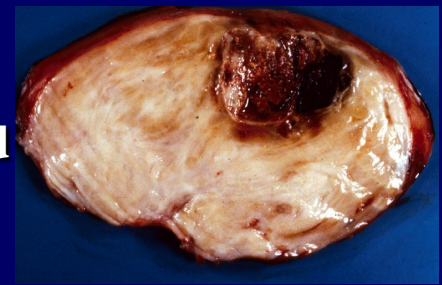
( Schwannian stroma-dominant )

- Maturing Subtype
- Mature Subtype

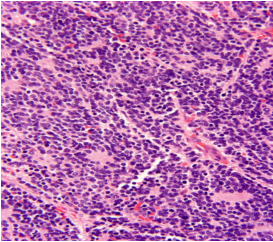
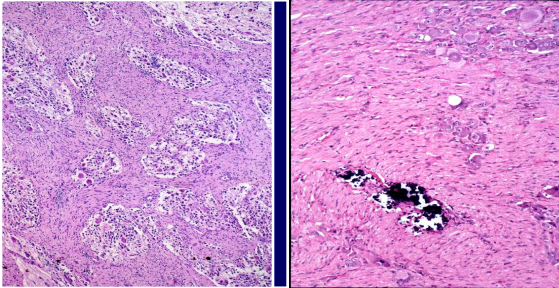
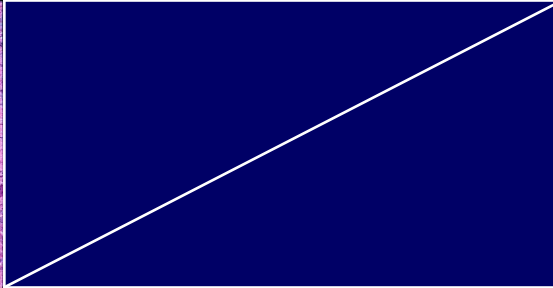



- **Ganglioneurblastoma, Nodular**

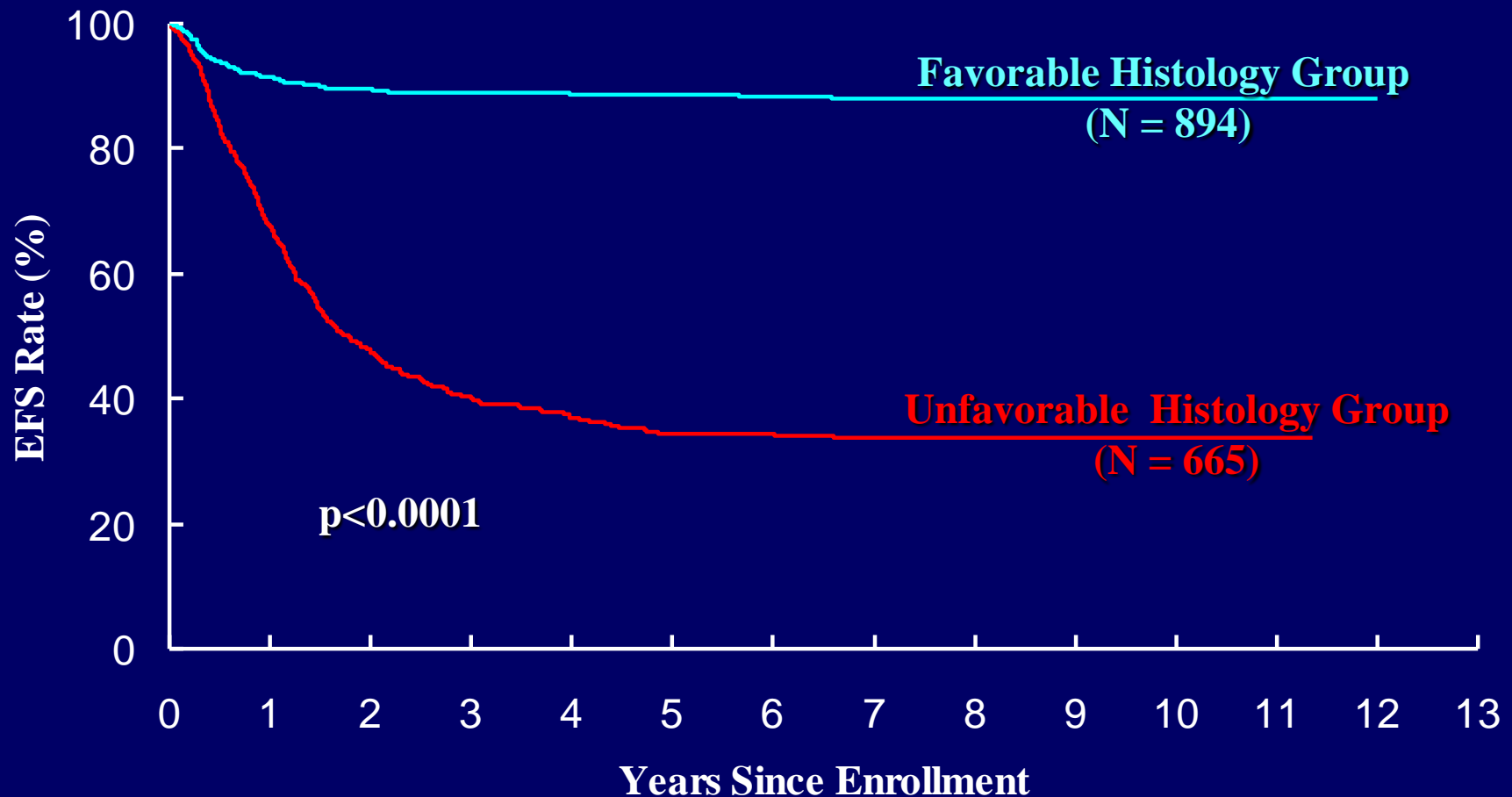
( Composite, Schwannian stroma rich/stroma-dominant and stroma-poor )



# International Neuroblastoma Pathology Classification (INPC)

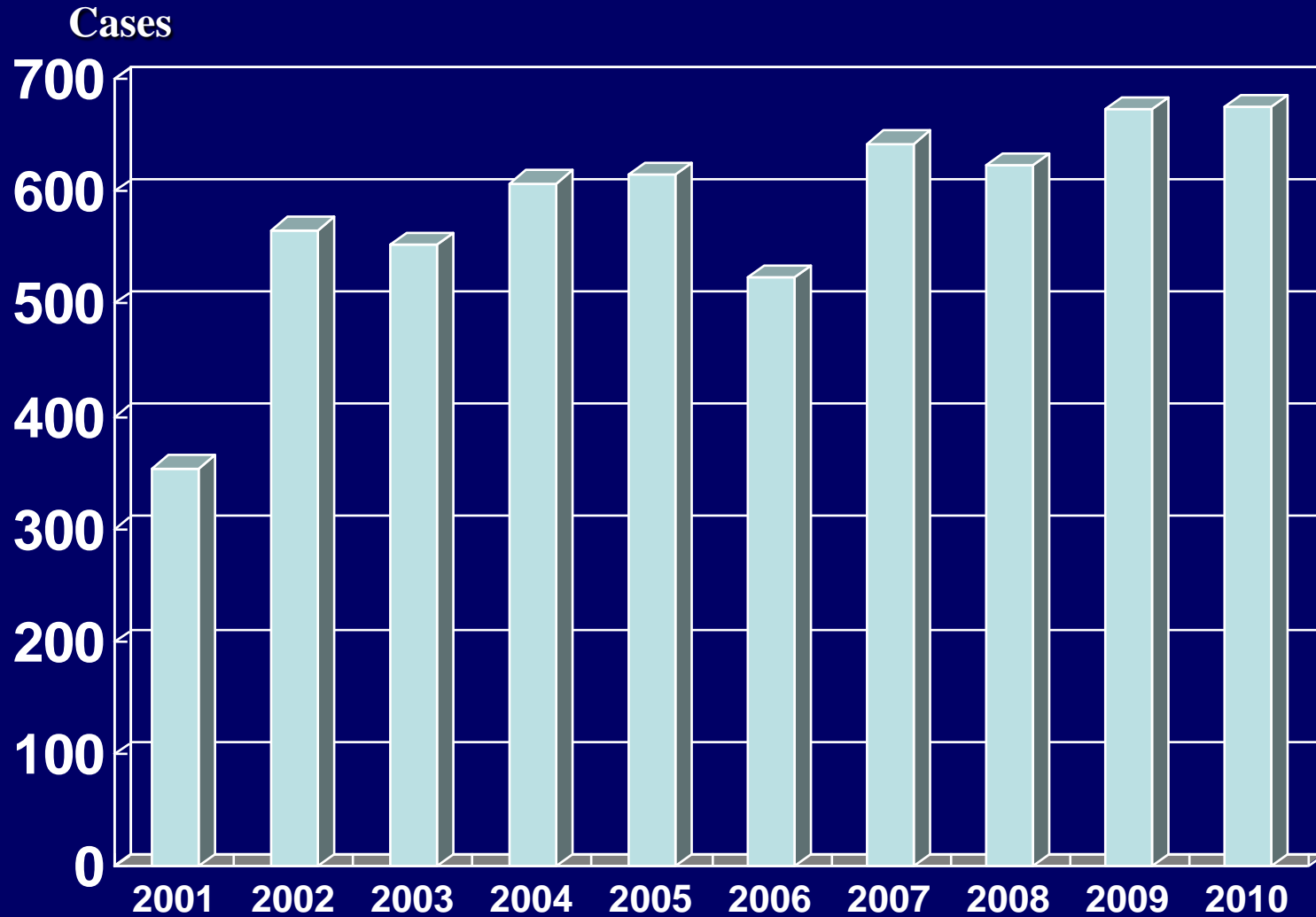
		Favorable Histology	Unfavorable Histology
<b>Neuroblastoma</b>		<b>Age-Appropriate Evaluation of: Grade of Differentiation MKI</b>	
<b>Ganglioneuroblastoma, Intermixed Ganglioneuroma</b>			
<b>Ganglio- neuroblastoma, Nodular</b>		<b>Neuroblastomatous Nodule Age-Appropriate Evaluation of: Grade of Differentiation MKI</b>	

# Event-free Survivals by INPC (n=1559)



# COG Neuroblastoma Cases

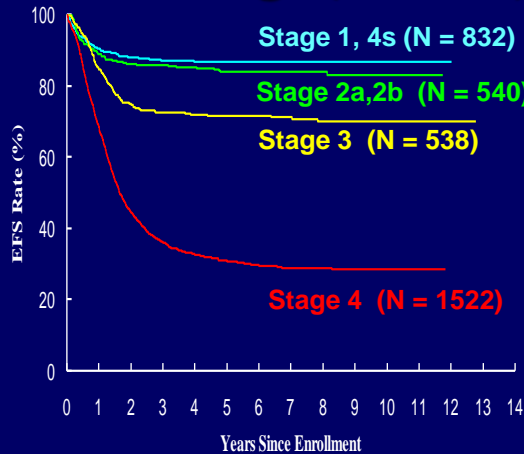
## Neuroblastoma Pathology Reference Laboratory



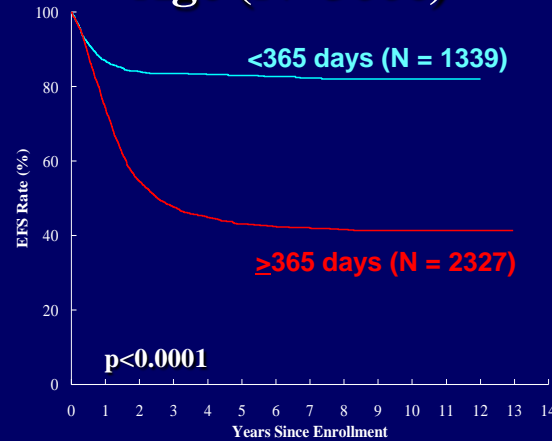
# COG Neuroblastoma Biology Study

## Prognostic Factors and EFS

### INSS Stage (N=3432)

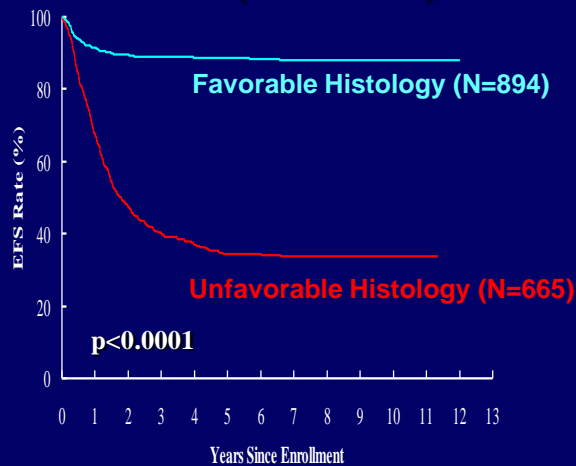


### Age (N=3666)

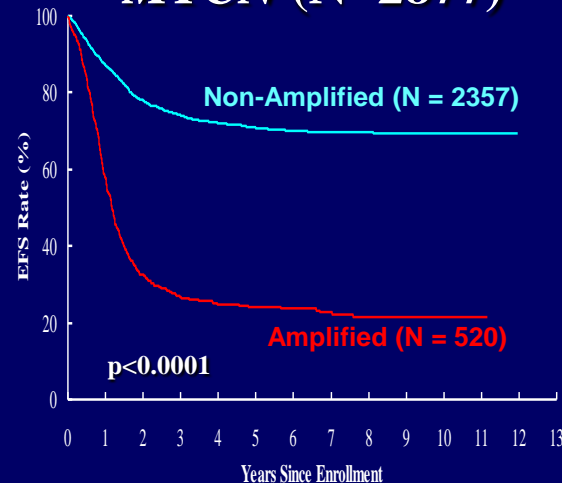


- Clinical Stage (INSS)
- Age at Diagnosis
- Histopathology (INPC)
- *MYCN* Oncogene Status
- DNA Index

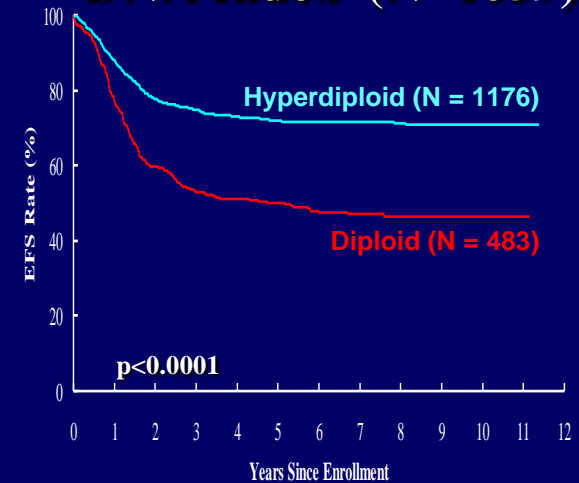
### INPC (N=1559)



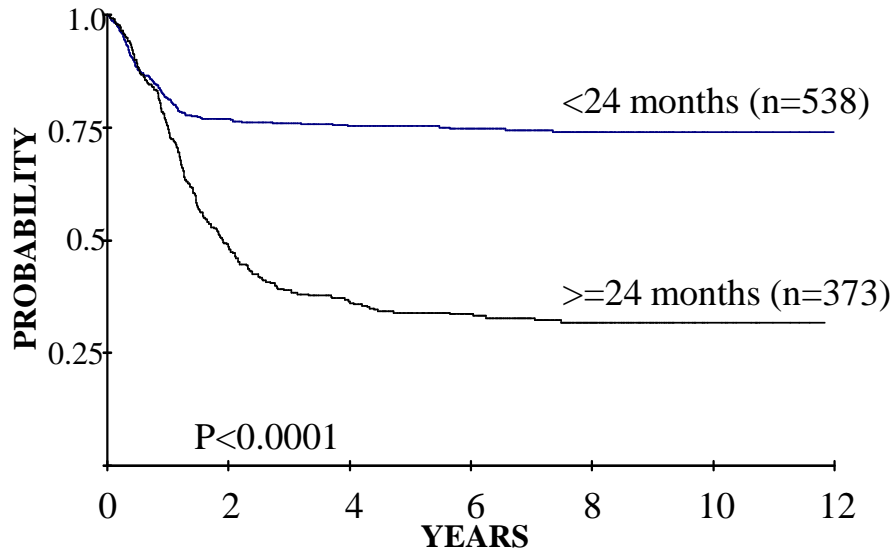
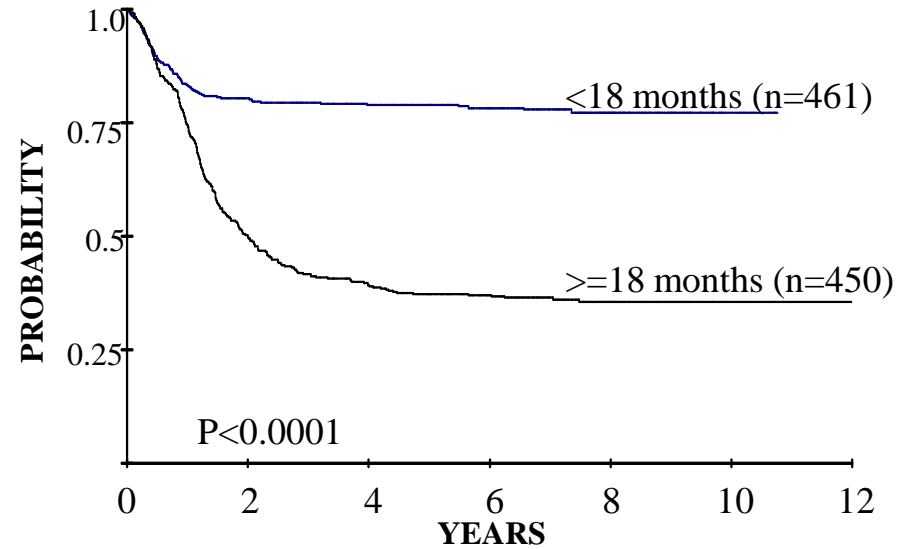
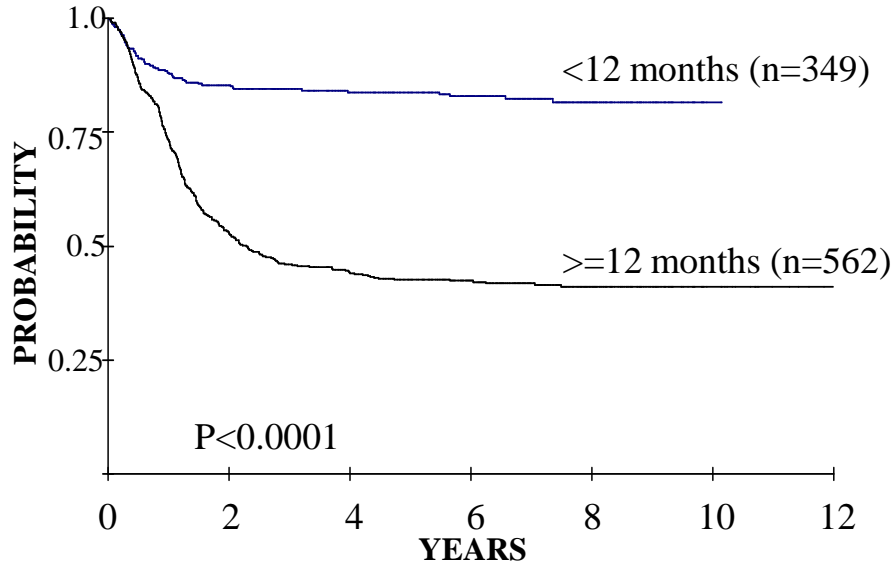
### *MYCN* (N=2877)



### DNA Index (N=1659)

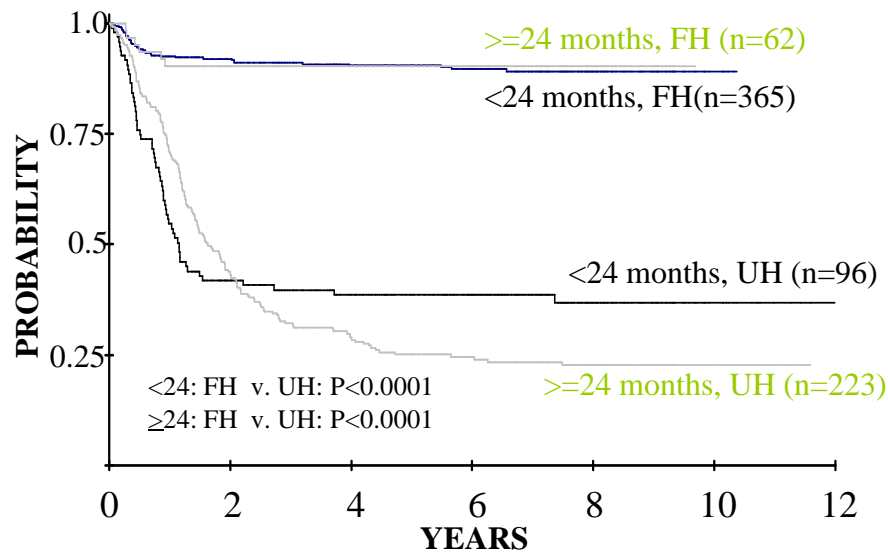
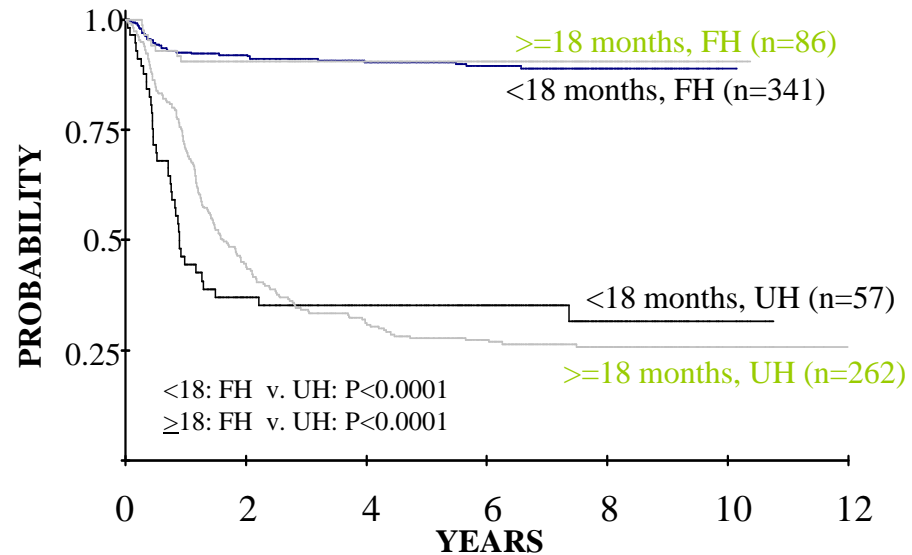
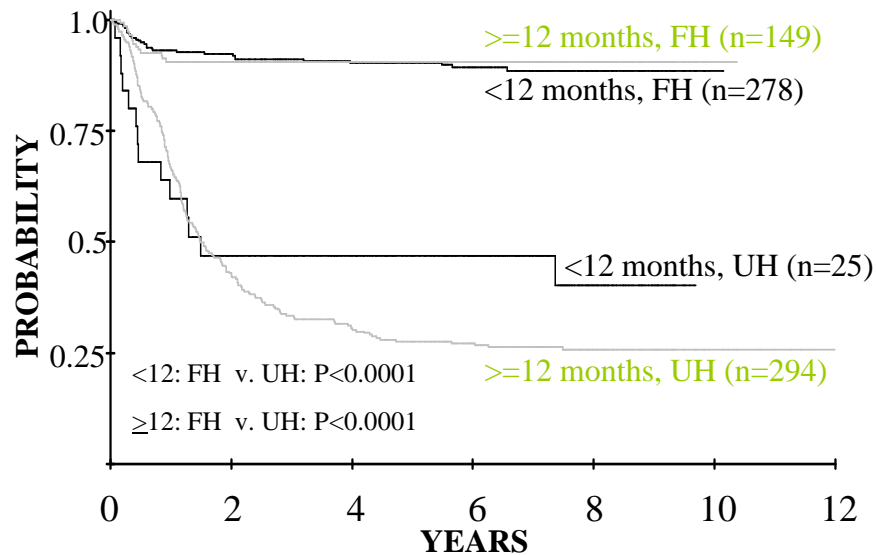


## EFS by Different Age Cut-Off's



**Prognostic effect of Age Factor:  
Continuous in Nature  
Any choice between 15 and  
19 months would work in  
risk stratification**

# Prognostic Effects (EFS) by INPC in Different Age Groups

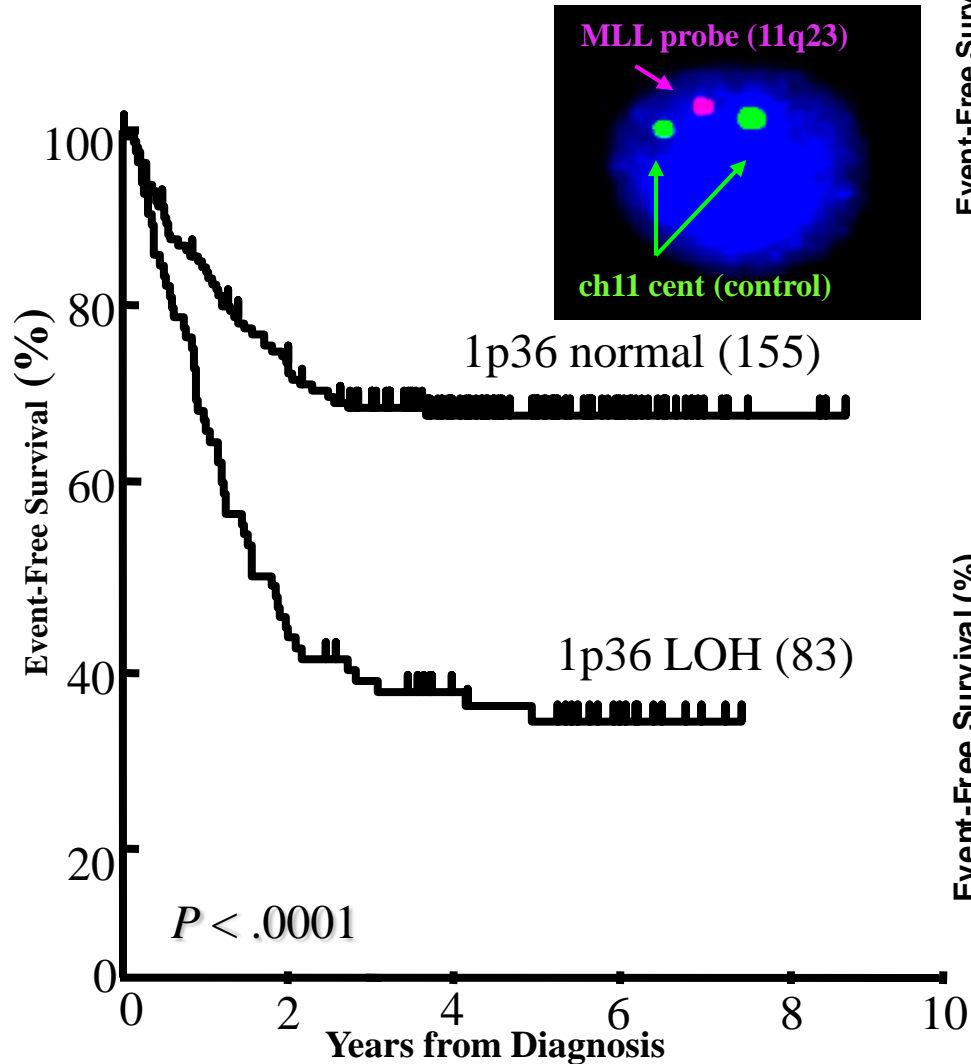


**International Neuroblastoma Pathology Classification  
 adds  
 independent prognostic information  
 beyond  
 the prognostic contribution of age**

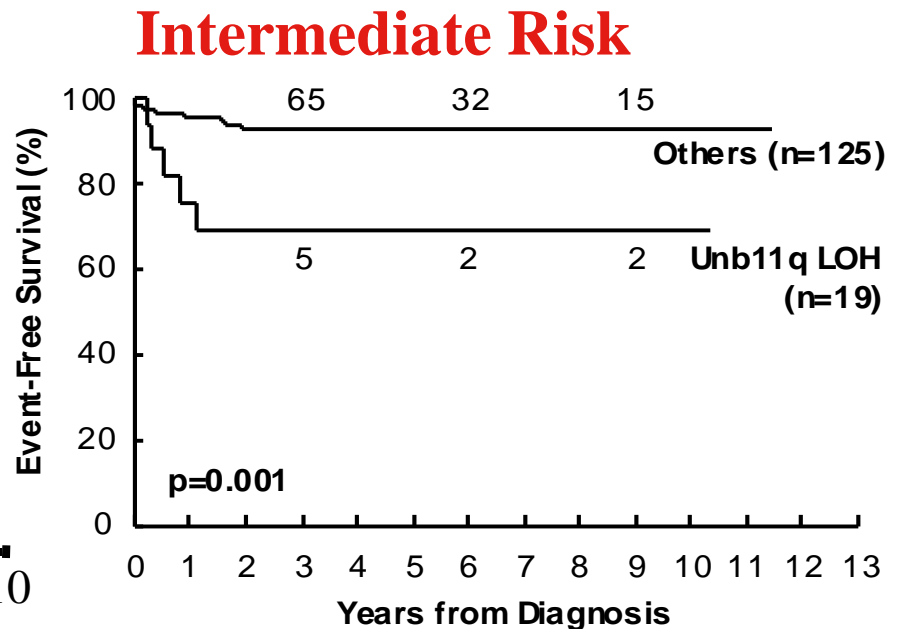
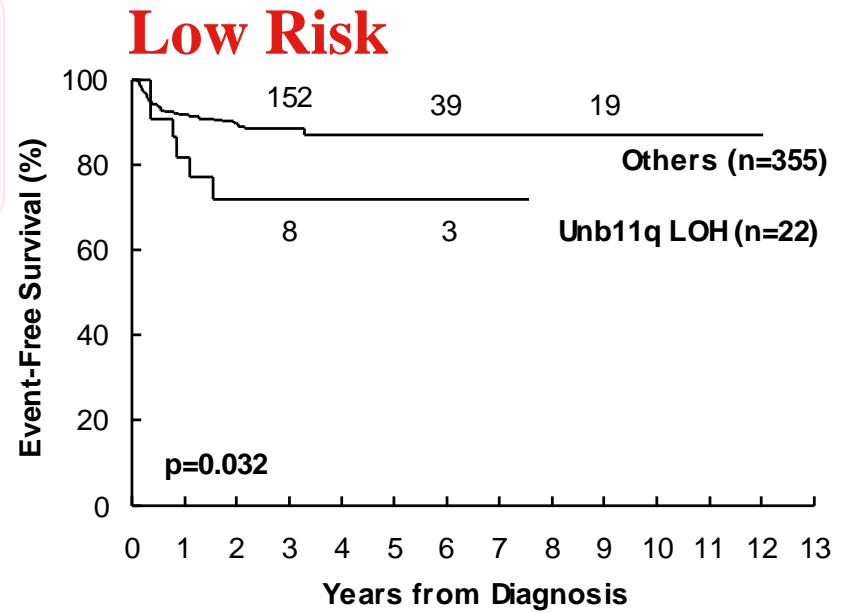
**Sano, H et al.  
 Eur J Cancer 42:1113-9, 2006**



# 1pLOH and 11qLOH Prognostic Effects



Maris et al: JCO, 2000



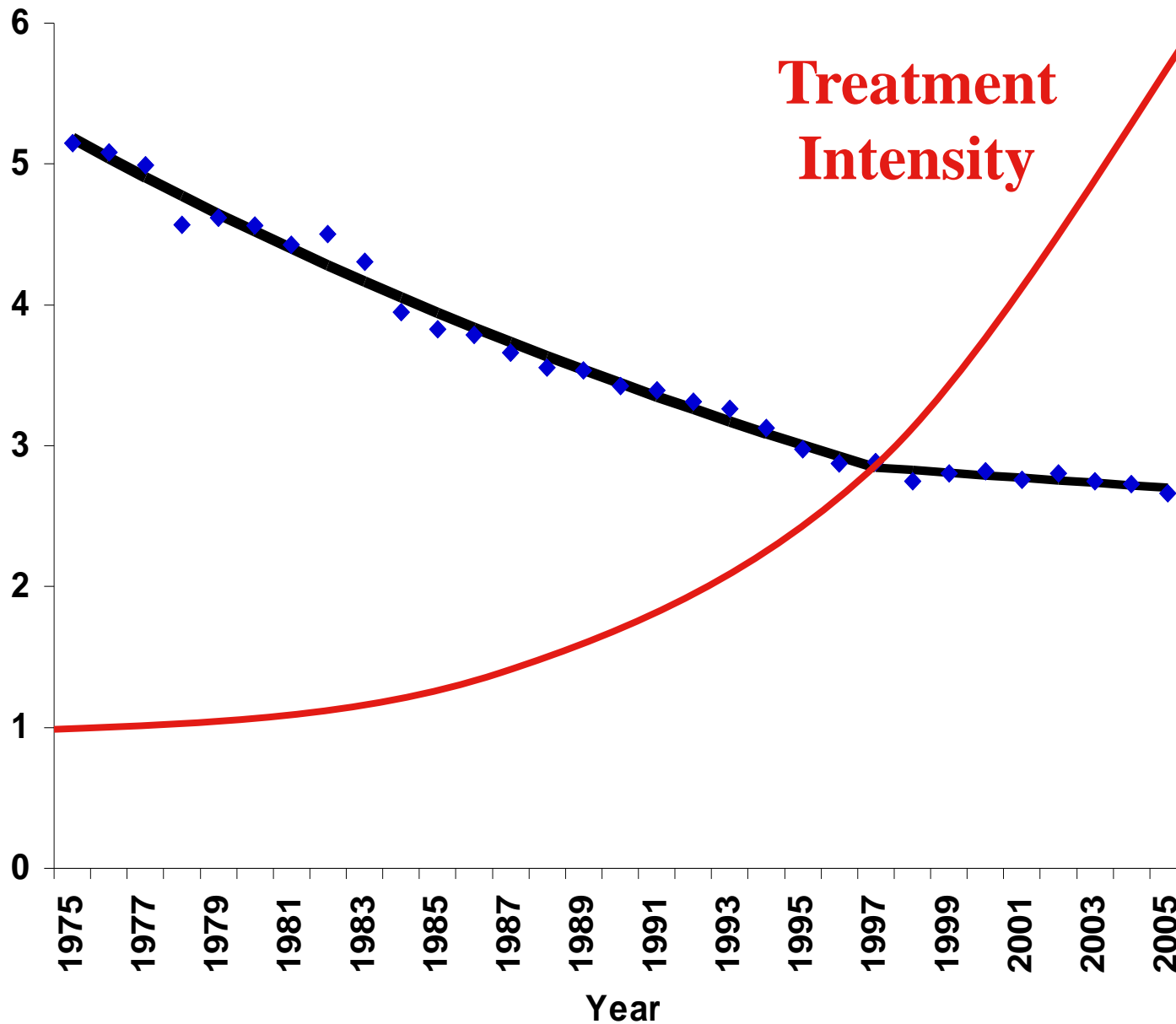
Attiyeh, NEJM: 353:2243, 2005

# Peripheral Neuroblastic Tumors

## Prognostic factors - COG

	Favorable	Unfavorable
<b>Clinical Stage</b>	Non-Advanced (stages 1,2,4S)	Advanced (stages 3,4)
<b>Age at Diagnosis</b>	<365 days <548 days	≥365 days ≥ 548 day
<b>Histopathology Classification</b>	Favorable Histology	Unfavorable Histology
<b>Molecular Genetic Indicators</b>	Non-Amplified Hyperploid	Amplified Diploid
<i>MYCN</i> Oncogene	No	Yes
DNA index	No	Yes
1 p LOH	No	Yes
unb11qLOH	No	Yes

# Current challenge: Progress has stopped



# **Peripheral Neuroblastic Tumors**

## **Current Status**

### **Patient Stratification and Protocol Assignment**

#### **Low-Risk Group:**

**>95% Survival by Surgery Alone**

#### **Intermediate-Risk Group:**

**>90% Survival by Surgery/Biopsy  
plus Non-Intensive Chemotherapy**

#### **High-Risk Group :**

**Therapeutic Modalities, Almost Maximized:**

**Difficult to Expect Significant  
Improvement of Survivals**

# The cost of curing childhood cancer has risen

The NEW ENGLAND JOURNAL of MEDICINE

## SPECIAL ARTICLE

### Chronic Health Conditions in Adult Survivors of Childhood Cancer

Kevin C. Oeffinger, M.D., Ann C. Mertens, Ph.D., Charles A. Sklar, M.D., Toana Kawashima, M.S., Melissa M. Hudson, M.D., Anna T. Meadows, M.D., Debra L. Friedman, M.D., Neyssa Marina, M.D., Wendy Hobbie, C.P.N.P., Nina S. Kadan-Lottick, M.D., Cindy L. Schwartz, M.D., Wendy Leisenring, Sc.D., and Leslie L. Robison, Ph.D., for the Childhood Cancer Survivor Study\*

#### ABSTRACT

#### BACKGROUND

Only a few small studies have assessed the long-term morbidity that follows the treatment of childhood cancer. We determined the incidence and severity of chronic health conditions in adult survivors.

#### METHODS

The Childhood Cancer Survivor Study is a retrospective cohort study that tracks the health status of adults who received a diagnosis of childhood cancer between 1970 and 1986 and compares the results with those of siblings. We calculated the frequencies of chronic conditions in 10,397 survivors and 3034 siblings. A severity score (grades 1 through 4, ranging from mild to life-threatening or disabling) was assigned to each condition. Cox proportional-hazards models were used to estimate hazard ratios, reported as relative risks and 95% confidence intervals (CIs), for a chronic condition.

#### RESULTS

Survivors and siblings had mean ages of 26.6 years (range, 18.0 to 48.0) and 29.2

From Memorial Sloan-Kettering Cancer Center, New York (K.C.O., C.A.S.); the University of Minnesota, Minneapolis (A.C.M.); the Fred Hutchinson Cancer Research Center, Seattle (T.K., D.L.F., W.L.); St. Jude Children's Research Hospital, Memphis, TN (M.M.H., L.L.R.); Children's Hospital of Philadelphia, Philadelphia (A.T.M., W.H.); Stanford University Medical Center, Palo Alto, CA (N.M.); Yale University School of Medicine, New Haven, CT (N.S.K.-L.); and Brown Medical School, Providence, RI (C.L.S.). Address reprint requests to Dr. Oeffinger at the Department of Pediatrics, Memorial Sloan-Kettering Cancer Center, 1275 York Ave., New York, NY 10021, or at [oeffingk@mskcc.org](mailto:oeffingk@mskcc.org).

\*Members of the Childhood Cancer Survivor Study are listed in the Appendix.

Among 10,397 survivors, 62.3% had at least one chronic condition; 27.5% had a severe or life-threatening condition (grade 3 or 4).

due to a chronic condition.

#### CONCLUSIONS

Survivors of childhood cancer have a high rate of illness owing to chronic health conditions.

# **New Challenges**

## **Great Break-Through, Required**

**More Effective, Less Toxic**

**Understanding of Biology**

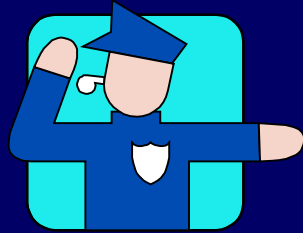
**Searching for Genomic/Molecular Targets**

**New Start!!!**

**Era of Personalized Medicine**



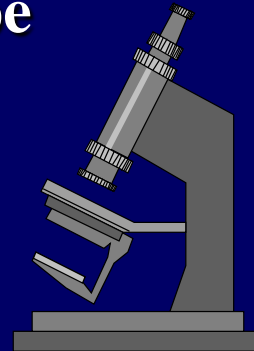
# Role of Pathologists in Translational Research of Pediatric Tumors



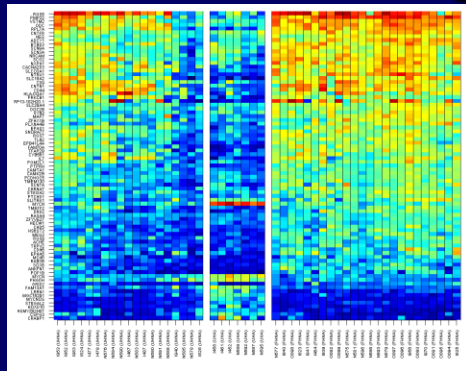
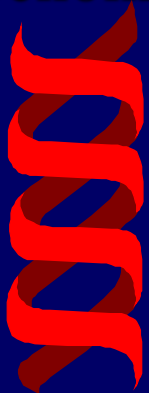
Clinical Bed-Side



Histopathology  
Phenotype



Genomic/Molecular Properties



- ✓ Pathology Diagnosis
- ✓ Support – Clinical & Basic Research



Children's Hospital  
LOS ANGELES



ADAM AND JEAN L.  
ARONSON CENTER



# Acknowledgement

## **International Neuroblastoma Pathology Committee:**

**G. Amann; I. Ambros ; K. Beiske; C. Cullinane; E. d'Amore; L.P. Dehner;  
C. Gambini; J. Hata; J. Jarzembowski; V. Joshi; S. Navarro;  
M. Peuchmayer; B. Roald; L. Wang**

## **COG/CCG Neuroblastoma Studies:**

**G. Brodeur; R. Castleberry; S. Cohn; R. Gerbing; W. London; J. Lukens;  
J. Maris; K. Matthay; A. Naranjo; J. Park; P. Reynolds; R. Seeger; D. Stram**

## **Pathology Review Team, COG Neuroblastoma Pathology Reference Laboratory:**

**Y. Hachitanda; L. Takeuchi; Y. Monobe; T. Kawakami; S. Umehara ;  
A. Nakagawa; S. Goto; C. Kobayashi; H. Sano; C. Okamoto; A. Sakurai;  
R. Suganuma; I. Gonzalez; H. Monforte; J. Bonadio**

## **CHLA Molecular Team:**

**S. Asgharzadeh; J. Peters; R. Sposto; T. Triche**